

# DQA QUARTERLY INFORMATION UPDATE

JAN 2016

## NEW THIS ISSUE

2016 Conference for All Hospital Providers .....	1
First DQA Nurse Aide Training and Testing Informational Forum .....	2
OASIS – Coding of HIV and STD .....	3
The OASIS Educational Forum – Next Date .....	3
SRO Quarterly Communication Meeting .....	3
2015 Wisconsin Act 107 .....	4

## REGULAR FEATURES

Changes in DQA Staff .....	5
DQA Numbered Memos .....	6
CMS Survey and Certification Letters .....	6

## DQA CONTACTS

### Quarterly Information Update

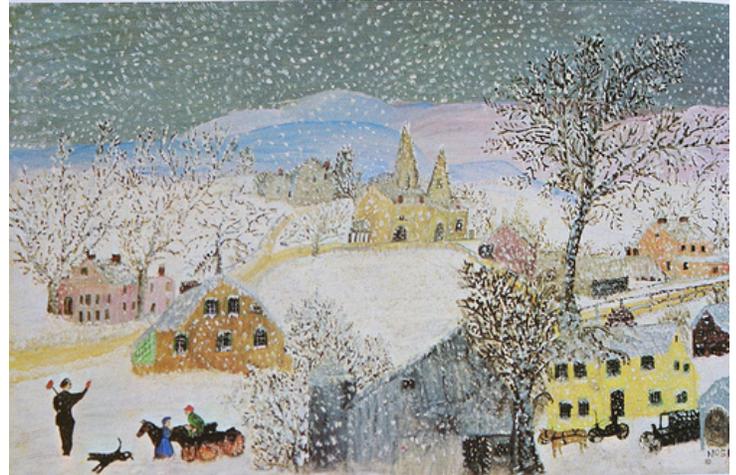
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*The Long Goodbye*, Anna Mary Robertson (Grandma) Moses, 1860 – 1961, American; oil on panel, c. 1960

## NEW THIS ISSUE

### 2016 Conference for All Hospital Providers

Bureau of Education Services and Technology

The Wisconsin Department of Health Services (DHS), Division of Quality Assurance (DQA), is pleased to announce the 2016 Hospital Conference for DQA staff and hospital providers, including acute care, mental health, and critical access hospitals. The conference will be on **Thursday, May 12, 2016**, at the Wilderness Glacier Canyon Conference Center in Wisconsin Dells. Registration for the 2016 Hospital Conference will begin in early March.

Watch your DQA listserv for the online registration announcement. The cost of the conference will be \$150 and includes continental breakfast, luncheon, and afternoon break.

The 2016 Hospital Conference is being developed in collaboration with DHS/DQA staff, hospital providers, and provider association representatives.

This conference will address a wide variety of topics and offer several breakout sessions related to hospital topics and current industry trends. Topics include:

- AODA and how it pertains to behavioral health
- Antibiotic stewardship
- Wis. Stat. chs. 51 and 55
- EMTALA law and how it pertains to behavioral health
- Hospital Acquired Conditions (HAC)
- Life Safety Code
- Patient and family engagement
- Patient safety
- Plans of Correction
- State agency protocol for surveys and complaints
- Transitions of care

For **content or breakout session** questions, contact: Leann Graffin  
[Leann.Graffin@dhs.wisconsin.gov](mailto:Leann.Graffin@dhs.wisconsin.gov)  
608-267-1438

For **registration** questions, contact: UW–Stevens Point Continuing Education  
[uwspe-conf@uwsp.edu](mailto:uwspe-conf@uwsp.edu)  
800-898-9472

*Save the date and stay tuned for more information!*

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## First DQA Nurse Aide Training and Testing Informational Forum

Office of Caregiver Quality



The Division of Quality Assurance, Office of Caregiver Quality, hosted its first Nurse Aide Training and Testing Informational Forum at Wisconsin Indianhead Technical College–Rice Lake on Wednesday, October 7, 2015. Over 40 program coordinators, primary instructors, and program trainers representing 20 approved nurse aide training programs enjoyed an informative, fun-filled day of nurse aide training and testing updates, networking, and a question and answer session.

The morning session focused on nurse aide training issues and the afternoon session focused on testing issues, which included a skills demonstration by the American Red Cross In-State Coordinator, Diane Roberts, RN. DQA’s Nurse Aide Training Consultant, Cindy Hintze, RN, pictured left, acted as the “client” in the demonstration of applying one knee-high elastic stocking.

Participant feedback was exceptionally positive. The Office of Caregiver Quality plans to make this an annual event, rotating locations throughout the state.

