

ASSISTED LIVING FACILITY WAIVER, APPROVAL, VARIANCE OR EXCEPTION REQUEST

- When this request is submitted, **all information is required.**
- If spaces allotted are not sufficient for your response, **attach additional pages as needed.**
- Personal information collected on this form will be used during the review process and for no other purpose.
- For questions about completion of this form, refer to the [Waivers, Approval, Variances and Exceptions: Assisted Living webpage](#) or contact the Division of Quality Assurance (DQA) [Regional Office](#) that serves the facility.
- Return this completed and signed form to the appropriate DQA Regional Office email address.

Name – Facility		Type of Facility <input type="checkbox"/> AFH <input type="checkbox"/> CBRF <input type="checkbox"/> RCAC		License No.
Address - Street	City	Zip Code	County	

Type of Request: Waiver Approval Variance Exception

Time Period of Request

Permanent Temporary – **From** (MM/dd/yyyy):

To (MM/dd/yyyy):

Applicable Codes

Name – Resident (if applicable)

FOR RESTRAINT USE ONLY	
Is resident a Family Care or IRIS member? <input type="checkbox"/> Yes <input type="checkbox"/> No If "yes," complete the following:	
Name – Case Manager (Print or type.)	SIGNATURE – Case Manager >

The following three items have expandable fields.

Specific Action Requested

Steps Facility Will Implement to Ensure Resident Safety (Failure to include this information may result in denial or delayed approval.)

If request is for use of a restraint device, describe other alternatives attempted. *(Attach any relevant assessments.)*

Name – Person Completing Form <i>(Print or type.)</i>	Email Address	Telephone No.
SIGNATURE – Person Completing Form ➤	Title	Date Signed <i>(MM/dd/yyyy)</i>

DQA USE ONLY	
<input type="checkbox"/> Deny Request <input type="checkbox"/> Approve Request – Expiration Date <i>(MM/dd/yyyy)</i> :	
Comments	
This approval may be rescinded at any time upon a determination by the Department.	
SIGNATURE – Assisted Living Regional Director (ALRD) ➤	Date Signed <i>(MM/dd/yyyy)</i>