## DHS 75 Webinar Residential Services Providers

March 16, 2023



#### Agenda

- Division of Medicaid Services Updates
   Public health emergency ends May 11, 2023
- Division of Quality Assurance Updates
   Question and answer session on DHS 74.46
- Division of Care and Treatment Updates
   DHS 75.53 and DHS 75.54

# Survey Results: Topics for Future DHS 75 Webinars

# Division of Medicaid Services Updates

## Public Health Emergency Ends May 11, 2023

The <u>Telehealth Expansion and Related Resources for</u>
<a href="Providers">Providers</a> page includes these sections:</a>

- Permanent telehealth policy Effective on first day of first month after public health emergency ends.
- Continuation of federal public health emergency -These policies end on the date the public health emergency expires:
  - Remote supervision of personal care workers by registered nurses
  - Federal HIPAA flexibilities
  - Telephone daily dosing contacts for providers in an opioid treatment program.

# Division of Quality Assurance **Updates**

# Office of Plan Review and Inspection (OPRI)

# **Question and Answer** Session: DHS 75.46

# Division of Care and Treatment Services Updates

#### DHS 75.53 and DHS 75.54

- Assessment, treatment plans, and discharge
- Personnel requirements
- Outcome monitoring and quality improvement plan
- DHS 75.48(2) table



#### Understanding the language

- Shall: Means mandated by the statute.
- May: Means the provider has some discretion.
- Available: Means may be off-site but available to meet the requirements of the specific statute. Available also allows for the option of telehealth treatment services to a patient in the state of Wisconsin.

#### Understanding the language

- Available on a 24-hour basis: Means that the designated staff of a service is available in person or on-call, including by phone or other real-time electronic communication. This may also be stated as "shall be available, at all times the service is in operation" or language that is very similar.
- On-site: Means services and/or individual will need to be at the site referenced in the rule.

# Personnel Requirements

# Subchapter 4: General Requirements for Service Staff

# Requirements for Each Residential Level of Care

**Subchapter IV:** Treatment service general requirements DHS 75.16 to DHS 75.25

**Subchapter V:** Residential services facility requirements DHS 75.26 to DHS 75.46

Hospitals under DHS 124 not required to meet the requirements of this subchapter

Service requirements for residential treatment and withdrawal management levels of care

subchapters I and II apply

Subchapter VI: Service descriptions for

DHS 75.53 - Transitional residential treatment service

DHS 75.54 – Medically monitored residential treatment service

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DHS 75.56 – Adult residential integrated behavioral health stabilization service

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**Subchapter VI:** Additional requirements by levels of care DHS 75.48(2) and DHS 75.48(3)

Service requirements by level of care tables

# DHS 75.18 General Requirements for Service Staff

- Service director A service shall have a service director.
   Unless otherwise specified for a specific level of care, the service director, or staff member designated by the director to be responsible for the operation of the service, shall be readily available, at all times the service is in operation
- Clinical supervisor A service shall have a clinical supervisor, either on staff or through a contracted agreement, to provide clinical supervision or clinical consultation to clinical staff of a service, as required within this chapter, and consistent with applicable professional licensure and certification requirements.

# DHS 75.18 General Requirements for Service Staff

- Substance abuse counselor A service shall have a substance abuse counselor, as defined in DHS 75.03 (85), available during the hours of operation of clinical services.
- Prescribers- A service may have prescribers that provide medical services and clinical consultation services. The service shall ensure appropriate training and oversight of prescribers.

# DHS 75.18 General Requirements for Service Staff

- Nurses A service may have nurses that provide nursing services to support mental health and substance use treatment. The service shall ensure appropriate training and oversight of nursing staff.
- Mental health professionals A service may have mental health professionals or prescribers that deliver mental health treatment services

# Treatment Service General Requirements DHS 75.18(6)(b) Mental health professionals

For service levels of care in DHS 75.49 to DHS 75.59 that require a mental health professional, the role of substance abuse counselor and mental health professional may be occupied by the same individual with appropriate credentialing, provided they are operating within the scope of their practice.