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## OASIS—Coding of HIV and STD

Bureau of Education Services and Technology

When OASIS was first implemented, CMS gave states the ability to prohibit the submission of Human Immunodeficiency Virus (HIV) and sexually transmitted disease (STD) diagnosis codes on the OASIS. Wisconsin opted to not allow the submission of HIV or STD codes at that time. If an HIV or STD ICD-9 code was coded by a home health agency on the OASIS and submitted to the CMS OASIS System, that OASIS record would receive a fatal record error and the record would be rejected.

There is no Wisconsin state law prohibiting the reporting of HIV or STD diagnosis codes; therefore, the Division of Quality Assurance made the decision to allow coding of HIV and STD diagnosis codes effective with the implementation of the OASIS C1/ICD-10 data set on October 1, 2015.

**Home health agencies should now code HIV and STD diagnosis codes on the OASIS for appropriate patients.**

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## The OASIS Educational Forum—Next Date

Bureau of Education Services and Technology

This year, 2016, marks the fourth consecutive year that DQA is sponsoring a specific educational venue to help Wisconsin home health agencies (HHAs) keep current with evolving OASIS information. Entitled, *The OASIS Educational Forum*, Wisconsin's OASIS Education and Automation Coordinators conduct these live educational offerings on a quarterly basis, presenting the newest OASIS-related information. The next "Forum" is scheduled for **Thursday, February 25, from 1:00–2:00 pm.**

*The OASIS Educational Forum* utilizes an "internet meeting format" via your computer for sharing the updated OASIS information. It is highly recommended that you test your computer for connection compatibility prior to the day of the Forum by going to

[https://connect.wisconsin.gov/common/help/en/support/meeting\\_test.htm](https://connect.wisconsin.gov/common/help/en/support/meeting_test.htm).

On the day of the broadcast, connect online to our free "virtual meeting room" broadcast approximately 10 minutes prior to the start of the training at <https://connect.wisconsin.gov/dhsdqaoasis>. Enter our online meeting room as a "Guest," signing in with the name of your HHA.

To request a specific OASIS-related topic for discussion/presentation in the next *Forum's* training, send an email to [MaryJo.Sutton@wi.gov](mailto:MaryJo.Sutton@wi.gov).

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## SRO Quarterly Communication Meetings—2016

Bureau of Nursing Home Resident Care (Southern Regional Office)

"I would like to thank Penny Clary, NHA, of Bloomfield Healthcare and Rehab Center and Michelle Carver, NHA, of Upland Hills Nursing and Rehab, for their continued assistance to the SRO by providing the meeting space, setting up the meetings, and providing refreshments. I truly appreciate the opportunity to meet with everyone and hope to have increased attendance in 2016." Pat Virnig

In 2016 Pat Virnig, SRO Regional Field Operations Director, and Ann Angell, SRO Regional Field Operations Supervisor, will again meet with facility management staff from throughout the Southern Region in an informal communication format.

The dates for 2016 are:

Wednesday, February 3  
Wednesday, April 27  
Wednesday, July 27  
Wednesday, October 26

The time for all meetings is:

1:00–3:00 in the afternoon

The location of the meetings will again be:

Iowa County Health and Human Services Building  
Community Room  
303 W. Chapel St.  
Dodgeville, WI 53533

There is no cost to participants and there is no need to confirm attendance prior to the meeting. Reminder or updated information will be put in the DQA Quarterly so that all can plan accordingly.

If there are questions or specific topics you would like addressed at an upcoming meeting, contact Pat or Ann so that they can ensure the agenda reflects the needs of those attending.

Pat Virnig: [patricia.virnig@dhs.wisconsin.gov](mailto:patricia.virnig@dhs.wisconsin.gov)

Ann Angell: [ann.angell@dhs.wisconsin.gov](mailto:ann.angell@dhs.wisconsin.gov)

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## 2015 Wisconsin Act 107: Revised Rules for Nursing Homes and ICF/IIDs Related to the Maintenance and Use of Oxygen

### Administrative Services Unit

Governor Scott Walker signed 2015 Wisconsin Act 107 into law, modifying administrative rules related to maintenance and use standards for oxygen equipment in nursing homes and immediate care facilities for individuals with intellectual disabilities (ICF/IID). This law took effect November 13, 2015.

Under the previous rules, cannulas, hoses, humidifier bottles, and disposable inhalation equipment used at a resident's bedside were to be changed at least every five days; and, other inhalation equipment, such as intermittent positive pressure breathing equipment, changed daily. The 2015 Wis. Act 107 amends these rules and provides that the equipment shall instead be maintained and used in accordance with current standards of practice and manufacturers' recommendations.

The following changes were made to Wis. Admin Code chs. DHS 132 and DHS 134:

- Wis. Admin Code §§ DHS 132.71(7)(d) and DHS 134.71(5)(d) were amended to read, "When in use at the resident's bedside, cannulas, hoses, and humidifier bottles shall be maintained and used in accordance

with current standards of practice and manufacturers' recommendations." (The previous code stated that cannulas, hoses, and humidifier bottles shall be changed and sterilized at least every five days.)

