

SURVEY GUIDE - HOSPICE DIRECT INPATIENT UNIT SURVEY

Survey Date	Surveyor Number	Agency Name
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SOM 2084A: If a hospice provides inpatient care directly, either in owned or leased space in another facility, the inpatient location must be surveyed for compliance with 42 CFR 418.106 and 418.110. The leased inpatient unit may consist of several beds, a group of beds, or a wing.

1. Tour the facility using this guide.
2. Review records according to this guide.
3. Conduct interviews as appropriate.
4. Select a sample of clinical records based on the number of patients admitted during the recent 12 months:
 - Less than 150 patients = 3 record reviews for an initial inpatient unit survey.

NOTE: For an initial survey (first time inpatient status) conduct a focused survey using portions of 418.106 and all of 418.110. Conduct record reviews as in a regular survey.

For a recertification survey, the inpatient unit will be included as part of the survey. Include record reviews from the inpatient unit as part of the total sample.

TOUR - Patient Areas

Tag	Guide	Findings
L729	Home-like atmosphere	
L729	Designed to preserve dignity, comfort, and privacy of patients	
L729	Physical space for private patient and family visiting	
L729	Accommodations for family to remain throughout night	
L729	Space for privacy after patient death	
L730	Patient rooms designed for nursing care	
L730	Single room upon request, if possible	
L730	At or above grade level	
L730	Suitable bed and appropriate furniture	
L730	Closet space that provides security and privacy for clothing and personal belongings	
L730	No more than 2 patients and their family	
L730	Equipped with call device	

L730	Waiver to 4 beds if occupied before 12/2/08	
L731	Toilet and bathing areas near patient rooms	
L732	Adequate and appropriate temperature hot water	
L733	Observe and ask about the infection control program to prevent the spread of infections	
L734	Cleanliness of environment	
L735	Adequate supply of linen; handled, stored, processed and transported appropriately	

TOUR – Kitchen / Meal Service

Tag	Guide	Findings
<i>NOTE: Tour kitchen if onsite; use kitchen/food service observation tool.</i>		
L736; possibly L597	Meals are consistent with plan of care, nutritional needs, and therapeutic diet	
L736	Palatable, attractive, and served at proper temp	
L736	Obtained, stored, prepared, distributed, and served under sanitary conditions	

TOUR AND RECORD REVIEW – Restraint Use

Tag	Guide	Findings
L737	Right to be free from restraint	
L738 or L739	Used only when less effective interventions ineffective	
L740	In accordance with plan of care	
L741 or L743	Physician order	
L742	No standing orders or PRN basis	
L744	24 hour or less orders	
L745	Discontinued asap, regardless of order length	
L746	Condition monitored by trained staff	
L747	Training requirements in policy	
L748, L749, L750	1 hour face/face when used for violent or self-destructive behavior	
L752	Required documentation	

L754, L755, L756, L757	Staff training intervals, content, trainer requirements, and documentation	
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TOUR AND RECORD REVIEW – Drugs and Biologicals

Tag	Guide	Findings
L689	Pharmacist employed or under contract	
L691	Can stock drugs (i.e. for emergency use)	
L691	Current and accurate records of receipt and disposition of controlled drugs	
L692	Administered by nurse, physician, trained other health care professional	
L693	Labeled in consistent with professional standards of practice	
L698	Inpatient disposal of controlled drugs	
L699	Secure storage of Schedule II, III, IV, & V drugs. Only authorized personnel have access.	
L700	Discrepancies investigated and reported	

TOUR AND RECORD REVIEW – Staffing

Tag	Guide	Findings
L722	24-hour nursing services to meet needs of all patients	
L723	If at least one patient in facility is receiving general inpatient care, the RN must be on each shift providing direct patient care.	

DISASTER PREPAREDNESS AND FIRE PROTECTION REVIEW

Tag	Guide	Findings
L726	Review disaster preparedness plan.	
L726	Review staff orientation/periodic education of disaster plan.	
L726 or L728	Review fire drill documentation of varied times on all shifts.	
L726	Interview staff to assess knowledge during drill or actual disaster.	
L726	Review arrangements with community resources.	
L728	Alcohol-based handrub dispenser installation	