# DHS 75.19 Personnel Requirement (4) Staff Development

- (a) A service shall have written policies and procedures for determining staff training needs, formulating individualized training plans, and documenting the progress and completion of staff development goals.
- (c) Minimum training requirements for clinical staff include all of the following:
  - 1. Assessment and management of suicidal individuals.
  - 2. Safety planning for behavioral health emergencies.
  - Assessment and treatment planning for co-occurring disorders.
- (e) Shall occur within 2 months of hire for new clinical staff

#### DHS 75.21 Confidentiality

A service shall have written policies, procedures and staff training to ensure compliance with applicable confidentiality provisions of 42 CFR part 2, 45 CFR parts 164 and 170, ss. 51.30, 146.816 and 146.82, Stats., and ch. DHS 92. Each staff member shall sign a statement acknowledging responsibility to maintain confidentiality of personal information about persons served.

## DHS 75.24 Service Operations (5) Opioid Overdose Reversal

- (c) The service shall have written policies and procedures for administration of naloxone by service staff.
- (d) The service shall train all staff in recognition of overdose symptoms and administration of naloxone.
- (e) Administration of naloxone by the service to any individual shall be documented in the clinical record or in a facility incident report.

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Service requirements by level of care tables

# DHS 75.47 Applicability of Other Requirements

(2) Relationship to residential service facility requirements. The requirements for a residential treatment service provided in subchapter 5 apply to subchapter 6 as the minimum standards for residential services under subchapter 6. If a requirement regarding any residential service in subchapter 6 is inconsistent with or poses a more restrictive standard than a similar provision in subchapter 5, the requirement in subchapter 6 shall control.

DHS 75.48 (2) Service requirements by level of care, residential			
	DHS 75.53 Transitional Residential Treatment Service	DHS 75.54 Medically Monitored Residential Treatment Service	
(b) Service director requirements		Service director or an identified designee must be available on-site during hours of operation.	
(c) Medical director		Required either as an employee of the service or through a written agreement, to provide medical oversight and consultation regarding the clinical operations of the service.	
(d) Physician requirements	Requires a prescriber knowledgeable in addiction treatment available to provide medical and clinical consultation, either as an employee of the service or through a written agreement.		
(g) Nurse requirements			

DHS 75.48 (2) Service requirements by level of care, residential			
	DHS 75.53 Transitional Residential Treatment Service	DHS 75.54 Medically Monitored Residential Treatment Service	
(e) Substance abuse counselor		Required to be available on- site during the hours of on- site operation of clinical	

requirements (f) Mental health

professional

requirements

staffing

frequency

provide coordinated and concurrent services for the treatment of individuals with co-occurring mental health disorders.

Required either as an employee of the

service or through written agreement, to

services. Required every 7 days for

Required to be available

during the hours of

operation of clinical

each patient

services.

(p) Clinical Required every 30 days for each patient.

# Requirements for Each Residential Level of Care

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DHS 75.26 to DHS 75.46

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Service requirements by level of care tables

# DHS 75.33 Residential Personnel Requirements

(1) STAFF ORIENTATION AND TRAINING. A residential service shall meet the staff training and orientation requirements in **DHS 83.19** to **DHS 83.21** and **DHS 83.23 to DHS 83.25**. A service shall maintain documentation of required training in each staff member's personnel record.

#### DHS 83.19 Orientation

Before an employee performs any job duties, the provider shall provide each employee with orientation and training which shall include all of the following:

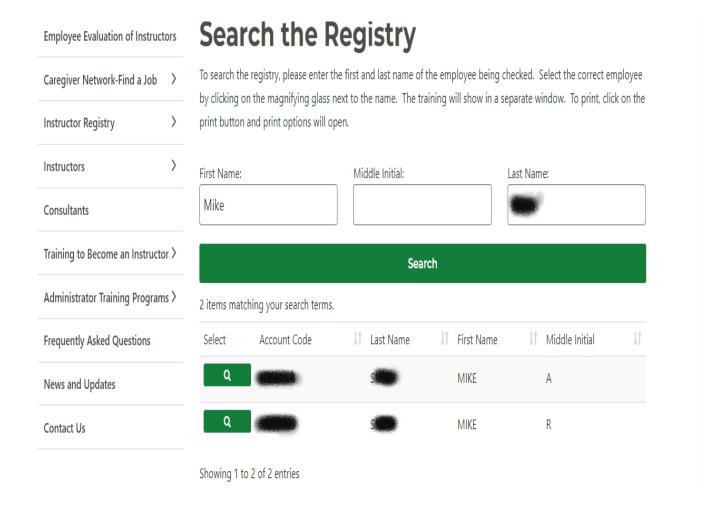
- Job responsibilities.
- Prevention and reporting of resident abuse/neglect.
- Information regarding assessed needs and individual services.
- Emergency, disaster plan, and evacuation procedures.
- Policies and procedures.
- Recognizing and responding to resident changes of condition.

