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TO: Income Maintenance Supervisors
Income Maintenance Lead Workers
Income Maintenance Staff
W-2 Agencies
Workforce Development Boards
Job Center Leads and Managers
Training Staff
Child Care Coordinators

FROM: Janice Peters, Director
Bureau of Working Families
Division of Family and Economic Security

DFES OPERATIONS MEMO					
No:	09-77				
DATE:	DECEMBER 18, 2009				
FS	<input type="checkbox"/>	MA	<input type="checkbox"/>	BC+	<input type="checkbox"/>
SC	<input type="checkbox"/>	CTS	<input type="checkbox"/>	CC	<input type="checkbox"/>
W-2	<input checked="" type="checkbox"/>	FSET	<input type="checkbox"/>	EA	<input type="checkbox"/>
CF	<input type="checkbox"/>	JAL	<input type="checkbox"/>	JC	<input type="checkbox"/>
RAP	<input type="checkbox"/>	WIA	<input type="checkbox"/>	Other	<input type="checkbox"/> *
				EP	
PRIORITY: HIGH					

SUBJECT: Wisconsin Works (W-2) At Risk Pregnancy (ARP) Placement Requirements

CROSS REFERENCE: W-2 Policy Manual Chapters 2, 3, 4, 7, 10, 14, and 16

EFFECTIVE DATE: January 1, 2010

PURPOSE

The purpose of the memo is to provide polices and procedures to Wisconsin Works (W-2) agencies for implementing the new W-2 At Risk Pregnancy (ARP) placement effective January 1, 2010.

ARP BACKGROUND

2009 Wisconsin Act 28, also known as the 2009-11 Wisconsin Biennial Budget Act, created the ARP placement and established five basic requirements for the ARP placement under Wisconsin Statutes section 49.148(1m). The statutes also established an ARP payment of \$673 per month. Sanctions for non-participation and any penalties for a drug felon do not apply to ARP payments.

W-2 agencies and other interested parties participated in the Policy and Program Operations (PPO) Workgroup of the W-2 Contract and Implementation (C&I) Committee to provide recommendations to the Department of Children and Families (DCF)/Division of Family and Economic Security (DFES) on ARP policies and procedures. DCF/DFES also obtained input

from the Wisconsin Medical Society and other states with experience in administering placements similar to ARP.

ARP'S FIVE BASIC REQUIREMENTS

Wisconsin Statutes establish the following five basic requirements for the ARP placement:

1. W-2 Non-Financial and Financial Eligibility: The ARP placement requires eligible individuals to meet W-2 non-financial and financial eligibility except an ARP participant must not be the custodial parent of a dependent child who resides in the individual's home. Participants in W-2 paid placements must be adults, so pregnant teens younger than eighteen (18) years are not eligible for an ARP placement. (See W-2 Policy Manual, Chapter 2 regarding Non-Financial Eligibility Criteria and Chapter 3 regarding Financial Eligibility); and
2. Unmarried Woman: The ARP placement requires an ARP participant to be an unmarried woman, which means the woman either must never have been married or if she ever was married, then the divorce for her marriage must be finalized or she must be widowed; and
3. Third Trimester of Pregnancy: The woman must be in the third trimester of pregnancy, defined as the three months prior to the estimated delivery due date, which must be medically verified; and
4. At Risk Pregnancy: The woman must be in a medically verified at risk pregnancy; and
5. Unable to Work: The woman must be unable to work as a result of the at risk pregnancy, and her inability to work due to the at risk pregnancy also must be medically verified based on her physician's determination about her inability to work.

The following W-2 non-financial eligibility criteria does not apply to W-2 applicants with medical verification that their third trimester pregnancy is an at risk pregnancy and they are unable to work due to the at risk pregnancy:

"Have made a good faith effort, as determined by the W-2 agency on a case-by-case basis, to obtain employment and have not refused any bona fide offer of employment within 180 calendar days immediately preceding application." (See W-2 Manual Chapter 2 regarding Nonfinancial Eligibility Criteria.)

