

Wisconsin Birth to 3 Program County Determination Results and Compliance Matrix Instructions

Implementation: March 2026

Introduction

The Office of Special Education Programs (OSEP) requires states to monitor and enforce the Individuals with Disabilities Education Act (IDEA) by issuing annual county determinations under IDEA sections 616(b) and 642 annually specific to the performance of each early intervention program under Part C.

The Wisconsin Department of Health Services (DHS), Bureau of Children’s Services (BCS), makes county determinations based on both results and compliance data for each county’s early intervention program. This includes measuring county performance on the federal indicators, as well measuring child outcomes and data quality/completeness.

This document provides instruction on how the department has made county Birth to 3 Program determinations. These instructions assist with interpretation of the Results and Compliance Matrix:

Instructions

Table 1: Results and Compliance Percentage and Determination

Table 1 shows the total determination points available (column 1) and earned (column 2). The totals in Table 1 are compiled from Tables 2, 3, and 4.

Results and Compliance Overall Score

- Determination points earned for each **results** component is totaled.
 - There are three results components.
 - Two results components are worth two determination points.
 - One results component is worth four determination points.
 - The maximum score for the results component is eight points.
- Determination points earned for each **compliance** component is totaled.
 - There are nine compliance components.
 - Each compliance component is one determination point
 - The maximum score for the compliance component is nine points.
- The two fields at the bottom of Table 1 indicate whether a county Birth to 3 Program participated in the annual fiscal reconciliation process in a complete and timely manner. If

the county program completed the process, “*Complete and Timely Participation*” is indicated in the Participation Results column.

If a county Birth to 3 program did not completely and timely participate in the fiscal reconciliation process, the county program will see “*Incomplete or Untimely Participation*” in the Participation Results column. This result will place a county program into the next lowest determination status.

The field “Total Impact on Determination Status” will indicate whether a county program has no change to determination status or a decrease in determination status.

- The average of the **results** and the **compliance** overall percent score is used to assign the initial determination status and then the result of the field, “Total Impact on Determination Status” is applied.
 - Meets Requirements = 80-100%
 - Needs Assistance = 65-79%
 - Needs Intervention = 50-64%
 - Needs Substantial Intervention = 49% and below

Table 2: Results Component 1 and 2 - Data Quality and Completeness:

Table 2 shows the number and percentage of child files that were used to determine early intervention program performance. Data quality determination points are based on the unfixable error rate of submitted child outcomes data. Data completeness determination points are based on the percentage of files with useable child outcomes data. The table shows the determination points available and points received based on the quality and completeness of the data.

Calculating Usable Child Outcomes Data

- **Results Component: Data quality.** The third row of Table 2 shows the percentage and number of unfixable errors identified in Indicator 3 child outcomes data. DHS is unable to fix these data errors once submitted by the Birth to 3 Program.
 - Unfixable errors for children’s files in the program for more than six months include:
 - Blanks (no data) in either entry or exit rating fields.
 - A rating of eight was entered with other combinations of values. An eight is only to be used when all three outcomes have the same value of eight.
 - If a child has all eights in either exit or entry but was in the program for more than six months.
 - A maximum of two determination points may be earned for *data quality*.
 - 2 determination points = an error rate of 0%.
 - 1 determination point = an error rate of 1-5%.
 - 0 points = an error rate greater than 5%.
- **Results Component: Data completeness.** Refers to the number of files that were complete or “usable” for a county’s Indicator 3 child outcomes data.
 - Usable data is:



- A file for a child that has been in the Birth to 3 Program for at least six months.
- Entry and exit ratings are completed with an entered value of 1-7.
- Unusable data is:
 - Files for children who were in the program less than six months (third row of table 2)
 - Files that have errors that cannot be fixed after submission to DHS. See above.
- A maximum of 2 determination points may be earned for complete data.
 - 2 determination points = 65% or more of the files are usable.
 - 1 determination point = 40-64% of the files are usable.
 - 0 points = 39% and below of files are usable

Table 3: Results Component 3 - Children's Performance:

Table 3 shows child outcome summary statement reporting targets for the state. The table also shows a comparison of county percentages for two consecutive child outcome federal fiscal year reporting periods.

- Six summary statements (2 Summary Statements per Child Outcome x 3 Child Outcomes = 6 Summary Statements) are shown with each statement is 2 Summary Statement Points (SSPs)
 - 2 SSPs = the county met or exceeded state targets.
 - 1 SSP = the county improved on their prior year's percentages.
 - 0 SSPs = the county maintained or dropped in percent when compared to their previous reporting year.
- A maximum of 4 determination points may be earned for program performance. Summary Statement Points (SSPs) are converted to determination points using the following parameters.
 - 4 determination points = 11-12 SSPs
 - 3 determination points = 9-10 SSPs
 - 2 determination points = 7-8 SSPs
 - 1 determination point = 4-6 SSPs
 - 0 determination points = 0-3 SSPs

Table 4: Compliance Component - Monitoring Priorities and Indicators:

Table 4 shows state percentage targets and results for the Birth to 3 Program federal indicators that are highlighted in the Annual Performance Report (APR). It also shows the county's results and points received. Indicators 4, 5, and 6 are noted in Table 4 but are not counted towards the determination status.

The maximum number of determination points for the compliance component is 9. The county receives a maximum of 1 determination point per indicator.

- Indicator 1: Timely Services
 - 1 determination point = the county had a percentage of 95% or better per compliance indicator.

- 0 determination points = the county had a compliance indicator percentage below 95%.
- Indicator 2: Natural Environments
 - 1 determination point = the county had a percentage of 95% or better per compliance indicator.
 - 0 determination points = the county had a compliance indicator percentage below 95%.
- Indicator 7: Timely individual and family service plans
 - 1 determination point = the county had a percentage of 95% or better per compliance indicator.
 - 0 determination points = the county had a compliance indicator percentage below 95%.
- Indicators 8A, 8B, and 8C: Transition Practices
 - 1 determination point = the county had a percentage of 95% or better per compliance indicator.
 - 0 determination points = the county had a compliance indicator percentage below 95%.
- Indicator 9: Resolution Sessions (Note: DHS does not utilize resolution sessions)
 - 1 determination point = all county Birth to 3 Programs are assumed to “Meet Requirements” for Indicator 9.
- Indicator 10: Mediation Agreements
 - 1 determination point = all county Birth to 3 Programs are assumed to “Meet Requirements” for Indicator 10.
- Timely Correction of Findings of Noncompliance
 - 1 determination point = the county had no Findings of Noncompliance or they verified all corrections within one year.
 - 0 determination points = the county had a Finding of Noncompliance and did not verify correction of the finding within one year.

Summary: Results and Compliance Calculations

Three Results Components with 8 total determination points available.

- 2 points for Data Quality
- 2 points for Data Completeness
- 4 points for Program’s Performance (through conversion of Summary Statement Points SSPs)

Nine Compliance Components with 9 total points available.

- APR Indicators 1, 2, 7, 8A, 8B, and 8C are 1 point each.
- Indicators 9 and 10 are assumed to “Meet Requirements” and automatically receive 1 point each.
- The absence or timely completion of Findings of Noncompliance is 1 point.

County determination status is based on the county’s average percentage of the combined

Results and Compliance percentages. The determination status may be lowered to the next determination status category if counties do not participate in the fiscal reconciliation process in a complete and timely manner.

- Meets Requirements = 80-100%
- Needs Assistance = 65-79%
- Needs Intervention = 50-64%
- Needs Substantial Intervention = 49% and below