#### DHS 83.20 Department-Approved Training (2) Approved Courses

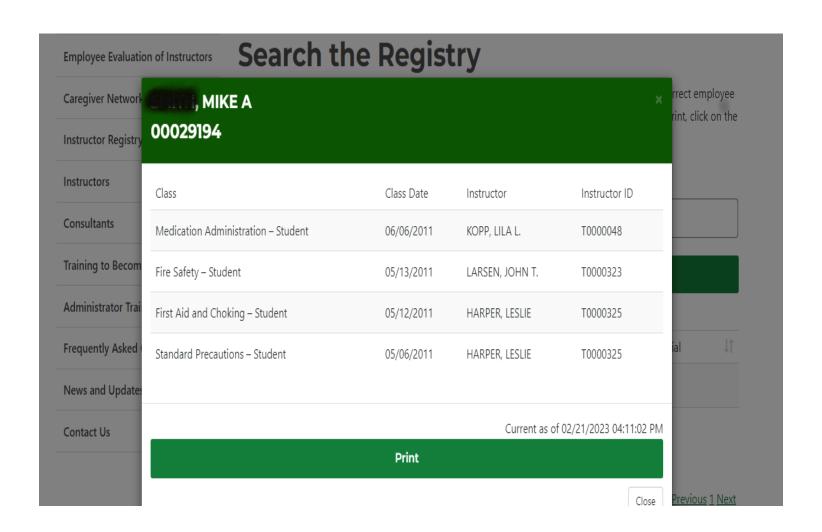
- a) Standard precautions
- b) Fire safety
- c) First aid and choking
- d) Medication administration and management

Community-Based Care and Treatment Training Registry - UW-Green Bay <a href="https://www.uwgb.edu/registry/">https://www.uwgb.edu/registry/</a>

#### Registry



#### Registry



### DHS 83.21 All Employee Training

The provider shall provide, obtain, or otherwise ensure adequate training for all employees in all of the following:

- (1) Resident rights
  - If not a CBRF: DHS 94 and Wis. Stat. § 51.61.
  - If a CBRF: DHS 83.32, Wis. Stat. § 50.09, DHS 94, and Wis. Stat. § 51.61
- (2) Client group
- (3) Recognizing, preventing, managing, and responding to challenging behaviors

#### DHS 83.23 Employee Supervision

Until an employee has completed all required training, the employee shall be directly supervised by the administrator or by qualified resident care staff.

#### DHS 83.24 Exemptions

- (1) Exemptions for completed training.
- (2) General exemptions
- (3) Exemptions from standard precautions training.
- (4) Exemptions from fire safety training.
- (5) Exemptions from first aid and choking training.
- (6) Exemptions from medication administration and management training.

- (7) Exemptions from client group training, resident rights training, and challenging behavior training.
- (8) Exemption from provision of personal care training.
- (9) Exemptions from assessment and individual service plan development training.
- (10) Exemptions from dietary training.

#### DHS 83.25 Continuing Education

The administrator and resident care staff shall receive at least 15 hours per calendar year of continuing education beginning with the first full calendar year of employment. Continuing education shall be relevant to the job responsibilities and shall include, at a minimum, all of the following:

- 1. Standard precautions.
- 2. Client group related training.
- 3. Medications.
- 4. Resident rights.
- 5. Prevention and reporting of abuse, neglect, and misappropriation.
- 6. Fire safety and emergency procedures, including first aid.

# DHS 75.37 Emergency Medical Care for Residents

- (1) A residential service **shall** have written policies and procedures for training staff members in lifesustaining techniques, which **may** include cardiopulmonary resuscitation, use of an automated external defibrillator, and emergency first aid.
- (4) Residential service staff shall be trained to implement the plan for emergency medical care and emergency transportation within 14 days of hire and annually thereafter.

# DHS 75.40 Infection Control Program

A residential service shall meet the requirements for an infection control program under s. DHS 83.39.

#### DHS 83.39 Infection control program.

- (2) The infection control program shall include written policies and training for employees.
- (3) Employees shall follow hand washing procedures according to centers for disease control and prevention standards.
- (4) Other occupants shall comply with infection control requirements as stated in s. DHS 83.17 (2).

