Division of Public Health F-20441AI (12/2024)

This tool is supplemental to F20441A assisting users in collecting information to meet the requirements of Wis. Stats. § 46.90(6)(am) and 55.043(6)(am)

## WISCONSIN APS INCIDENT DATA COLLECTION DATA DICTIONARY

#### **SECTION A: INITIAL INFORMATION**

# Category: Caller's Initial Concern AND Primary Issue Identified

Self-neglect
Financial exploitation
Neglect by other(s)
Emotional abuse
Physical abuse
Sexual abuse

Treatment without consent

Unreasonable confinement or restraint

Other

### Location of Incident (at or near)

Place of employment/day services
Place of residence
School
Transportation
Public or community setting
Medical facility or hospital
Other (specify)

#### **Referral Source**

ADRC or aging services Adult-at-Risk (AAR) employer Alleged abuser Anonymous Bank or financial institution Corporate guardian Friend/neighbor IRIS consulting agency Housing inspection/Zoning In-home care provider Law enforcement Managed care organization Medical/Clinical staff Mental health or crisis provider Regulatory authority (DQA) Relative Residential facility: assisted living/nursing home Substance abuse service provider Tribal agency Vocational/Day support provider Other referral source (specify)

# Who Received the Initial Call/Referral

Adult Protective Services (APS) unit Crisis Response unit

Human Services department (other than APS or Crisis)

Aging and Disability Resource Center (ADRC) or Aging Unit

Social Services department (other than ADRC or Aging)

Department of Community Programs (51.42/437)

Law enforcement

Public Health department

Elder Abuse Helpline (DOJ/GWAAR)

Regulatory authority (DQA)
Ombudsman program (BOALTC)

Managed care organization

IRIS Consulting agency

Bank or other financial institution

Animal control/Humane Society

Disability services agency Tribal agency

Housing inspection/Zoning

Other (specify)

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## SECTION B: INFORMATION ABOUT ADULT-AT-RISK (AAR)

#### **How Was Age Collected**

Self-reported by AAR
Estimated based on observation
Data received from another system

#### **AAR Gender Identity**

Male or man (cisgender)
Female or woman (cisgender)
Transgender man/masculine
Transgender woman/feminine
Nonbinary, gender queer, gender fluid
Two-spirit
Questioning
Declined to answer
Not collected gender identity
Other (specify)

# **How was Gender Identity Collected**

Self-seported by AAR Estimated based on observation Data received from another system

#### **Pronouns Requested by AAR**

No, pronouns are not mentioned AAR requests they/ them pronouns AAR requests she/her pronouns AAR requests he/him pronouns AAR requests other pronouns (specify) Other (specify)

## **AAR Living Arrangement**

Own home/apt. alone
Own home/apt. with others
Relative's home
Friend's home
Adult family home (1-2 bed)
Adult family home (licensed)
Skilled nursing facility
Community-based residential facility
Residential care apartment complex
Hospital
Unhoused
Other institution
Declined to answer
Not collected
Other (specify)

#### **AAR Racial Identity**

Black, African, or African American American Indian, Native American, Indigenous, or Alaska Native East Asian (for example, Chinese, Japanese, Korean) Southeast Asian (for example, Hmong, Laotian, Vietnamese) South Asian (for example, Indian, Nepali, Pakistani) Arab, Arab-American, Middle Eastern, or North African Native Hawaiian or Other Pacific Islander White Hispanic/Latin American/Latino/x Two or more races Other Declined to answer Not collected

### **How was Racial Identity Collected**

Self-report by AAR
Estimated based on observation
Data received from another system

### What county/state programs or services have served this individual?

Community support program
Comprehensive community services
Family care
IRIS
PACE or partnership
Medicaid (Title 19, Card Services)
Unknown
None
Other (specify)

#### If There is Substitute Decision Maker, Identify Type of Decision Maker

Conservator
Guardian of the Estate
Guardian of the Person
Power of Attorney – finances
(activated)
Power of Attorney – health care
(activated)
Representative payee program
Temporary guardian

