

APPENDIX V TO 2009 STATE AND COUNTY CONTRACT  
FOR SOCIAL SERVICES AND COMMUNITY PROGRAMS

Appendix Title: Community Mental Health Services Block Grant (CMHSBG)

I. Funds Provided/Period Covered

Funds in the amount identified in this Contract are provided from the federal Community Mental Health Services Block Grant (100%, CFDA# 93.958) and for the period January 1, 2009 through December 31, 2009.

II. Purpose and Service Conditions on the Use of the Funds

These funds may be used by the County only for the purposes under the service conditions described in the Division of Mental Health and Substance Abuse Services (DMHSAS) **Memo Series 2007-09: Mental Health Block Grant Community Aids Formula Allocation.**

A. Adults with SMI and Children with SED

These funds can only be used to provide mental health services for adults with serious mental illness (SMI) and/or children with severe emotional disorders (SED) according to the definitions in Attachment 3 of the DMHSAS **Memo Series 2008-04**. The definition of adults with SMI is:

Pursuant to Section 1912(c) of the federal Public Health Service Act, as amended by Public Law 102-321, "adults with a serious mental illness" are persons: (1) age 18 and over, and (2) who currently have, or at any time during the past year, had a diagnosable mental, behavioral, or emotional disorder of sufficient duration to meet diagnostic criteria specified within DSM-IV or their ICD-9-CM equivalent (and subsequent revisions) with the exception of DSM-IV "V" codes, substance use disorders, and developmental disorders which are excluded, unless they co-occur with another diagnosable serious mental illness, and (3) experience functional impairment which substantially interferes with or limits one or more major life activities. (Federal Register Volume 58 No. 96 published Thursday, May 20, 1993, pages 29422 through 29425).

The definition of children with SED:

Pursuant to Section 1911(c) of the Public Health Service Act, children with a serious emotional disorder are (1) from birth up to age 18, and (2) currently have, or at any time during the last year, had a diagnosable mental, behavioral, or emotional disorder of sufficient duration to meet diagnostic criteria specified within DSM-III-R. (Federal Register Volume 58 No. 96 published Thursday, May 20, 1993, pages 29422 through 29425).

B. Priority Program Areas

The Bureau of Prevention, Treatment & Recovery has identified program priority areas to which counties can apply these funds. Attachment 3 of the **DMHSAS Memo Series 2008-04** includes the complete definitions of these priority program areas that should be followed. The list of priority program areas includes:

1. Community Support Programs
2. Supported Housing
3. Jail Diversion
4. Crisis Intervention

5. Family and Consumer Peer Support and Self-Help
6. Services for Children and Adolescents with SED
7. Programs for Persons with Mental Illness and Substance Abuse Problems
8. Community Mental Health Data Set Development
9. Comprehensive Community Services

C. Priority Target Population – Individuals who are Homeless

For CY 2009, the continuing priority for the BPTR is to improve efforts to serve persons with a serious mental illness who are homeless. These MHBG funds should be used to assist individuals with a SMI who are homeless through immediate County action in the form of direct mental health services. If immediate services cannot be provided, the County and/or vendor/subcontractor will give priority placement on a waiting list to individuals who are homeless and provide interim services until they can be fully enrolled into services.

The primary objective of prioritizing persons with a serious mental illness who are homeless is to provide this population with better access to all mainstream mental health services. Thus, the funds can be dedicated to any of the eight priority program areas listed in Attachment 3 of the **DMHSAS Memo 2008-04** to provide mental health services to persons with a serious mental illness who are homeless.

In addition to serving individuals who are homeless, Counties will prioritize the reporting of persons who are homeless and have a serious mental illness through the Human Services Reporting System (HSRS) Mental Health Module. Data describing individuals whom are homeless shall be recorded in two fields in HSRS as follows:

- 1) Code 80 indicating an individual is homeless should be recorded as a Client Characteristic (Field 7), and
- 2) For individuals who meet the BRC criteria for inclusion in the Consumer Status Data Set (CSDS), Code 1 indicating the individual lives on the *street or in a shelter* should be recorded to describe their Residential Arrangement (Field 37).

Counties will also prioritize the completion of all other required HSRS data fields for individuals who are identified as homeless with a SMI in order to provide complete data on the consumers' mental health condition, services received, and mental health outcomes.

III. Fiscal Conditions on the Earnings of the Additional Funds

These additional funds are earned under the following conditions:

- A. During the time period specified in the section I above and under the outlined conditions in section II above.
- B. According to Federal Title V of the Public Health Service Act [42 U.S.C. 300x-1 et seq.], per Section 1916 (a), Wisconsin County Agencies agree not expend the Community Mental Health Block Grant (CMHBG) for any of the following:

**Section 1916:**

(a) <county/agency> agrees that it will not expend the grant:

- (1) to provide inpatient services;
- (2) to make cash payments to intended recipients of health services;
- (3) to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment;
- (4) to satisfy any requirement for the expenditure of non-Federal funds as a condition of the receipt of Federal funds; or
- (5) to provide financial assistance to any entity other than a [public or nonprofit entity.

The Department shall apply these conditions in determining the close of the contract. The amount of a subsequent audit adjustment on the funds in this contract shall be based exclusively upon these conditions.

IV. Fiscal and Client Reporting on the Use of the Additional Funds

- A. These additional funds must be reported to the Department as follows: on the DMT Form 600 Profile #569 according to the schedule in the State and County Contract.
- B. The County must submit an annual Community Mental Health Services Block Grant report of final expenditures by March 31, 2010. A report format will be provided to the County.
- C. Submit to the Department, any reports necessary to comply with the regulations under 42 USC 300-52.
- D. Data Reporting

The Department of Health Services (DHS) must report data on federally-identified Basic and Developmental Tables as required for the annual application for the CMHSBG. The Department will work with Counties in the development of uniform criteria and the collection of data related to the Basic and Developmental Tables through the Consumer Status Data Set (CSDS) within the HSRS. Counties will provide data required for the CMHSBG in accordance with federal and state definitions and criteria. Counties are responsible for the collection of quality data to complete the required HSRS data elements in the entire Mental Health Module. In order to meet updated federal data requirements, Counties will continue to work with the Department when revisions and clarifications must be implemented in the HSRS to meet the federal data requirements.

Agencies receiving CMHSBG funds shall report and use data on federally required National Outcome Measures (NOMS) in accordance with guidelines provided through the Human Services Reporting System (HSRS). In calendar year 2009, NOMS Reporting will be required in order to receive the full allocation of CMHSBG funds. In calendar year 2009, county agencies are required to have in place the mechanisms to report timely, accurate, and complete NOMS. If the State should receive a reduction in the 2009 CMHSBG allocation due to failure to report NOMS, counties that have not met the NOMS reporting requirements may be subject to a funding reduction and required to refund part of payments already made.

Failure to meet these purposes and conditions will result in the loss of these funds by the County and their repayment by the County to the Department.

V. Payment Procedures

These funds shall be paid in accordance with the State and County Contract.