# DHS 83.17 Hiring & Employment (2) Employee Health Communicable Disease Control

- (a) The provider shall obtain documentation from the identified medical professional indicating all employees have been screened for clinically apparent communicable diseases including tuberculosis. The screening and documentation shall be completed within 90 days before the start of employment.
- (b) Employees shall be re-screened for clinically apparent communicable disease as described in (a) based on the likelihood of exposure.

#### DHS CBRF Informational Video

- Watch <u>DHS CBRF Licensing Informational Video</u> to learn about the licensure process for CBRFs.
- Use DHS 83 to determine what is applicable to a residential treatment facility if it does not have a CBRF license.

If you have questions, contact the Division of Quality Assurance's Bureau of Assisted Living central licensing associates at <a href="mailto:DHSDQABALLicensing@dhs.wisconsin.gov">DHSDQABALLicensing@dhs.wisconsin.gov</a> or 608-266-8482.

# STOP 4/12/23 Assessments, Treatment Plans, and Discharge

# Requirements for Each Residential Level of Care

**Subchapter IV:** Treatment service general requirements DHS 75.16 to DHS 75.25

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**Subchapter VI:** Additional requirements by levels of care DHS 75.48(2) and DHS 75.48(3) Service requirements by level of care tables

- (a) Clinical staff of a service, operating within the scope of their knowledge and practice, shall assess each patient through interviews, information obtained during intake, counselor observation, and collateral information.
- (c) If a comprehensive clinical assessment has been conducted by a referring substance use treatment service and is less than 90 days old, the assessment may be utilized in lieu of conducting another one.

Note: For residential programs (low and high) the assessment can't be older than 30 days

- 6. The clinical assessment shall include any collateral information gathered during the clinical assessment. Collateral information may include one or more of the following:
  - a. Review of Wisconsin Prescription Drug Monitoring Program database.
  - d. Consultation with the patient's physician or other medical or behavioral health provider
  - e. Consultation with Department of Corrections or child protective services when applicable
  - f. Information from the patient's family or significant others.

- 7. Level of care recommendation based on ASAM or other department-approved placement criteria.
  - (e) If no collateral information is obtained to inform the assessment, the service shall document the reason for not including collateral information.
  - (i) If the assessing clinical staff identifies that an individual is pregnant at the time of the assessment, the service shall make a referral for prenatal care or ensure that the patient is already receiving prenatal care, and document efforts to coordinate care with prenatal care providers.

- (j) In the event that the assessed level of care is not available, a service shall:
  - 1. Document accurately the level of care indicated by the clinical assessment.
  - 2. Indicate on the treatment plan what alternative level of care is available or agreed upon.
  - Identify on the treatment plan what efforts will be made to access the appropriate level of care, additional services or supports that will be offered to bridge the gap in level of care, and ongoing assessment for clinical needs and level of care review.

- (k) For assessments completed by a substance abuse counselor in-training or a graduate student QTT, the assessment and recommendations shall be reviewed and signed by the clinical supervisor within 7 days of the assessment date.
- (L) For a patient receiving mental health services under DHS 75.50 or 75.56 who does not have a co-occurring substance use disorder, the requirement for ASAM or other department-approved level of care placement criteria is not required.

#### DHS 75.48 (2) Service requirements by level of care, residential

	DHS 75.53 Transitional Residential Treatment Service	DHS 75.54 Medically Monitored Residential Treatment Servic
(i) Assessment completion	<ol> <li>Required at the time of or prior to admission.</li> <li>If a comprehensive assessment has been conducted by a referring substance use treatment provider and is less than 30 days old, the assessment may be utilized in lieu of conducting another one.</li> </ol>	<ol> <li>Required at the time of or prior to admission.</li> <li>If a comprehensive assessment has been conducted by a referring substance use treatment provider and is less than 30 days old, the assessment may be utilized in lieu of conducting another one.</li> </ol>
(j) Additional assessment requirements	<ol> <li>For patients continuously enrolled in services, an assessment update shall be completed not less than every six months.</li> <li>The service shall have a written and documented procedure for reviewing assessments and level of care placement during clinical consultation or clinical supervision that occurs within 7 days of the assessment</li> </ol>	A physician, physician assistant, registered nurse, or clinical supervisor shall review and cosign the assessment and level of care placement within 7 days of the assessment.