Power of Attorney – finances (not activated) Power of Attorney – health care (not activated) Supported Decision Making Agreement Other (specify)

#### **AAR Health Circumstances**

Medical fragility/frailty
Intellectual or developmental disability
Cognitive impairment due to dementia
Other disorientation or confusion
Mobility impairment
Physical disability
Diabetes
Incontinence
Deafness or hearing loss
Blindness or vision loss
Stroke-related impairments
Traumatic brain injury
Inability to speak/communication
disorder
None

Other (specify)

# AAR Behavioral Health Circumstances

Alcohol abuse or alcoholism
Substance use disorder or drug abuse
Alzheimer's or related dementia
Mental health concerns or mental
illness

Challenging or dangerous behavior

# AAR Social and Economic Circumstances

Unemployed (not retired)
Unable to leave home
Financially dependent on alleged
abuser
Alleged abuser financially dependent
on AAR
Limited English proficiency
Unable to read or write

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## **SECTION C: INFORMATION ABOUT ALLEGED ABUSER**

#### **How Was Age Collected**

Self-reported by AAR Estimated Based on Observation Data Received from Another System

### **Alleged Abuser Gender Identity**

Male or man (cisgender)
Female or woman (cisgender)
Transgender man/masculine
Transgender woman/feminine
Nonbinary, gender queer, gender fluid
Two-spirit
Questioning
Declined to answer
Not collected gender identity
Other (specify)

#### **How was Gender Identity Collected**

Self-reported by AAR Estimated based on observation Data received from another system

## **Alleged Abuser Racial Identity**

Black, African, or African American American Indian, Native American, Indigenous, or Alaska Native East Asian (for example, Chinese, Japanese, Korean) Southeast Asian (for example, Hmong, Laotian, Vietnamese) South Asian (for example, Indian, Nepali, Pakistani) Arab, Arab-American, Middle Eastern, or North African Native Hawaiian or Other Pacific Islander White Hispanic/Latin American/Latino/x Two or more races Other Declined to answer

# Not collected

How was Racial Identity Collected Self-reported by AAR Estimated based on observation Data received from another system

#### Alleged Abuser Relationship to AAR

Spouse or domestic partner
Child or stepchild
Grandchild
Sibling
Parent or stepparent
Aunt or uncle
Other relative
Friend/Neighbor
Residential service provider
Vocational/Day service provider
Transportation provider
Unknown
Other (specify)

#### Alleged Abuser Decision Making Status Conservator

Guardian of the Estate

Guardian of the Person
Power of Attorney – finances
(activated)
Power of Attorney – health care
(activated)
Representative Payee Program
Temporary guardian
Power of Attorney – finances (not activated)
Power of Attorney – health care (not activated)
Supported Decision Maker

# Alleged Abuser Health Circumstances

Other

Medical fragility/frailty Intellectual or developmental disability Cognitive impairment due to dementia Other disorientation or confusion Mobility impairment Physical disability Diabetes Incontinence Deafness or hearing loss Blindness or vision loss Stroke-related impairments Traumatic brain injury Inability to speak/communication disorder None Other (specify)

# Alleged Abuser Behavioral Health Circumstances

Alcohol abuse or alcoholism Substance use disorder or drug abuse Alzheimer's or related dementia Mental health concerns or mental illness Challenging or dangerous behavior

#### Alleged Abuser Social and Economic Circumstances

Unemployed (not retired)
Unable to leave home
Financially dependent on alleged
abuser
Alleged abuser financially dependent
on AAR
Limited English proficiency
Unable to read or write

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# **SECTION D: Investigation**

Abrasions, cuts, punctures, bites

# **Categories of Concern Identified During Investigation**

#### **Self-Nealect**

Financial exploitation Neglect by others(s) Emotional abuse Physical abuse Sexual abuse

Treatment without consent

Unreasonable confinement or restraint

Other

# **Detailed Issues of Concern Identified During Investigation**

Self-Nealect Medical needs

Medication

Mismanaging basic financial activities

Physical needs

General lack of attention or

supervision

Unsafe, unsanitary environment

Hoarding

Nutritional needs

Other self-neglect (specify)

