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Amended May 29, 2018

To: Aging and Disability Resource Centers

From: Karen McKeown, DPH Division Administrator
Carrie Molke, Director, Bureau of Aging and Disability Resources

Dane County Waiting List Management Policy While Transitioning to Family Care and IRIS

I. Purpose

This document defines the state requirements for the management of a waiting list of qualified applicants for the Medicaid adult long-term care programs of Family Care; Partnership; and Include, Respect, I Self-Direct (IRIS) when a county transitions from adult legacy waiver programs to Family Care, Partnership, and IRIS.⁴ In Dane County, Partnership ~~with a limited benefit package~~ is at entitlement for individuals that meet the target groups for physical disability or frail elderly. These requirements are in effect until ~~7/31/2017 during the~~ **intellectual/developmental disability target group reaches entitlement on February 1, 2021.** ~~designated transition period.~~

II. Eligibility for Dane County Partnership

- A. Applicants coming to the **Aging and Disability Resource Center** (ADRC) will be screened to determine if they meet eligibility for Partnership.
- B. Applicants must be functionally and financially eligible.
- C. If they choose to enroll in Partnership, their name will go on the **Program Participation System** (PPS) waiting list in the order in which they contacted the ADRC for Family Care **or** IRIS.

III. Eligibility Criteria for the Family Care, Partnership and IRIS Programs' Waiting List

- Applicants coming to the ADRC that are not eligible for Partnership or choose to not enroll in Partnership will be placed on the wait list in the order in which they contacted the ADRC.
- A. ~~B-~~ Applicants will be placed on the PPS waiting list after functional eligibility is determined, using the date ~~that~~ they contacted the ADRC.
 - B. ~~C-~~ Applicants must attest that they anticipate being financially eligible for Family Care or IRIS programs by the date of entitlement before being placed on the waiting list.
 - C. ~~D-~~ An individual **cannot** be placed on the waiting list if he/she is currently serving a penalty period of a divestment.

⁴ In Dane County the Medicaid Adult Long-Term Care programs would also include the Partnership Program, also called Family Care Partnership. This policy does not apply to the Partnership Program for either the elderly or physical disability target group.

- D. ~~E.~~All individuals who are registered on the Human Services Reporting System (HSRS) waiting list three months prior to the beginning of Family Care and IRIS in a county are automatically included on the adult long-term care waiting list to be enrolled over the designated transitional period.
- E. ~~F.~~Youth who will turn 18 years old during the designated transitional period and **who** are registered on the **PPS** children's waiting list have been incorporated into the current adult long-term care waiting list based on the date their name was placed on the children's waiting list.
- F. ~~G.~~Individuals registered on the HSRS waiting list in another county who remain functionally and financially eligible and who move, will be placed on the adult long-term care waiting list based on the date on which their name was added to the waiting list in the originating county.
- G. ~~H.~~~~The Program Participation System (PPS) is the required electronic system for maintaining all county waiting lists. ADRCs should assure that all qualified applicants are registered in the~~ **H.** ~~Program Participation System (PPS).~~ This is required for such persons to be considered for Family Care or IRIS enrollment.

III. IV. Serving Persons from the Waiting List During the Transition to Family Care and IRIS Entitlement

- A. The ADRC is responsible for managing enrollment from the waiting list into Family Care and IRIS during the designated transition period. The Department **of Health Services (DHS)** provides each ADRC with county enrollment targets by target group to allow the ADRC, managed care organizations (MCOs), and IRIS consultant agency/agencies (ICAs) sufficient time to reach their operational capacity and to effectively manage Family Care and IRIS transitions within state budget parameters.
- B. **DHS** ~~The Department~~ will determine the total monthly enrollment target based on the final transition plan. Enrollments will be proportional to the target groups designated on the legacy waiver waiting list.
- C. ADRC Information and Assistance specialists will enroll individuals into Family Care or IRIS from the waiting list in the order in which their name appears on the PPS waiting list with certain exceptions.
- D. An individual may be prioritized to receive Family Care or IRIS services if the individual meets one or more of the following exceptions to the first come, first served enrollment policy.²
 - The individual is experiencing neglect or abuse and is in need of immediate assistance to prevent harm.
 - The person is behaving in a manner that places the individual, or the people with whom the individual shares a residence, or the community at large, at risk of harm.
 - The person is court ordered to receive services.
 - The individual was enrolled in IRIS or Family Care but was disenrolled because he/she was institutionalized in an institution for mental disease and is being discharged.