# DHS 75.24 Service Operations (22) Discharge

- (a) A patient may be discharged from a service for any of the following reasons:
  - 1. Successful completion of recommended services and treatment plan goals.
  - 2. No longer meeting placement criteria for any level of care in the substance use treatment system.
  - 3. Patient discontinuation of services.
  - 4. Administrative discharge.
  - 5. Death of the patient.

### DHS 75.24 Service Operations (22) Discharge

- (b) A service shall have written policies and procedures for the service director's review of administrative discharge or discharges due to patient dissatisfaction or attrition.
- (c) A service shall have written policies and procedures for the service director's review of discharges due to patient death from overdose.
- (d) A discharge summary shall be entered into the patient's case record, including the following:
  - (1) A completed copy of the standardized placement criteria and level of care indicated.
- (e) The discharge summary shall include a notation indicating the reason that any items from (d) were not able to be provided at discharge, if applicable.

DHS 75.48 (2) Service requirements by level of care, residential				
	DHS 75.53 Transitional Residential Treatment Service	DHS 75.54 Medically Monitored Residential Treatment Service		
(q) Additional requirements for discharge or transfer	Summary required within 14 days after the discharge or transfer date.	<ol> <li>Summary required within 14 days after the discharge or transfer date.</li> <li>The service shall facilitate linkage and referral for follow-up and additional services that are consistent with the patient's ASAM placement criteria or other department-approved level of care placement criteria.</li> </ol>		

# DHS 75.24 Service Operations (13) Treatment plan

- (d) The treatment plan shall be signed by the patient, the primary counselor, and other behavioral health clinical staff, identified in the treatment plan.
- (e) A treatment plan and treatment plan review (k) completed by a substance abuse counselor in-training or a graduate student QTT shall be reviewed and signed by the clinical supervisor within 14 days of the development of the plan or the next treatment plan review, whichever is earlier.
- (h) The treatment plan shall be reviewed at the interval required by the patient's level of care or based on the patient's needs and clinical indication. The review shall be documented with a summary of progress and the signature of the patient and primary counselor.

# DHS 75.24 Service Operations (13) Treatment plan

- (i) The treatment plan review shall include an updated level of care assessment which follows ASAM or other department-approved placement criteria and recommends continued stay, transfer, or discharge.
- (j) An updated treatment plan shall be established during the review if there is a change in the patient's needs, goals, or interventions and resources to be applied. The updated treatment plan shall be signed by the patient, the primary counselor, and any other behavioral health clinical staff identified in the treatment plan.
- (I) For patients with co-occurring disorders receiving services under DHS 75.54, service shall assign dually-credentialed clinicians whenever possible.

#### DHS 75.48 (2) Service requirements by level of care, residential

**DHS 75.54 Medically Monitored** 

**Residential Treatment Service** 

**DHS 75.53 Transitional** 

**Residential Treatment** 

Sarvina

	Service	
(m) Treatment plan completion	Required within one week of admission.	Required within 5 days of admission.
(n) Treatment plan review frequency	Required every 6 weeks, unless there is a clinical reason to review more frequently.	Required weekly, unless there is a clinical reason to review more frequently.
(o) Additional treatment plan requirements		The patient's treatment plan shall include a preliminary discharge plan outlining step-down services and a plan for ongoing support. The discharge plan shall be reviewed and updated weekly, in conjunction with the treatment plan.

# Outcome Monitoring and Quality Improvement Plan

# 75.25 Outcome Monitoring and Quality Improvement Plan

- (1) A service shall have a written plan for monitoring outcomes and **improving service quality**, which includes all of the following:
  - (a) Measurable goals relating to service quality, participant satisfaction, and outcomes.
  - (b) Related initiatives for service improvement and key indicators of identified goals and outcomes.
  - (c) An annual report that summarizes the service's quality improvement activities and program outcomes. The report shall be available to patients and their families, the public, and the department upon request.

# 75.25 Outcome Monitoring and Quality Improvement Plan

- (2) A service shall have a process for collecting, analyzing, and reporting a patient's demographic and outcome data. At minimum, the following data shall be recorded at admission and discharge:
  - (a) The patient's living situation.
  - (b) The patient's substance use.
  - (c) The patient's employment status and education.
  - (d) The patient's arrests within the past 30 days.

# Resources on DHS Website

# Where can I find out the dates of the upcoming DHS 75 webinars?





www.dhs.wisconsin.gov/substance-use-providers

# Poll Questions