

DQA Quarterly Information Update **JULY 2014**

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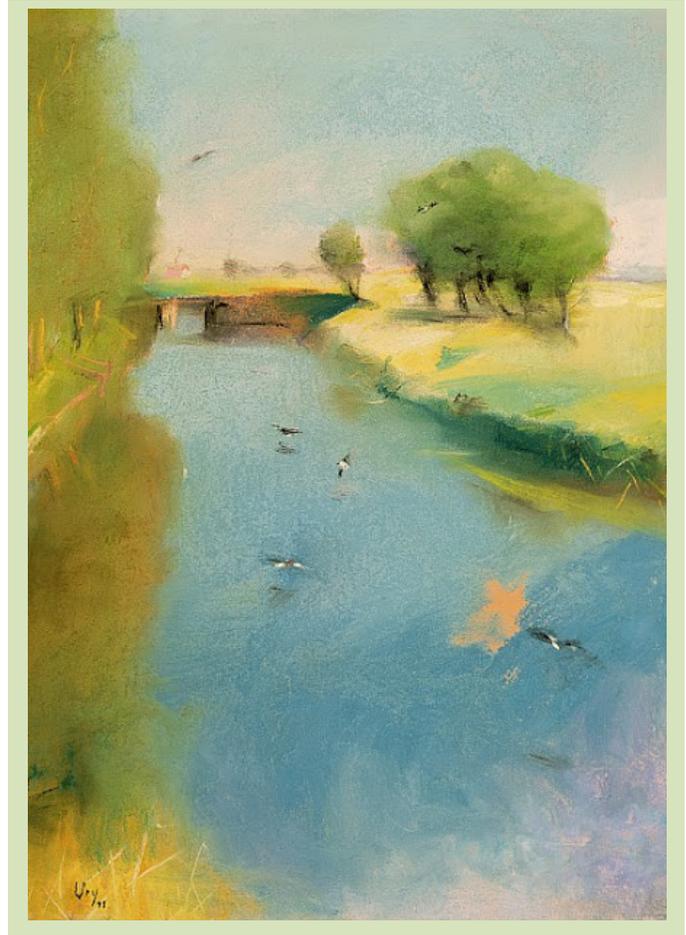
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EMAIL SUBSCRIPTION SERVICES

http://www.dhs.wisconsin.gov/rl_dsl/Listserv/signup.HTM



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NEW THIS ISSUE

Dementia Care System Redesign ListServ Subscribe

Administrator's Office

The Department of Health Services has established a listserv for the Dementia Care System Redesign. You can receive notifications and updates about the redesign project by signing up at:

<http://www.dhs.wisconsin.gov/dementia/listserv.htm>

(continued)

Information about the Dementia Care System Redesign is also available on the web at:
<http://www.dhs.wisconsin.gov/dementia/index.htm>

FOCUS 2014

Bureau of Education Services and Technology

FOCUS 2014 Special Session: “The Art and Science of End of Life Care”

Wednesday, November 19, 2014

Cost: **\$90.00**

FOCUS 2014 Conference: “Teach, Learn, Collaborate”

Thursday, November 20, 2014

Cost: **\$110.00**

The Wisconsin Department of Health Services (DHS), Division of Quality Assurance (DQA), is pleased to announce the 13th annual conference for health care providers and DQA staff on November 19 and 20, 2014, at the Kalahari Convention Center in Wisconsin Dells. The Focus 2014 Conference is being developed in collaboration with health care provider and provider association representatives.

At FOCUS 2014, attendees will have access to leading experts in the health care field, the chance to network with others, and an opportunity to visit the numerous exhibit booths.

This year, the Special Session will focus on End of Life Care. This topic is receiving special attention in order to increase both awareness of the issue and efforts to address the best care options. This special session is intended for staff from assisted living facilities, DQA, facilities serving individuals with intellectual disabilities, home health agencies, hospice providers, hospitals, nursing homes, and pharmacists.

The Special Session keynote speaker will feature Dr. Ira Byock; the title of his keynote is “*Providing ‘The Best Care Possible’ through End of Life.*” Dr. Byock will talk about how a transformation is needed in the way our society and culture, not merely our health care system, cares for seriously ill people and supports family caregivers. He will also address how effective advocacy by patients and families is needed to avoid common mistakes, avert suffering, and prevent regrets.

Several breakout sessions will be offered covering topics which include the end of life medications and symptom management, end stage dementia care, hospice and palliative care information, and human development.