- Wis. Admin. Code §§ DHS 132.71(7)(e) and DHS 134.71(5)(e) were amended to read, "Disposable inhalation equipment shall be maintained and used in accordance with current standards of practice and manufacturers' recommendations." (The previous code stated that disposable inhalation equipment shall be pre-sterilized and kept in contamination-proof containers until used, and shall be replaced at least every five days when in use.)
- Wis. Admin. Code §§ DHS 132.71(7)(f) and DHS 134.71(5)(f) were amended to read, "With other inhalation equipment such as intermittent positive pressure breathing equipment, the entire resident breathing circuit, including nebulizers and humidifiers, shall be maintained and used in accordance with current standards of practice and manufacturers' recommendations." (The previous code stated that inhalation equipment—such as intermittent positive pressure breathing equipment, the entire resident breathing circuit, including nebulizers and humidifiers—shall be changed daily.)

**Nursing staff in nursing homes** should review this information with their infection preventionist and medical director and update their policies and procedures accordingly. **Nursing staff in ICF/IIDs** should review this information with their medical staff and update their policies and procedures accordingly.

The complete text of 2015 Wisconsin Act 107 can be found at:  
<http://docs.legis.wisconsin.gov/2015/related/acts/107.pdf>

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## REGULAR FEATURES

### Changes in DQA Staff

#### *Bureau of Assisted Living (BAL)*

##### ***New Appointment: Mackenzie Carr, License/Permit Program Associate (SERO/Milwaukee)***

Mackenzie Carr is new to DQA and began her license/permit responsibilities at the Southeastern Regional Office in Milwaukee on September 21, 2015.

#### *Bureau of Education Services and Technology (BEST)*

##### ***Appointment: Jessica Tomzack, Nurse Consultant II (SERO/Milwaukee)***

Jessica Tomzack began her duties as a Nurse Consultant II on November 30, 2015, with primary responsibility for acute care hospitals, psychiatric hospitals, specialty hospitals, critical access hospitals (CAH), long-term care hospitals, distinct part hospital units, End Stage Renal Disease (ESRD) dialysis centers, transplantation programs, and emergency services regulated by EMTALA. Jessica is located in the DQA Southeastern Regional Office in Milwaukee.

#### *Bureau of Health Services (BHS)*

##### ***New Appointment: Marcia Vasicek, License/Permit Program Associate (CO/Madison)***

Marcia has been working in the Bureau of Health Services, Behavioral Health Certification Section, as a License/Permit Program Associate since September 28, 2015. Marcia is new to DQA and is located in the DQA Central Office in Madison.

*Bureau of Nursing Home Resident Care (BNHRC)*

**Reinstatement: Ashley Weiner, Nurse Consultant I (NERO/Green Bay)**

Ashley resumed her surveyor duties September 28, 2015, and is located at the DQA Northeastern Regional Office in Green Bay.

**New Appointment: Amy Panosh, Health Services Specialist (NERO/Green Bay)**

Amy began her surveyor responsibilities November 16, 2015, and is located at the DQA Northeastern Regional Office in Green Bay.

*Office of Plan Review and Inspection (OPRI)*

**Reinstatement: David Fliess, Architect/Professional Engineer Supervisor (SERO/Milwaukee)**

David Fliess previously served as a DQA Life Safety Code surveyor and left in 2013 to continue his professional career with CMS. He will assume his supervisory responsibilities for DQA as of Monday, January 25, 2016, and will be located in the DQA Southeastern Regional Office in Milwaukee.

**DQA Numbered Memos (October, November, December)**

Access these memos at <https://www.dhs.wisconsin.gov/dqa/memos/index.htm> or via individual provider publication pages at <https://www.dhs.wisconsin.gov/regulations/health-residential.htm>.

DQA Memo No. Affected Provider(s)	Title Summary
<p style="text-align: center;">15-014</p> <p style="text-align: center;">Nursing Homes Nursing Home Stakeholders</p> <p>Replaces DQA Memo 13-012</p>	<p><b>Invitation to Nursing Homes and Nursing Home Stakeholders to Apply for Grants for Use of Civil Money Penalty (CMP) Funds</b></p> <p>The purpose of this memo is to invite nursing homes and nursing home stakeholders to apply for grants of Civil Money Penalty (CMP) funds to use for projects that will benefit nursing home residents. CMS makes CMP funds available to qualified entities to support proposals designed to improve the quality of care and life of persons living in nursing homes.</p>

**CMS Survey and Certification Letters (October, November, December)**

Listed below are Survey and Certification (S&C) Letters distributed by The Centers for Medicare and Medicaid Services (CMS) since our last issue was published. The CMS Internet site for reviewing all S&C memos is located at <http://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertificationGenInfo/Policy-and-Memos-to-States-and-Regions.html>.

