WISCONSIN STATE PLAN FOR
ADMINISTRATION OF THE
TITLE XX BLOCK GRANT
TO STATES FOR SOCIAL SERVICES FFY2018

Wisconsin Department of Health Services
1 West Wilson Street
P.O. Box 7850
Madison, WI 53707-7850
I. State/Federal Fiscal Year for Pre-Expenditure Plan
Wisconsin's Pre-Expenditure Plan for the Social Services Block Grant (SSBG) covers the federal fiscal year 2018 beginning October 1, 2017 and ending September 30, 2018.

II. Transmittal Letter-Attached

III. Public Inspection of Pre-Expenditure Report
The Pre-Expenditure Report for FFY 2018 was posted on the Department of Health Services' external website on August 1, 2017 with a brief explanation of services included in the plan. The notice included information about how to provide comments through three paths - via FAX, regular mail or email. Please refer to the Appendices for a copy of the notice.

In addition, Wisconsin has a county administered human services system. Each of Wisconsin's 72 counties has a County Board which makes decisions about how to allocate federal, state, and local funding for human services, including the Social Services Block Grant. The website also provides information about how to access these local budget documents.

IV. Narrative
A. Administrative Operations
The primary mission of the Department of Health Services is to support economic prosperity and quality of life through various programs and strategies focused on protecting and promoting the health and safety of the people of Wisconsin. Toward that end, the Department has adopted a set of guiding principles and 14 performance measures. The guiding principles and measures focus on supporting individuals in leading fulfilling, self-directed, healthy lives. They also recognize the important role that families, friends and communities play in helping achieve these goals.

The SSBG is administered by county departments of human services. Each County agency works with their county board to allocate these resources to meet identified needs within their communities. In general, county departments manage the following:

- Adoption services
- Counseling services
- Child and adult day care
- Foster care services, adult and child
- Health-related services
- Home-based services
- Information and referral services
- Prevention and intervention
- Child and adult protective services
- Special services for individuals with disabilities
- Transportation

B. Fiscal Operations

1. The SSBG funds are allocated to Wisconsin counties in accordance with a formula developed by the Legislature and the Governor as aids to county governments through the state budget process. These entities are bound under the State and County Contract for Social Services and Community Programs to meet all federal requirements for the SSBG.

2. At the state level, decisions about the allocation of funds are made by the Joint Committee on Finance, based on recommendations of state agencies and the Governor's Office. Notices of the Committee's meetings and agendas are posted in accordance with state statues and include an opportunity for public comment on specific topics, including funding for social services. The full Legislature approves or modifies the Committee's decisions as part of the final enactment of the state budget.

In addition, Wisconsin's counties seek input from the public on the use of human services funding, including SSBG funds. County Supervisor Board meetings, where decisions about funding allocations are made, are public meetings. Notices of these meetings and agendas must be posted in accordance with the State's Open meetings law.

3. Wisconsin will follow all federal cost allocation requirements under the Department of Health Services' approved federal cost allocation plan. The State has sufficient fiscal control and funding accountability to adequately safeguard the disbursement and accountability for funds awarded.
C. Program Operations

1. Wisconsin will continue to support all five of the SSBG goals.
   a. Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency;
   b. Achieving or maintaining self-sufficiency, including reduction or prevention of dependency;
   c. Preventing or remediying neglect, abuse or exploitation of children and adults unable to protect their own interest, or preserving, rehabilitating or reuniting families;
   d. Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care of other forms of less intensive care; and
   e. Securing referral or admission for institutional care when other forms of care are not appropriate or providing services to individuals in institutions.

2. Eligibility for all services provided under the SSBG include:
   a. Recipients of Medicaid
   b. Recipients of Supplemental Security Income
   c. Individuals who declare their income to be at or below 75 percent of the State’s median income (see table below)

### Estimated Wisconsin State Median Income FFY 2018

100% Median Income for a family of 4 = $85,259*

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<thead>
<tr>
<th>Family Size</th>
<th>Income Limit Yearly (75%)</th>
<th>Monthly (75%)</th>
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<td>10</td>
<td>$92,080</td>
<td>$7,673</td>
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</table>

*Source: U.S. Department of Health and Human Services, Low Income Home Energy Assistance Program*
Counties may choose to provide services to individuals and families whose income exceeds the state maximums noted above. There is no income test for persons receiving social services which are court-ordered or protective in nature or for any of the following: crisis intervention, outreach, and information and referral. In addition, counties have waived the income test for specific services, including, but not limited to, crisis and respite child care.

Definitions:
Wis. Statutes s. 48.02(1d)-"Adult" means a person who is 18 years of age or older, except that for purposes of investigating or prosecuting a person who is alleged to have violated any state or federal criminal law or any civil law or municipal ordinance, "adult" means a person who has attained 17 years of age.

Wis. Statutes s. 48.02(2)-"Child" means a person who is less than 18 years of age, except that for purposes of investigating or prosecuting a person who is alleged to have violated a state or federal criminal law or any civil law or municipal ordinance; "child" does not include a person who has attained 17 years of age.

"Family" means one or more parents and children related by blood, marriage or adoption, and residing in the same household; or a parent substitute, such as a related caregiver or legal guardian, who has responsibility for the 24-hour care and supervision of a child.