ARP PLACEMENT REQUEST

An ARP placement is a W-2 paid case management placement. Eligible pregnant women may be referred to an ARP placement as W-2 applicants or as W-2 participants who are already placed in the Case Management for Pregnant Women (CMP) placement.¹

The W-2 agency must provide information about ARP as part of W-2's required case management services, especially when a W-2 applicant's/participant's circumstances indicate an ARP placement may be appropriate. (See W-2 Policy Manual, Chapters 7 and 14 regarding Case Management.) A W-2 applicant/participant may request ARP during such discussions, or she (or someone on her behalf) may request ARP by contacting the W-2 agency.

When ARP is requested by (or on behalf of) someone who is a W-2 participant already, then the ARP request does not have to be made in person. When the pregnant woman is a W-2 applicant, the request for W-2 must be made in-person. However when a pregnant woman

¹ Although it is unlikely, it is possible for a participant to be placed in an ARP placement from a W-2 paid employment position, for example when the pregnant woman no longer had custody of her born child(ren) and met all other ARP requirements.

begins her request for W-2 in a phone call with the W-2 agency, then as with other W-2 services, if the woman is not able to come in-person to the W-2 agency due to limitations of her at risk pregnancy or due to other disabilities, the W-2 agency must make reasonable accommodations including going to the woman's home or other mutually-agreeable location to complete the W-2 request in-person.

When a pregnant woman requests ARP or the W-2 agency's information indicates that a pregnant woman is potentially appropriate for an ARP placement, the pregnant woman is required to provide information regarding ARP's five basic requirements listed above. As with other W-2 services, the W-2 agency must provide assistance in obtaining necessary information/verification when needed. (See W-2 Policy Manual, Section 4.1 regarding the W-2 agency's assistance with necessary information/verification.)

The W-2 agency must follow W-2 policies when reviewing ARP information, including review of ARP medical information for possible fraud. (See W-2 Policy Manual, Chapter 4 regarding Case Processing Requirements.)

ARP MEDICAL INFORMATION REQUIREMENTS

The required medical information/verification for ARP must be provided on either:

- The ARP Medical Information/Verification Form (see attached draft form); or
- Letter/memo from the physician on the physician's letterhead that includes all of the following information.

The required medical information/verification must be provided by the patient's physician based on the physician's medical examination of the patient. The physician's specialty area must be one of the following: General Medical; Family Medicine; or Obstetrics.

The physician's signed statement on either the ARP Medical Information/Verification Form or on the physician's letterhead must be completed no earlier than thirty (30) days prior to the beginning of pregnant woman's third trimester of pregnancy. Medical information/verification completed earlier must be resubmitted and updated by the physician within the required time frame. For example, if a pregnant woman in her first trimester applied for W-2 and met all of the ARP criteria, she would be placed in a CMP placement. It is possible that her medical condition could change between her first trimester and her third trimester. Therefore, the W-2 agency must ask the woman to obtain the required medical information/verification no earlier than thirty (30) days prior to the beginning of her third trimester of pregnancy.

ARP medical information must include all of the following:

1. Patient's full name;
2. Patient's date of birth;
3. Patient's estimated delivery date (which must be provided by the patient's physician);
4. Patient is in an at risk pregnancy (which the patient's physician must provide based on the physician's determination of an at risk pregnancy);
5. Physician's statement of the cause/reason for the physician determining the patient's pregnancy is an at risk pregnancy;
6. Physician's statement that the patient is unable to work due to the patient's at risk pregnancy;
7. Start date for the patient being unable to work due to the at risk pregnancy;
8. Any other comments (by the physician);

9. Physician's specialty area (which must be General Medicine, Family Medicine or Obstetrics);
10. Physician's National Provider Identifier (NPI);
11. Physician's signature;
12. Physician's name legibly printed;
13. Date of the physician's signature (must be no earlier than thirty (30) days prior to the beginning of the woman's third trimester of pregnancy); and
14. Physician's contact information: e-mail address; phone number; fax number; and office address.

Note: The W-2 agency may verify a National Provider Identifier (NPI) based on a medical provider's name or may verify a medical provider's name based on an NPI by accessing the NPI Registry website at: <https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.