S&C Memo No. Affected Provider(s)	Title Summary
<p>16-01-Hospitals</p>	<p><b>Revised Hospital Guidance for Pharmaceutical Services and Expanded Guidance Related to Compounding of Medications</b></p>

S&C Memo No. Affected Provider(s)	Title Summary
	<p>CMS has updated the State Operations Manual (SOM) Appendix A with respect to both the hospital survey process and the interpretive guidelines for the pharmaceutical services Condition of Participation (CoP). The update includes the following features:</p> <ul style="list-style-type: none"> <li>● <b>Pharmaceutical Services:</b> Revisions were made to portions of the pharmaceutical services CoP to bring them into alignment with current accepted standards of practice. To improve clarity, the revised guidance addresses: accepted professional pharmacy principles, including United States Pharmacopeia (USP) standards; compounding of medications, particularly compounded sterile preparations (CSPs); determining beyond-use dates (BUDs); safe and appropriate storage and use of medications; and, policies and procedures related to high-alert medications and minimizing drug errors.</li> <li>● <b>Additional Tag:</b> A new standard-level tag was added to allow surveyors to cite to the regulatory language found in the condition stem statement at either the standard- or condition-level, as appropriate, in the Automated Survey Processing Environment (ASPEN).</li> <li>● <b>Preparing CSPs Outside of the Pharmacy:</b> Guidance was updated for the nursing service regulatory requirements concerning medication administration to clarify that hospitals must ensure staff adherence to accepted standards of practice in those limited instances when CSPs may be prepared outside of the pharmacy.</li> </ul>
<p>16-02-CLIA</p>	<p><b>Advanced Notification: Revisions to State Operations Manual (SOM), Appendix C—Survey Procedures and Interpretive Guidelines for Laboratories and Laboratory Services</b></p> <p>The Clinical Laboratory Improvement Amendments (CLIA) Individualized Quality Control Plan (IQCP) procedure in the revised SOM will supersede the IQCP procedure in S&amp;C 13-54.</p> <ul style="list-style-type: none"> <li>● <b>Revisions to Appendix C of the SOM:</b> Revisions include the removal of Equivalent Quality Control (EQC) in the Interpretive Guidelines for § 493.1256(d) and the insertion of IQCP in the Interpretive Guidelines for § 493.1256(d).</li> <li>● <b>Education and Transition Period:</b> The IQCP Education and Transition Period will conclude on 2015-12-31.</li> <li>● <b>Implementation of IQCP:</b> The IQCP effective date will be 2016-01-01.</li> <li>● <b>Guidance for surveyors after full implementation of IQCP:</b> Surveyors will continue to follow established survey policies and protocols using the Outcome Oriented Survey Process.</li> <li>● <b>Educational resources:</b> Resources are available on the CLIA Webpage at <a href="http://www.cms.gov/CLIA/">http://www.cms.gov/CLIA/</a>.</li> </ul>

S&C Memo No. Affected Provider(s)	Title Summary
16-03-ESRD	<p><b>Release of Fiscal Year (FY) 2016 End Stage Renal Disease (ESRD) Core Survey Data Worksheet</b></p> <p>The ESRD Core Survey Data Worksheet has been updated for FY 2016 with current clinical indicators and corresponding national thresholds for facility comparison. CMS is providing the revised worksheet to ensure consistent administration of a standardized ESRD survey process and is requiring surveyor’s use of the ESRD Core Survey Data Worksheet for all recertification surveys of ESRD facilities.</p>
16-04-NH	<p><b>Focused Dementia Care Survey Tools</b></p> <p>Focused Dementia Care Survey Pilot: CMS completed a pilot project in 2014 to examine the process for prescribing antipsychotic medications and assess compliance with other federal requirements related to dementia care practices in nursing homes. Additionally, it was initiated to gain new insights about surveyor knowledge and skills and ways that the current survey process may be streamlined to more efficiently and accurately identify and cite deficient practice.</p> <p>Expansion of Focused Dementia Care Survey Efforts: In 2015, the expansion project involved a more intensive, targeted effort to cite poor dementia care and the overutilization of antipsychotic medications, and broaden the opportunities for quality improvement among providers.</p> <p>Focused Dementia Care Survey Tools: In response to feedback from stakeholders and partners of the National Partnership to Improve Dementia Care in Nursing Homes, CMS is sharing the revised survey materials that were developed for the 2014 Focused Dementia Care Survey Pilot and 2015 expansion effort. The intent is that facilities would use these tools to assess their own practices in providing resident care.</p>