Wisconsin’s Caregiver Law at a Glance

- Reports from the public
- Entity Reporting and Investigation
- Education
- Entity Background Checks
- Caregiver Background Checks
- DHS Investigation
- Referrals to Partners
- Appeals
- Misconduct Registry
- Rehab Review
Why Background Checks?

• Promote confidence in state-approved health care programs and services
• Help address unique risks associated with the need for care
• Common sense protection all of us deserve
Two Types of Background Checks

“Entity” Background Checks
- Performed by DHS
- Individuals approved to operate entities & non-client residents
- Change in status
  - Set 4-year renewal schedule

“Caregiver” Background Checks
- Performed by Entities
- Employees and contractors
- Change in status
  - Variable 4-year schedule
Entity Background Checks are for...

**Entity Operators / Legal Reps**
Any person who has, or is seeking, a license, certification, registration, or certificate of approval issued or granted by the department to operate an entity

**Some Board Members**
Only if they have regular and direct contact with clients

**Non-Client Residents**
Any person who resides, or is expected to reside, at an entity, who is not a client of the entity and who has, or is expected to have, regular, direct contact with clients
What We Look At

- Criminal history
- Findings of misconduct
- Findings of abuse and neglect
- Actions relating to an individual’s professional credentials
- Pending charges and court actions
- Military discharge in the past 3yrs
What We Check For

- “Serious Crimes” and pending charges
- Convictions that are *substantially related* to client care
- Governmental findings of abuse and neglect
- Licensing / credentialing restrictions
2021 Entity Background Check Renewal

• DQA Memo 21-002 Notice of Requirement to Submit Four-Year Renewal of Background Check Information
• Submit a request by May 3, 2021
• New online process & payment
• Individualized assessment
• Common renewal cycle
Four Steps to Compliance

- Access our website
- Answer disclosure questions
- Pay a $10 fee
- If applicable, submit additional information
Questions?

Email: DHSCaregiverIntake@dhs.wisconsin.gov
Web: https://www.dhs.wisconsin.gov/caregiver/index.htm