The W-2 agency must date stamp the ARP Medical Information/Verification Form or the physician's letter with the date when the W-2 agency received the document.

ARP PLACEMENT

ARP Placement/Payment Start Date

The start date for an ARP placement/payment must be the latest of the following dates:

- Date of W-2 eligibility (non-financial and financial eligibility);
- Start date of the third trimester defined as three months prior to the medically verified estimated delivery due date; or
- Date specified by the physician in the ARP medical information/verification as the start date of the patient's inability to work due to her at risk pregnancy.

Child Support Requirements and Time Limits

ARP payments are considered "non-recurrent short-term assistance" under Temporary Assistance for Needy Families (TANF) regulations. Accordingly, ARP payments do not involve assignment of any Child Support payments or any time limits. However as for all W-2 services, ARP requires cooperation with Child Support or good cause for non-cooperation with Child Support. (See W-2 Policy Manual, Chapter 16 regarding Child Support Cooperation.)

For ARP participants who transition to Case Management of an Infant (CMC), the CMC payments will be "on-going assistance" under TANF regulations and involve assignment of any Child Support payments and time limits.

ARP Case Management Requirements

The W-2 agency is responsible to be in contact at least monthly with ARP participants. These contacts must be initiated by the W-2 agency. The contacts may occur in-person or by phone depending on the needs of the ARP participant.

The primary purpose of the monthly contact is to discuss the ARP participant's needs. Based on the participant's needs, the W-2 agency must provide W-2 services or refer the participant to other agencies/services. For example, discussion with the ARP participant may reveal that periodic visiting nurse services may be helpful, and then the W-2 worker would follow-up with a referral for those services. (See W-2 Policy Manual, Chapter 14 regarding Case Management.)

Issuing ARP Payments

The W-2 agency must follow W-2 policies for ARP payments, including any necessary auxiliary ARP payments and any recovery of ARP overpayments. (See W-2 Policy Manual, Chapter 10 regarding W-2 Payments and also see ARP Placement/Payment End Date above.)

Ending ARP Placement/Payment

The end date for an ARP placement/payment must be the earliest of one of the following three dates:

- Date the W-2 worker ended the ARP placement to change the ARP placement to a different W-2 placement when appropriate, for example the change to a Caretaker of an Infant (CMC) placement when the woman's child was born.

DCF anticipates that many ARP participants will be eligible for CMC placements when their baby is born. Then the W-2 agency must transition that ARP placement to a CMC placement with no disruption of W-2 payments.

If an ARP participant regains custody of a dependent child, the ARP participant may be eligible for a W-2 Transition (W-2 T) placement. Then W-2 payments can continue with no disruption.

Please note when an ARP placement is changed to a different W-2 paid placement such as CMC or W-2 T, then those subsequent W-2 payments will be subject to Child Support assignment and time limits. (See W-2 Policy Manual, Chapter 10 regarding W-2 Payments.)

- Date the W-2 worker ended the ARP placement due to one or more of the ARP five basic requirements no longer being met. Examples include: the woman regained custody of her minor child; the woman got married; or the woman is no longer pregnant.

As with other W-2 placements, an ARP participant is required to report changes regarding W-2 eligibility information within ten days. (See W-2 Manual, Section 4.4.) When updated information indicates ARP requirements no longer are met, then the W-2 worker must take appropriate steps to end the ARP placement. And when appropriate, the W-2 worker must change the ARP placement to a different W-2 placement.

When an ARP participant no longer meets one or more of the ARP basic requirements, the participant may meet the requirements of a CMP placement if the participant still is pregnant.

However when both ARP and W-2 eligibility requirements no longer are met, then the W-2 agency must end the ARP placement and W-2 eligibility. An example of when this would occur is when an ARP participant no longer is pregnant and is not a custodial parent of a dependent child.

- Date when a CARES batch process ended the ARP placement twenty (20) days after the estimated delivery due date. (See CARES ARP Procedures below.)

CARES ARP PROCEDURES

ARP Placement Overview

The worker must enter information about any ARP requests in CARES case comments, regardless of whether or not a request results in an ARP placement.