The theme of the FOCUS 2014 Conference is “*Teach, Learn, Collaborate.*” The goal of the conference is to provide attendees with as many tools as possible to improve the health and safety of Wisconsin residents living in health care facilities. This conference is intended for staff from assisted living facilities, DQA, facilities serving individuals with intellectual disabilities, and nursing homes.

This year’s FOCUS 2014 keynote address will feature Dr. Allen Power; the title of his keynote is “*Dementia Beyond Disease: Enhancing Well-Being.*” Dr. Power will talk about how to overturn the prevailing disease-based care practices by emphasizing well-being and the many ways it can be enhanced in people with dementia. He will also address dementia care practices, presenting approaches that promise a new and hopeful vision for achieving the best possible outcomes for every person touched by this debilitating disease.

The agenda for this innovative conference includes breakout sessions on bullying in the health care workplace, decreasing antipsychotic use for individuals with dementia, financial fraud and abuse, intimacy and sexuality in dementia care, support for older persons with intellectual disabilities, and transitions of care. These are just a few of the topics that will be covered.

The UW Stevens Point, Education-Conference Planning Office will begin registration the first week in September. The conference/registration website is www.uwsp.edu/conted/ConfWrkShp --- select “FOCUS 2014.” DQA will send a listserv email announcing the registration.

OASIS Updates

Bureau of Education Services and Technology

“OASIS-C1/Modified” for Home Health Agencies

OASIS-C1 is the updated version of the current OASIS-C “patient assessment dataset” utilized by federally-certified home health agencies (HHAs) *as a designated portion* of their comprehensive assessments used on their adult Medicare and Medicaid patients. The OASIS-C1 dataset received federal approval in February and was supposed to become effective this October, along with conversion to ICD-10 coding. However, congressional action this spring delayed the implementation of ICD-10, which forced the Centers for Medicare and Medicaid Services (CMS) to examine the impact this would have on the OASIS-C1 dataset and its planned implementation.

After consideration, CMS has since announced that ICD-10 will become effective on October 1, 2015. However, as they didn’t want to delay the implementation of OASIS-C1 for that long, “C1” will go live on January 1, 2015, *but* it will be using the ICD-9 dataset for all the C1 questions that involve diagnosis coding. Thus, they have coined the terms “OASIS-C1/ICD-9” or “OASIS-C1/Modified” to refer to the C1 dataset that incorporates ICD-9 diagnosis coding.

CMS released the new C1/ICD-9 dataset on June 17, which also included other minor corrections. CMS plans to release an updated version of the OASIS-C1/ICD-9 Implementation Manual by the end of June on their Home Health Quality Improvement (HHQI) website, though that was not yet posted at the writing of this article. However, you can retrieve your copy of the C1/ICD-9 dataset by scrolling down on this webpage: <http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/HomeHealthQualityInits/OASIS-C1.html>

CMS’s Planned “OASIS-C1/ICD-9” Training Webinar

CMS is planning to host a live OASIS-C1/ICD-9 training webinar on **September 3 at 1:00 p.m. CST**, which will run approximately 90 minutes. CMS will not be conducting “live Q&As” at the time of this webinar but, rather, would like HHAs to submit any questions regarding the use of the OASIS-C1/ICD-9 dataset in advance so that their answers may be adequately researched and “built into” their webinar presentation. CMS plans to publish an official notice regarding the details of the webinar, though no further details are known at the time this article is going to print. Any additional information released by CMS regarding this webinar will be posted to the Wisconsin OASIS website, so check for updated information at http://www.dhs.wisconsin.gov/rl_DSL/HHAs/HHAOASIS.htm.

DQA's Next "OASIS Educational Forum" and OASIS-C1/ICD-9 Training Webinar

DQA began sponsoring a new OASIS educational venue in 2013 to help Wisconsin HHAs keep current with evolving OASIS information. Entitled, the "OASIS Educational Forum," Wisconsin's OASIS Education and Automation Coordinators conduct these educational offerings on a quarterly basis. The next "OASIS Educational Forum" is set for **Thursday, August 14 at 1:00-2:00 p.m.**

The DQA will also be hosting a live OASIS-C1/ICD-9 training webinar through the "The OASIS Educational Forum" on **Thursday, October 30 at 12:30-2:00 p.m.** DQA plans to dedicate October's broadcast solely to OASIS-C1. The focus of this live webinar will be dedicated to staff-level use of the C1/ICD-9 dataset items, with attention to changes in the C-to-C1 dataset. Additional details regarding this webinar will be posted to the Wisconsin OASIS website in the future, so check regularly for updated information at http://www.dhs.wisconsin.gov/rl_DSL/HHAs/HHAOASIS.htm.

