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| (INSERT LETTER HEAD) |
|  | Adult protective services risk assessment |
| Name (Last, First, M.I.): |  | **Case Assignment** |  |
| Allegation: |  |
|  | Scoring: In each section listed below, indicate in the column which number reflects the client’s status. Risk is to be measured at the beginning and closing of each case. \*\*The “At Case Closure” column does not need to be completed if information received at the first face-to-face contact clearly demonstrates that no additional information needs to be gathered and the case is ready for case closure.  |
|  | **1= low risk 2=intermediate risk 3=high risk unknown/not applicable=0** |
| **First Face to Face Contact** | **At Case Closure** | **Risk Indicators** |
|  **CLIENT FACTORS** |
|                           |                           | **Client Age/Sex** |
| 18 – 69 = 0 |
| 70 – 79 = 1 |
| 80 – 89 = 2 |
| 90 + = 3 |
|                 |                 | **ADL’s**  |
| 1= Capable of meeting own ADL’s |
| 2= Need some assistance with ADL’s |
| 3= Total care for all ADL’s |
|  |                 | **Cognitive Ability** |
| 1= None or mild impairment  |
| 2= Moderate impairment  |
| 3= Severe impairment |
|  |  | **Acceptance of Services** |
| 0= Client is willing to accept services/assistance or no services are needed |
| 1= Client accepts some services but resists others |
| 2= Client refuses all services or is unable to accept services |
|  |  | **Financial Resources** |
| 1= Client has resources to pay for services or has access to a payer source  |
| 2= Client has some resources to pay for services, must spend down to qualify for programs |
| 3= Client is unable/unwilling to pay for needed services |
|  |  | **Mobility** |
| 1= Ambulatory |
| 2= Impaired mobility |
| 3= Non-ambulatory |
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| **ENVIRONMENTAL FACTORS** |
|  |  | **Home / Shelter** |
| 1= No issues with the home (structurally fine, reasonably clean, low odors) |
| 2= Some structural/cleanliness/odor concerns |
| 3= Home is uninhabitable/deplorable; client homeless |
|  |  | **Supervision** |
| 1= Supervision is appropriate for client |
| 2= Some safety issues that can be reduced with services |
| 3= Client unsafe or supervision is not appropriate for client |
|  |  | **Support** |
| 1= Good support |
| 2= Support limited  |
| 3= No supports in place |
| **Current / Past Factors** |
|  |  | **Abuse/Neglect** |
| 0= No abuse/neglect issues |
| 1= Client shows signs of some abuse/neglect (lack of proper supervision, care, nutrition) |
| 2= Client at immediate risk that requires emergency treatment |
|  |  | **Financial Exploitation** |
| 1= No issues identified with financial exploitation or Individual was a victim of a scam and took steps to ameliorate the situation |
| 2= Possible exploitation identified but client has capacity and refuses assistance or to take steps to eliminate risk |
| 3= Exploitation identified and client is unable to understand or client is at immediate risk of eviction, homelessness, lack of care, rapid depletion of funds |
|  |  | **History of Abuse/Neglect/Exploitation** |
| 0 = No history of abuse, neglect, exploitation |
| 1 = 1 or 2 previous reports of abuse, neglect, exploitation |
| 2= 3 or More previous reports of abuse, neglect, exploitation  |
| **Caregiver / Alleged Abuser Factors** |
|  |  | **Access to the client/finances** |
| 1=No access to finances or Abuser is not longer involved |
| 2=Limited access to client’s finances |
| 3= Unlimited access to client’s finances |
|  |  | **Caregiver/Perpetrator mental/physical health** |
| 1= No issues identified |
| 2= Unrealistic expectations of client, poor coping skills or poor health of caregiver |
| 3= Significant mental health/AODA issues, unresponsive to client’s needs threatening to client  |
|  |  | **Level of Cooperation and Dynamics** |
| 1= Cooperative or Abuser is no longer involved  |
| 2= Minimally cooperative |
| 3= Not cooperative |
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|  |  | **Financial Dependence** |
| 1= Client and alleged abuser are not dependent financially on each other |
| 2= Financial dependency exists but is not exploitative |
| 3= Financial dependency exists and is exploitative |
| **Subtotal for Abuse, Neglect & Financial Exploitation Situation** |  |  |
| **Subtotal for Self Neglect Situation** |  |  |
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| Risk Score / Implications for Abuse, Neglect and Financial Exploitation Cases |
| 0 – 15: No or low risk |
| 16 – 30: Intermediate risk-risk that the issues/situation may continue or escalate |
| 31 – 45: High risk that the issues/situation will continue and may escalate without intervention |
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| **Risk Score / Implications for Self Neglect Cases** |
| 0 – 12: No or low risk |
| 13 – 26: Intermediate risk-risk that the issues/situation may continue or escalate |
| 27-39: High risk that the issues/situation will continue and may escalate without intervention |

Supervisor Signature and Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_