² ~~Individuals who are residing in alternate care facilities, such as Community Based Residential Facilities (CBRFs) and Residential Care Apartment Complexes (RCACs), and are running out of private pay funds, will be counseled by ADRC staff regarding their options which may include placement on the waiting list for long-term care funding. Depletion of private pay funds is not an exception to the "first come, first served" enrollment policy.~~

- ~~○ Enrollment of individuals disenrolled from IRIS due to a nursing home stay greater than 90 days but now able to return to the community is not covered under this section. Immediate enrollment of these individuals is covered under Section IV. D. below, under nursing home relocations. Nursing home relocations can occur at any time without the person going on a waiting list and are not counted towards the monthly enrollment target.~~
 - The person has been incarcerated, was enrolled in IRIS or Family Care before incarceration, and is being released.
 - The individual meets the criteria for high risk of long-term nursing home stay. These are:
 - Person receives an intensive skilled nursing (ISN) level of care on the Long-Term Care Functional Screen, **or**
 - Person meets **at least one criterion in at least three of the four categories** below:
 1. Activities of Daily Living
 - Needs help from a person with eating (not including meal prep)
 - Needs help from a person with toileting
 - Needs help from a person with transferring
 - Has incontinence more than weekly
 - Has fallen more than once in the last month
 2. Cognition: Has cognitive impairment that poses a risk to health and safety
 3. Health Related
 - Has documented terminal illness with life expectancy of less than six months, based ~~upon~~ **on** the opinion of a medical professional appropriately qualified to make such a determination
 - Has had three or more hospital admissions in the last six months
 - Has had three or more emergency room visits in the last six months
 4. Caregiver Support (This section does not apply to those individuals who are residing in alternate care facilities, such as **Community Based Residential Facilities (CBRFs) and Residential Care Apartment Complexes (RCACs)** because they currently have caregiver support.)
 - Has experienced a recent loss of primary caregiver
 - Family/informal supports are fragile or insufficient
 - Has no informal caregivers
- E. Individuals who are prioritized under the above categories are prioritized only within their target group as identified on the transition plan. Prioritization does not supersede proportionate enrollment by target group.
- F. It is possible that an ADRC will work through its waitlist prior to the end of the designated transition period. If this happens, the ADRC should enroll individuals on a first-come, first-served basis (not to exceed the monthly enrollment target).

IV. V. Individuals Eligible for Immediate Services

Eligible (functionally and financially) individuals who meet one or more of the criteria below are not placed on the waiting list; **these individuals**. They are **able eligible** to enroll **immediately** in the Family Care, **Family Care Partnership** or IRIS programs and **immediately**. **These individuals** are not counted towards the monthly enrollment target.

- A. Youth transitioning to the adult long-term care system who are turning 18, **and** ~~who~~ are being served by the Children's Long-Term Support (CLTS) Waivers or the Community Integration Program (CIP) Waiver, ~~who meet functional and financial eligibility,~~ must enroll in **either**

Family Care, Family Care Partnership, or IRIS programs to continue receiving long-term care services. Enrollment dates for transitioning youth are determined as follows:

- The enrollment date for managed care programs is the earliest date on which the person meets all eligibility requirements. The enrollment date can be any date in a month mutually agreed upon by the individual, the ADRC, and the CLTS support and service coordinator, and, in the month in which the person turns 18 years of age, can occur as early as the first day of the month.
 - The IRIS start date is the earliest date on which the person meets all eligibility requirements and has an approved IRIS plan. This date can be any date in a month, cannot occur before the person's 18th birthday, and is a date when all required elements are met and agreed upon by the ~~IRIS Consultant Agency (ICA)~~, the CLTS support and service coordinator, and the individual.
- B. Eligible adult waiver participants who move to a county transitioning to Family Care, Family Care Partnership, and IRIS.
- C. Eligible adults served by Family Care, Family Care Partnership, or IRIS programs that who move to a county transitioning to Family Care, Family Care Partnership, and IRIS.
- D. Eligible adults residing in a skilled nursing facility (SNF) or an intermediate care facility for individuals with intellectual disabilities (ICF/IID) paid for by Medicaid who wish to relocate to the community will be served immediately by Family Care, Family Care Partnership or IRIS. as per state mandate. Refer to DLTC Numbered Memo Series 2011-01 for more information regarding ICF/IID relocations.
- E. Eligible adults residing in a nursing home paid for by Medicaid who wish to relocate to the community will be served immediately by IRIS.

VI. Family Care and Family Care Partnership Enrollment of Eligible (Functionally and Financially) Persons Waiting to Relocate from a Nursing Home When There is a Waiting List During the Transition to Entitlement

Eligible adults residing in a nursing home paid for by Medicaid who wish to relocate to the community will be enrolled in Family Care or Family Care Partnership no later than four weeks from the date of enrollment counseling and the signing of the enrollment form if the nursing home has a contract with the MCO. If the MCO is able to relocate the individual sooner than four weeks (or, if the MCO has elected a policy of relocating individuals on a basis shorter than four weeks), then the MCO will notify the ADRC, which will submit the revised enrollment date to the income maintenance agency. If the nursing home does not have a contract with the MCO, the enrollment date is the date the person moves.

V. VII. Notification

- A. The ADRC will contact individuals on the waiting list in writing every six months regarding their status on the waiting list.
- B. ADRCs will document individuals' continued interest in Family Care and IRIS programs and will provide individuals with an estimated time frame for when Family Care or IRIS services may be available to them.
- C. ADRC staff will contact each individual as he/she comes to the top of the waiting list, to discuss long-term care options and assist individuals with the procedure of enrolling in Family Care or IRIS program.

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Effective Date

This policy and procedure is to be implemented no later than October 1, 2017.

REGIONAL OFFICE CONTACT: ADRCs - Contact ORCD Regional Quality Specialist

MEMO WEB SITE: <https://www.dhs.wisconsin.gov/dph/memos/badr/index.htm>

cc: Aging and Disability Resource Centers