The ARP placement and payment have been tied to the WW P - W-2 For Pregnant Woman assistance group (AG) in CARES. This type of AG is created when a pregnant woman with no other custodial children is entered as the target on the W-2 Request page in CWW. In order to place the individual in an ARP placement on WPWW, the WW P AG must be confirmed open and the new WPHP At Risk Pregnancy screen must be completed. CARES automatically determines if the participant is eligible for an ARP placement based upon the information on WPHP. If the participant does not meet ARP eligibility requirements, CMP is the only placement that can be entered on WPWW.

WPHP At Risk Pregnancy Screen

The WPHP screen is used to collect medical verification from the At Risk Pregnancy (ARP) Medical Information/Verification Form or a letter on letterhead from the physician with the same information items as on the form. This screen also pulls information directly from two other screens in CWW (marital status and expected delivery date), plus includes a question related to custody of children living in the participant's home.

The ARP eligibility determination on WPHP must be completed before an ARP placement on WPWW. The last question on the WPHP screen is the ARP eligibility determination result. The response to this question is automatically completed by CARES based upon the answers entered and displayed on the page.

If the response to the ARP eligibility determination question is N-No, the participant is not eligible for an ARP placement. The worker must accept the determination by processing PF24 and a denial notice will be triggered. When the response to the ARP eligibility determination question is Y-Yes, the worker must accept the determination by processing PF24, and an ARP placement can be made on WPWW.

WPHP	AT RISK PREGNANCY	12/03/09 11:03
		XCT545 M WELCH
DC: ___	SEQ: 001	UPDATED DATE: 12 03 09
		LAST UPDATED BY:
CASE: 1700477714		
PIN : 1101202718	NAME: RITA	LYONS
IS THIS AN AT RISK PREGNANCY?	:	VR: ___
ARE YOU UNABLE TO WORK DUE TO THIS AT RISK PREGNANCY?	:	VR: ___
DATE DOCTOR DETERMINED AT RISK AND UNABLE TO WORK: ___ ___ ___		VR: ___
DATE ALL MEDICAL DOCUMENTATION RECEIVED IN AGENCY: ___ ___ ___		
THIRD TRIMESTER BEGIN DATE: 10 21 2009	EXPECTED DELIVERY DATE: 01 21 2010	
MARITAL STATUS: SI		
DO YOU HAVE CUSTODY OF A MINOR CHILD IN YOUR HOME?	:	___
IS PARTICIPANT ELIGIBLE FOR AT RISK PREGNANCY PLACEMENT?	:	
PF13 NEW EPISODE	PF14 WPWW	PF15 WPPA
NEXT TRAN: _____	PARMS: 1700477714	PF24 PROCESS UPDATES

WPHP Field Descriptions

The following four items on the WPHP screen must be answered based on the ARP Medical Information/Verification Form or letter on the physician's letterhead:

Is this an at risk pregnancy? The response can be Y, N or ? (Yes, No or Not Yet Verified). If a response is entered, an appropriate verification code also must be entered.

Are you unable to work due to this at risk pregnancy? If the physician determined that the woman's pregnancy is at risk and results in her inability to work, the worker must code this question as Y. Otherwise response codes N or ? (No or Not Yet Verified) can be entered in this field. If any response code is entered, an appropriate verification code also must be entered.

Date doctor determined at risk and unable to work: This is the date the physician entered on the ARP Medical Information/Verification Form or physician's letter as the start date for the woman being unable to work due to her at risk pregnancy.

Date all medical verification received in agency: This is the date that the W-2 agency actually received the completed ARP Medical Information/Verification Form or physician's letter. If more than one Form or letter was used to obtain all of the medical information/verification, then use the latest receipt date. By policy, the physician must complete the medical verification no earlier than thirty days prior to the beginning of the woman's third trimester of pregnancy.

The following three items on the WPHP screen are displayed based on information already in CARES:

Third trimester begin date: The begin date of the third trimester will display in this field. CARES automatically determines this date based on the pregnancy due date entered on the Pregnancy page in CWW. This field can not be updated on WPHP. If the pregnancy due date is changed in CWW on the Pregnancy page, the begin date of the third trimester will automatically be re-determined based on the new expected delivery date.