Each "OASIS Educational Forum" utilizes an "internet meeting" format for sharing information. If you are new to this format and need "the details" of participating --- i.e., computer requirements, testing your computer's connectivity, and a "Quick Start Guide", read the original article in the January 2013 issue of the "DQA Quarterly Information Update" (page 4) at http://www.dhs.wisconsin.gov/rl_DSL/Publications/dqa-update-1-13.pdf. It is highly suggested to do this several days in advance of the training date in order to be adequately prepared.

On the day of either broadcast of the "OASIS Educational Forum," simply connect online to our "virtual meeting room" about 10 minutes prior to the start time at <https://connect.wisconsin.gov/dhsdqaosis>. Attendees should enter as a "Guest," sign in using the name of your HHA, and provide the number of attendees at your location.

To submit a suggestion for inclusion in either the OASIS-C1/ICD-9 training or the next "OASIS Educational Forum," send an email to MaryJo.Sutton@wi.gov.

Changes to the Estate Recovery Program – August 1, 2014

Administrator's Office

Important changes are taking place in the Estate Recovery Program as a result of the 2013-2015 biennial budget that was passed by the Wisconsin State Legislature in 2013. **These changes will begin August 1, 2014.** Affected programs are BadgerCare Plus, Medicaid, and the Wisconsin Chronic Disease Program. Affected consumers are all Wisconsin Chronic Disease Program members, BadgerCare Plus and Medicaid members over age 55, and all nursing home residents. For more information, see the following:

DHS Operations Memo

<http://www.dhs.wisconsin.gov/em/ops-memos/2014/PDF/14-20.pdf>

Forward Health Member Update - June 2014

<http://www.dhs.wisconsin.gov/em/CustomHelp/updates/pdf/Update061314.pdf>

SRO Quarterly Communication Meeting

Bureau of Nursing Home Resident Care (Southern Regional Office)

Thanks are extended to all who came to the January and April Quarterly Communication Meetings hosted by the Southern Regional Office, Bureau of Nursing Home Resident Care. The next meeting is scheduled for:

Wednesday, July 23, 2014

1:00 - 3:00 PM

Please check and mark your calendars for the following meeting, as well:

Wednesday, October 22, 2014

1:00 - 3:00 PM

Meetings will be held at:

Iowa County Health and Human Services Building
Community Room
303 W. Chapel St.
Dodgeville, WI 53533

There is no cost to attend these meetings and everyone is encouraged to participate.

Pat Virnig, SRO Regional Field Operations Director, and Ann Angell, SRO Regional Field Operations Supervisor, will meet with facility staff from throughout the Southern Region in an informal communication format. If there are questions or specific topics you would like addressed at an upcoming meeting, contact Pat or Ann so that they can ensure the agenda reflects the needs of those attending.

Pat Virnig: Pat.virnig@dhs.wisconsin.gov

Ann Angell: Ann.Angell@dhs.wisconsin.gov

Updates on Act 357: Nurse Aide Training Program Requirements

Office of Caregiver Quality

2013 Wisconsin Act 357 created 146.40(3g), which allows DHS to approve “bridge” nurse aide training programs designed for individuals who received nurse aide training of less than 120 hours in another state that, when combined with an instruction program in the other state, will result in the individual receiving substantially the same instruction as an individual who completes the 120 hour training in Wisconsin. One of the exemptions in Act 357 allows nurse aides --- who are listed on another state’s registry in good standing, but who have completed less than 120 hours of nurse aide training --- to be eligible for placement on the Wisconsin Nurse Aide Registry, if they meet certain requirements. See the following link for specific information: <http://www.dhs.wisconsin.gov/caregiver/NATD/P00687.pdf>

The Environment of Care

Office of Plan Review and Inspection

Assisted living opportunities today must meet a variety of needs. Those needs are directly related to the full spectrum of residential and care models.

The health care objective for a compliant facility is having the services provided match the environmental needs of care. When an existing apartment or hotel structure is acquired and changes its occupancy type to a DQA licensed health care facility, for example a Community Based Residential Facility (CBRF), the facility design must meet the needs of the intended resident population.

The environment of care must address the most vulnerable residents. CBRFs' identify these vulnerable resident populations through a Class-C license as defined by DHS 83. Class-C residents, by definition, are not capable of self-preservation and require physical and/or verbal prompting to seek safety in the event of an emergency.

The owners, and their respective design professionals, have the responsibility to comply with the Department of Health Services (DHS) and the Department of Safety and Professional Services (DSPS) administrative codes. The Class-C example previously mentioned and defined under DHS 83, of a commercial size, would parallel the Wisconsin Commercial Building Code of Group I-2 occupancy. In summary, no code supersedes the other; applicable codes for both agencies must be met by the facility design.