#### Neglect by Other(s)

Medical needs

Medication

Mismanaging basic financial activities

Physical needs

General lack of attention or

supervision

Unsafe, unsanitary environment

Hoarding

**Nutritional Needs** 

Other neglect (specify)

#### **Financial Exploitation**

Computer or phone scam

Deception

Diverting income

Embezzlement

Financial transaction card crimes

Forgery or fraudulent writings

Misappropriation of personal

identifying information or

documents

Mismanagement of property

Security fraud

Substantial failure or neglect of a fiscal agent to fulfill his or her

responsibilities

Theft by fraud

Theft from a person

Other financial exploitation (specify)

### **Emotional Abuse**

Demeaning statements

Harassment

**Physical Abuse** 

Isolating person from family, friends Threats, intimidation, frightening

Other emotional abuse (specify)

# Beating Bone fracture

Bruises, welts

Burns, scalding

Internal injuries

Pain, no physical marks

Sprains, dislocations

Strangling

Other physical abuse (specify)

#### Sexual Abuse

Rape-Unwanted penetration vagina or anus

Oral genital contact

Prostitution of adult at risk

Sexual comments, jokes, discussion

Sexualized kissing

Showing victim pornography

Unwelcome sexual interest in victim's body

Using alleged victim to produce pornography

Other sexual abuse (specify)

#### **Treatment Without Consent**

Administration of medication without an individual's informed consent Provision of unnecessary or excessive medication to an individual Other treatment without consent (specify)

### **Unreasonable Confinement**

Intentional and unreasonable confinement of an individual in a locked room

Involuntary separation of an individual

from their living area Use of physical restraining devices on an individual

Other unreasonable confinement (specify)

#### **Investigation Result**

Evidence shows that the Adult at Risk has been hurt or harmed Evidence does not show that the Adult at Risk has been hurt or harmed Evidence is not conclusive on whether there is hurt or harm Adult at Risk Unavailable or Unable for

#### **Result of Service Planning for Adult** at Risk

investigation

The investigation determined that services are not needed Services were offered but not accepted Services were offered, but only some were accepted Services were offered and all were accepted Services needed are not available

#### Referrals Arranged for Adult at Risk

Referral to ADRC or Aging Unit for

supportive services

Referral to Independent Living Center

for supportive services

Referral to law enforcement/

Department of Justice

Referral to protection/advocacy

agencies

Referral to MCO/IRIS/Long-Term Care

organization

Referral to Regulatory Authority (DQA)

Referral to Caregiver Misconduct

Registry (DQA)

Referral to Ombudsman

Referral to Mental Health Services

Referral to Detoxification Services

No referrals arranged Other referral (specify)

#### Services Planned for the Adult at Risk

Legal or Advocacy Services Community-Based Supportive

Adult Day Services or Treatment **Emergency Response Services** 

Facility-Based Care

Medical Services

Service Coordination/Care Planning

Substitute Decision-Making **Transportation Services** 

Victim Services

Emergency Detention (Chapter 51)

Emergency Protective Placement (Chapter 55)

Guardianship

Protective Placement None

Other Services (specify)

### Services Planned for the Alleged Abuser(s)

Legal or Advocacy Services Community-Based Supportive Services

Adult Day Services or Treatment **Emergency Response Services** 

Facility-Based Care Medical Services

Service Coordination/Care Planning

Substitute Decision-Making **Transportation Services** 

Victim Services

Emergency Detention (Chapter 51) **Emergency Protective Placement** 

(Chapter 55) Guardianship

Protective Placement

None

Other Services (specify)

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# **SECTION E: Case Closing and Notes**

If Case is Closed Without a Complete Investigation, Select a Reason

The AAR can't be found
The AAR left the county
The case was transferred to another
county
The AAR refused to cooperate
The AAR died of causes unrelated to
the situation
Other reason (specify)