Expected delivery date: This date is brought over from the Pregnancy page in CWW and is displayed here. This field cannot be updated by the worker on WPHP. If the expected delivery date needs to be updated, the worker must go to the Pregnancy page in CWW and change the pregnancy due date there. The updated information automatically will display in this field.

Marital Status: This code is brought over to WPHP from the Current Demographics page in CWW. If the marital status needs to be updated, the worker must go to the Current Demographics page in CWW and change the marital status there. The updated information automatically will display in this field.

The following item on the WPHP screen must be answered based on the Current Household Members screen in CWW. (This will be automated in early 2010):

Do you have legal custody of a minor child in your home? If the participant has legal custody of a minor child in her home, an ARP placement cannot be made. The response can be Y, N or ? (Yes, No or Not Yet Verified).

The following item on the WPHP screen is determined by CARES based on the previous items on the WPHP screen:

Is participant eligible for at risk pregnancy placement? This field displays the ARP eligibility determination. CARES automatically re-determines ARP eligibility each time a response is changed to items on WPHP. This field will display Y (Eligible) or N (Not Eligible) or ? (Pending). When this field displays Y or N and PF24 is completed, then that particular sequence of WPHP no longer can be updated and the ARP eligibility determination is set. If this field displays ?, then the sequence can be updated and

reprocessed until this field displays Y or N. When the ARP eligibility determination is Y (Eligible), then the individual can be placed in an ARP placement on WPWW. When the ARP determination is N (Not Eligible), then the only placement in which the individual can be placed is Case Management for Pregnancy (CMP).

If the W-2 agency initially determined an individual was not eligible for ARP but updated information indicates the individual may be eligible for ARP, a new WPHP screen can be brought up. PF13 is used to get a blank sequence of WPHP to complete with the updated information. A new determination of ARP eligibility will be displayed on WPHP, and the worker must accept the updated ARP eligibility determination by processing PF24.

Two PF keys have been added to the bottom of the WPHP screen to allow the worker to query other screens as needed. PF14 will go directly to screen WPWW and PF15 will go directly to WPPA.

Other CARES Changes for ARP

- Edits to the WPWW screen for ARP:

CDW: ARP Placement Cannot Begin Before 1/1/2010.
No ARP placements can occur prior to January 1, 2010, the effective date for ARP.

CDU: Not Eligible to be in an ARP Placement. Valid Placement is CMP.
If the participant is not eligible for ARP, the ARP code cannot be entered on WPWW.

CDX: Can't Backdate Prior to Dr Determined 3rd Trimester begin or Init Elig Dt.
See above the CARES ARP Procedures regarding ARP Placement/Payment Start Date.

- ARP reason code for auxiliary payment:

Currently CARES "ticks" the federal sixty (60) months time limit ("clock") when an auxiliary payment is issued. However ARP payments, including any ARP auxiliary payment, do not involve any time limits. (See Child Support Requirements and Time Limits section above the CARES ARP Procedures.) To reflect this ARP policy in CARES, changes have been made to the auxiliary request screen BICS. When a W-2 agency requests an ARP auxiliary payment for a participation period when a WW P AG was open, the W-2 agency must use the reason code ARP for the auxiliary payment request in order to not "tick" the federal sixty (60) months limit ("clock"). ARP as a new reason code for an auxiliary payment was added to reference table TARC.

An edit was added on screen BICS to prevent an ARP auxiliary payment from being created for a participation period prior to January 1, 2010, the effective date for ARP. The following edit will appear when the auxiliary request for ARP is not appropriate:

CDY: Can't Request Aux With ARP Benefit Reason For a Period Prior to 1/1/2010.

- Batch Cycle for Closure of ARP Placements:

A CARES batch cycle has been created to run every night to look for the following:

- If the expected delivery date is three days in the future, then Alert 448 is created;

- If the expected delivery date is more than ten days overdue; then Alert 449 is created; and
 - If the expected delivery date is more than twenty (20) days overdue, then the ARP placement is end-dated.
- Alerts:

Alert 448: At Risk Preg Due Dt in 3 Days

The FEP will receive this alert three days before the expected delivery date. The purpose of this alert is to remind the worker to contact the ARP participant, if the worker has not done so recently, to discuss the ARP participant's needs and any update in the expected delivery date.