Existing facility assessments, to substantiate compliance with the codes of both agencies, must be comprehensive and should include an architectural, structural, mechanical, electrical, and plumbing review. This assessment must address the new license and the new code standards to improve the opportunity of a successful and efficient plan review, licensure, and inspection process.

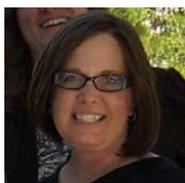
The balance of responsibility, design, and construction is promoted by our regulatory process. The Office of Plan Review and Inspection will author a future memorandum. The memorandum will address the design challenges that current existing facility conversions are experiencing. Questions regarding the process of converting an existing structure to a DQA licensed assisted living facility can be discussed by contacting either **Henry Kosarzycki (414) 227-4951** or **David Soens (608) 266-9675**.

REGULAR FEATURES

Changes in DQA Staff

Bureau of Assisted Living (BAL)

Deceased - Carolyn Happel, Assisted Living Regional Director (Southeastern Regional Office)



After more than two years of battling sarcoma, Carolyn Happel passed on June 10, 2014. Those who had the pleasure of working with Carolyn will remember her as someone who was kind and generous to others as she faced some of life's most turbulent battles herself.

Carolyn was a dedicated DQA employee, an advocate for the residents who reside in regulated settings, and a life-long learner who recently completed her law degree. She will be greatly missed by many, but Carolyn --- especially her persistence, determination, and courage --- will not be forgotten.

Carolyn's obituary can be viewed at:

<http://www.legacy.com/obituaries/jsonline/obituary.aspx?pid=171359974>

Reinstated - Heather Schweiner (Northeastern Regional Office – Green Bay)

Heather Schweiner has been reinstated as a Bureau of Assisted Living surveyor in the Northeastern Regional Office, effective May 19, 2014.

Reassigned - Paul Peshek (Southern Regional Office – Madison)

Paul Peshek has been reassigned to the position of Assisted Living Regional Director (ALRD) of the Bureau of Assisted Living, Southern Regional Office. Paul began his new assignment on May 5, 2014.

Paul is an accomplished leader whose history includes:

- Bachelor of Arts and Science in Health Care Administration, University of Wisconsin-Eau Claire
- Adjunct Faculty to UW-Eau Claire Health Care Administration Program
- Nursing Home Administrator since 1991
- Chief Operating Officer for a long-term health care facility operator where he had operational responsibility for multiple facilities located in several states that focused on quality and person- directed care
- Management positions within the Division of Quality Assurance
 - Director of the Bureau of Nursing Home Resident Care
 - Regional Field Operations Director
 - Regional Field Operations Supervisor

Paul brings extensive knowledge, leadership, and experience to the ever-growing and evolving assisted living community.

Bureau of Nursing Home Resident Care (BNHRC)

Hired - Ginger Croft (Southern Regional Office – Madison)

The Southern Regional Office is pleased to announce its newest Nursing Consultant staff member. Ginger Croft is a nurse who has many years of long term care experience; her most recent experience was working as an Educational Trainer in a long term care facility. Ginger has a vast knowledge of Nursing Home regulations and has expertise in infection control.

Office of Caregiver Quality (OCQ)

Hired - Linda Boswell (Central Office – Madison)

Linda Boswell has been selected for the Health Services Supervisor position in the Office of Caregiver Quality. Linda has over 25 years working in enforcement and field investigation, as well as over 10 years of supervisory experience. In past positions, Linda has developed and provided training to field investigators and management teams. Linda's most recent experience was with the Department of Agriculture, Trade and Consumer Protection as a Program and Policy Supervisor. Linda joined the OCQ Team on May 19, 2014.

Hired - Kimberly Gander (Central Office – Madison)

Kimberly Gander has been selected for the Office Operations Associate position in the Office of Caregiver Quality (OCQ). Kim comes to OCQ with many years of experience in customer service, data entry, creating and editing documents, and using a variety of computer systems. Her most recent experience was with the Dane County Child Support Agency. Kim joined the OCQ Team on June 16, 2014.

DQA Numbered Memos (April, May, June)

Access these memos at http://dhs.wisconsin.gov/rl_DSL/Publications/BQAnodMems.htm or via individual provider publication pages at http://dhs.wisconsin.gov/rl_DSL/.