3. The following services are provided by all county departments of human services. The method of service delivery varies across the state (e.g. public, private or a combination of both) depending on decisions of the County Board.

- Adoption services
- Counseling services
- Child and adult day care
- Foster care services, adult and child
- Health-related services
- Home-based services
- Information and referral services
- Prevention and intervention
- Child and adult protective services
- Special services for individuals with disabilities
- Transportation
V. Pre-Expenditure Reporting Form

Official Authorized to commit Wisconsin to this agreement:

Thomas J. Engels
Deputy Secretary
Wisconsin’s Social Services Block Grant

The Wisconsin Department of Health Services receives federal funding each year to support a wide array of local services for families and individuals with low incomes. This funding is managed by local departments of human services. Local services supported by the Social Services Block Grant (Title XX Block Grant) include the following:

Adoption Assistance  Counseling
Child & Adult Day Care  Health Services
Child & Adult Foster Care Services  Home-Based Services
Information & Referral Services Child  Prevention & Intervention
& Adult Protective Services  Services for the Disabled
Transportation

For the complete list, please click on the following link pre-expenditure report.

Federal law requires DHS to provide the public with an opportunity to review and provide comments on these proposed expenditures for 2017-2018. Comments may be sent by fax, regular mail or by email before 12:00p.m. on August 30, 2017.

FAX: 608-267-0358
Mail: SSBG
Office of Policy, Initiatives and Budget
P.O. Box 7850
Madison, WI 53707-7850
Email: DHSGrantReview@dhs.wisconsin.gov

In addition, each of Wisconsin’s 72 counties has a County Board which makes decisions about how these federal funds are spent. These local budget documents are available to county department of human services upon request. Comments on these documents should also be sent to the above. For a list of local agencies, go to http://www.dhs.wisconsin.gov/forwardhealth/imagency/index.htm.
Appendix B- Certifications- Attached

Appendix C- Proof of Audit
Each year, the Legislative Audit Bureau completes a financial and compliance audit of the State of Wisconsin. This single audit satisfies state agencies' audit requirements under the federal Single Audit Act of 1984, as amended, and federal Office of Management and Budget Circular A-133. The latest audit covers the period for state fiscal year 2015-16. Highlights from the audit are available at https://legis.wisconsin.gov/lab/media/2610/17-5Highlights.pdf. The full audit can be found at https://legis.wisconsin.gov/lab/media/2612/17-5full.pdf.
<table>
<thead>
<tr>
<th>Service Supported with SSBG Expenditures</th>
<th>SSBG Allocation</th>
<th>Funds transferred into SSBG*</th>
<th>Expenditures of All Other Federal, State and Local funds**</th>
<th>Total Expenditures</th>
<th>Provision Method</th>
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<tr>
<td>1 Adoption Services</td>
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<td>3 Congregate Meals</td>
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<td>8 Employment Services</td>
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<td>Independent/Transitional Living Services</td>
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<td>16</td>
<td>Information &amp; Referral</td>
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<td>Pregnancy &amp; Parenting</td>
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<td>Residential Treatment</td>
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<td>24</td>
<td>Special Services–Disabled</td>
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<td>Special Services–Youth at Risk</td>
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<td>Substance Abuse Services</td>
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<td>$677,825,995</td>
<td>$720,739,382</td>
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* From which block grant(s) were these funds transferred? TANF county and state tax dollars and federal IV-B and IV-E

** Please list the sources of these funds: see footnotes (attached)
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<th>Service Supported with SSBG Expenditures</th>
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<td>14 Housing Services</td>
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<td>23 Residential Treatment</td>
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<td>24 Special Services--Disabled</td>
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<td>26 Substance Abuse Services</td>
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CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions.

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusive-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant
may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

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Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals;
   (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
   (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
   (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
   (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other
remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph five of these instructions, if a participant in a coveted transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

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Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion- Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, declared
ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Thomas J. Engels
Signature and Date
Printed Name
Deputy Secretary
Title
HHS Department of Health Services
Organization
CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d) (2) and 76.645 (a) (1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application to grant agreement, the grantee is providing the certification set out below.

2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. For grantees other than individuals, alternate I apply.

4. For grantees who are individuals, Alternate II applies.

5. Workplaces under grants, for grantees other than individuals, need to be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).

7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).

8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees’ attention is called, in particular, to the following definitions from these rules:
Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

(a) publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
(b) Establishing an ongoing drug-free awareness program to inform employees about-
   (1) The dangers of drug abuse in the workplace;
   (2) The grantee's policy of maintaining a drug-free workplace;
   (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
   (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will -
   (1) Abide by the terms of the statement; and
(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notify the agency in writing, within 10 calendar days after receiving notice under paragraph (d) (2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted — (I) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.
[55FR21690, 21702, May 25, 1990]

Signature and Date:
Thomas J. Engels
Printed Name:
Deputy Secretary
Title:
Director, Department of Health Services
Organization:
CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to $1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

[Signature]

[Printed Name]
Thomas J. Engels

[Title]
Deputy Secretary

[Organization]
Department of Health Services
CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form, LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature and Date

[Signature]
[Date]

Printed Name

[Printed Name]

Title

[Title]

Organization

[Organization]