Alert 449: ARP Due Date 10 Days Overdue

The worker will receive this alert when the expected delivery date on the Pregnancy page in CWW remains in CARES more than ten (10) days after the expected delivery date. The purpose of this alert is to remind the worker to contact the ARP participant to discuss the ARP participant's needs and any update in the expected delivery date. If the pregnancy has ended, the pregnancy end-date in CWW must be entered and the ARP placement must be end-dated. (See Ending ARP Placement/Payment above the CARES ARP Procedures.)

- Closure Code:

Closure Code 653: ARP placement has ended, no request for further services

Batch Cycle: When the expected delivery date on the Pregnancy page in CWW is more than twenty (20) days overdue, the ARP placement on WPWW automatically will be end-dated by the new batch cycle. The end date on WPWW will be the current date. This means if the cycle runs the night of January 19th and finds that the expected delivery date is more than twenty (20) days overdue as of the 19th, the ARP placement will be ended January 19th. This job also will set closure code 653 on screen WPFR, which will be picked up in the next adverse action and close eligibility for the AG.

FEP Entry: When the FEP end-dates the ARP episode on WPWW and does not enter another placement code, screen WPFR will come up. The worker must enter closure code 653 for the ended ARP placement.

- ARP Denial Notice:

An ARP denial notice will be sent to an individual when CARES determines the individual is not eligible for an ARP placement. This notice is triggered when the ARP eligibility determination on WPHP is N (Not Eligible) and the worker processed PF24. (See attached sample of a Denial Notice for Wisconsin Works (W-2) At Risk Pregnancy Program.)

2010-11 W-2 CONTRACT ALLOCATIONS FOR ARP PAYMENTS

ARP payments will be handled outside the W-2 agency's 2010-11 W-2 Contract allocation for W-2 Benefits. DCF will provide each W-2 agency with ARP funding to cover the agency's actual ARP payments within the approximately \$1.8 million statewide biennial budget amount for ARP.

DCF will monitor ARP payments and will communicate with W-2 agencies in advance about any change in how ARP payments are handled.

NEXT STEPS

Training: Training will be provided on ARP. For information, please check the Training Times newsletter or the DWD Learning Center website which is located at the following web address: <https://wss.ccdet.uwosh.edu/stc/dwd>.

ARP Medical Information/Verification Form: The attached draft At Risk Pregnancy (ARP) Medical Information/Verification Form will be finalized and translated. The final form including translations will be posted in the DCF Forms website by January 1, 2010. The DCF Forms website may be searched for the form at: <http://dwd.wisconsin.gov/dcf/forms/viewsort/default.asp?ID=NEW>.

ARP Report: A new weekly report will show ARP estimated delivery dates by W-2 agency to assist W-2 agencies with managing their ARP caseload and timely transition of ARP placements to any other appropriate placements. W-2 agencies will be notified when the new report is available.

Policy Manual Update: The W-2 Policy Manual will be updated in future Manual Releases to incorporate the ARP policies from this Operations Memo into the W-2 Policy Manual.

CONTACTS

For ARP and other W-2 policy questions: Bureau of Working Families (BWF) Regional Office

For ARP and other W-2 CARES processing questions: W-2 Help Desk

Attachments: Draft At Risk Pregnancy (ARP) Medical Information/Verification Form
CARES Denial Notice for Wisconsin Works (W-2) At Risk Pregnancy Program

*Program Categories – FS – FoodShare, MA – Medicaid, BC+ – BadgerCare Plus, SC – Senior Care, CTS – Caretaker Supplement, CC – Child Care, W-2 – Wisconsin Works, FSET – FoodShare Employment and Training, CF – Children First, EA – Emergency Assistance, JAL – Job Access Loan, JC - Job Center Programs, RAP – Refugee Assistance Program, WIA – Workforce Investment Act, *Other EP – Other Employment Programs.

DCF/DFES/BWF/JM & MBW