DQA Memo No. Affected Provider(s)	Title Summary
<p>14-003</p> <p>Certified Mental Health /AODA</p>	<p>Regionalization Application Process for Comprehensive Community Services (CCS)</p> <p>This memo provides guidance to counties about the process for certification by the DQA for those interested in implementing a regional model for the delivery of CCS under Chapter DHS 36.</p>
<p>14-004</p> <p>Adult Family Home Certified Mental Health / AODA Community-Based Residential Facility Facility Serving People with Developmental Disabilities Home Health Agency Hospice Hospital Nurse Aide Training Program Nursing Home Personal Care Provider Residential Care Apartment Complex</p>	<p>2013 Wisconsin Act 357 – Nurse Aide Training “Bridge” Program</p> <p>This memo provides information to health care providers and nurse aide training programs regarding 2013 Wisconsin Act 357.</p>

CMS Survey & Certification Letters (April, May, June)

Listed below are Survey and Certification (S&C) Letters distributed by The Centers for Medicare and Medicaid Services (CMS) since our last issue was published. The CMS Internet site for reviewing all S&C memos is located at <http://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertificationGenInfo/Policy-and-Memos-to-States-and-Regions.html>.

S&C Memo No. Affected Provider(s)	Title Web Address
<p>14-17-HHA Home Health Agency</p>	<p>Outcome and Assessment Information Set (OASIS)-C1 Webinar: April 30, 2014</p>
<p>14-18-NH Nursing Home</p>	<p>Advance Copy: Update of State Operations Manual (SOM) Chapter 7 and Survey Process Timeframe Reminder</p>
<p>14-19-NH Nursing Home</p>	<p>Interim report on the CMS National Partnership to Improve Dementia Care in Nursing Homes: Q4 2011 – Q1 2014</p>

14-20-NH Nursing Home	Fiscal Year (FY) 2014 Post Sequester Adjustment for Special Focus Facility (SFF) Nursing Homes
14-21-LSC Life Safety Code	Publication of Notice of Proposed Rulemaking (NPRM) for Fire Safety Requirements – Informational Only
14-22-NH Nursing Home	Focused Minimum Data Set (MDS) and Dementia Care Surveys
14-23-CLIA	Publication of Final Rule CLIA “TEST ACT” for Proficiency Testing (PT) Referral - Informational Only
14-24-ALL	State Operations Manual (SOM) Chapters 1, 2 & 3 Selected Updates: Medicare Effective Date Determination for Initial Applicants; Survey & Certification Process for Initial Medicare Applicants; Medicaid-only Hospitals; & Deemed Providers/Suppliers
14-25-NH Nursing Home	Advance Copy – Single Use Device Reprocessing under Tag F441, Revisions to Interpretive Guidance in Appendix PP, State Operations Manual (SOM) on Infection Control
14-26-Hospitals Hospitals	Long-term Care Hospital (LTCH) Moratorium – Preliminary Instructions
14-27-Hospitals-CAH/DPU Hospital - Critical Access Hospital / Distinct Part Unit	Hospital Restraint/Seclusion Deaths to be Reported Using the Centers for Medicare and Medicaid Services (CMS) Form CMS-10455, Report of a Hospital Death Associated with Restraint or Seclusion
14-28-NH Nursing Home	Publication of Medicare Program; Prospective Payment System and Consolidated Billing for Skilled Nursing Facilities for FY 2015 – Nursing Home Civil Monetary Penalties - Informational Only
14-29-LSC Life Safety Code	This requirement also applies to rehabilitation or psychiatric distinct part units (DPUs) in Critical Access Hospitals (CAHs)
14-30-NH Nursing Home	Grant Solicitation for the Reinvestment of Federal Civil Money Penalty (CMP) Funds to Benefit Nursing Home Residents
14-31-Hospitals/CAHs Hospital / Critical Access Hospital	Provision of Electronic Health Record (EHR) “Navigators” during Hospital and Critical Access Hospital (CAH) Surveys
14-32-Transplant Solid Organ Transplant Program	The CMS Mitigating Factors Process for Solid Organ Transplant Programs – Notice of Proposed Rule-Making (NPRM) - Informational Only
14-33-ALL	Final Rule - Promoting Program Efficiency, Transparency, and Burden Reduction; Part II - Informational Only
14-34-NH Nursing Home	Advance Copy of Revised F371; Interpretive guidance and Procedures for Sanitary Conditions, Preparation of Eggs in Nursing Homes
14-35-ALL	Update of State Operations Manual (SOM) Chapter 5, Triaging Complaints & Referral of Complainants to Accrediting Organizations

14-36-ALL	Infection Control Breaches Which Warrant Referral to Public Health Authorities
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