# Consumer Information Report for Nursing Homes Summary 2022

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#### ARIA OF BROOKFIELD

18740 W BLUEMOUND RD BROOKFIELD, WI 53045 (262) 782-0230

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License Number: 3050 Medicare Certified? YES
Number of Licensed Beds: 170 Medicaid Certified? YES

Ownership Type: For Profit Corporation
Owner: ARIA OF BROOKFIELD

#### **Staff: Residents**

	Staff:Residents, by shift, in a two-week time period		
Nursing	(Average number of residents: 100)		
Home Staff	Day Shift	Evening Shift	Night Shift
Nurses (RNs & LPNs)	1 Nurse: 21 Residents	1 Nurse: 27 Residents	1 Nurse: 46 Residents
Nurse Aides	1 NA: 12 Residents	1 NA: 12 Residents	1 NA: 20 Residents

<sup>\*</sup>If the number of residents is a "\*" in one of the categories above, there was an average of <1 nurse or NA on the shift. There may have been a combination of part-time RNs, LPNs or NAs on the shift on one or more days during the two-week time period, which could make the average <1. Per Wisconsin Administrative Code, Chapter HFS 132, there must be at least one RN or LPN on duty at all times.

#### **Staff Retention Rates**

N THE CLOSE	Staff Retention Rates (Percent of staff employed for at least one year)		
Nursing Home Staff			
	This Home WAUKESHA State of Wisconsin		
		County Average	Average
	(NS=no staff)	(14 homes reporting)	(277 homes reporting)
Full-time Nurses (RNs)	5%	72%	73%
Part-time Nurses (RNs)	25%	61%	66%
Full-time Nurses (LPNs)	5%	62%	71%
Part-time Nurses (LPNs)	17%	56%	67%
Full-time Nurse Aides	7%	55%	64%
Part-time Nurse Aides	0%	52%	56%

This two-page summary was prepared by the Division of Quality Assurance, Wisconsin Department of Health Services. For questions about this report, call (608) 264-9898. See the full report on the internet (after 5/1/2023) at <a href="https://www.dhs.wisconsin.gov/guide/cir.htm">https://www.dhs.wisconsin.gov/guide/cir.htm</a> or request a copy (after 5/1/2023) at (608) 266-8368. The report should also be available in the facility.

## Federal Violations Cited in State "Inspection" Surveys for

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#### ARIA OF BROOKFIELD

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This summary table provides a count of federal violations cited for this nursing home in 2022, by category of violation. County and state averages are shown for comparison. Surveys are conducted by the Division of Quality Assurance at least every 9-15 months, and may be conducted more often. This home was cited with Substandard Quality of Care during the year 2022. See the full Consumer Information Report 2022 for details.

Federal Regulation	Federal Violations in 2022		
* Each category consists of many specific regulations. See detail in report.	Total # Citations for this Home (NS = Facility not surveyed in 2022)	Average # Citations for WAUKESHA County (17 homes)	Average # Citations for Wisconsin (333 homes surveyed in 2022)
Quality of Care: Provide care that promotes resident's highest level of well-being. Example: Prevent/treat pressure sores.	12	3.6	3.5
Resident Services: Provide services that meet state standards. Example: Develop a comprehensive care plan for each resident.	4	1.2	1.3
<b>Quality of Life:</b> Provide a pleasant, homelike atmosphere. Example: Provide an activities program that meets needs and interests.	4	0.3	0.5
Resident Rights: Assure individual rights. Example: Assure right to personal privacy.	5	0.9	1.2
<b>Freedom from Abuse:</b> Assure freedom from abuse, neglect, or restraints. Example: Assure the right to be free from abuse.	3	0.8	0.8
<b>Staffing/Staff Training:</b> Provide adequate and qualified staff. Provide traing to staff on policies and procedures. Example: Provide sufficient and competent nursing staff.	1	0.2	0.3
Pharmacy/Lab Services: Provide or obtain medications and lab services. Example: Residents are free of significant medication errors.	5	1.5	0.9
Administration: Use resources to promote resident's highest level of well-being. Example: Must have governing body to ensure safe and efficient management of the facility.	0	0.0	0.3
Total Violations	34	8.4	8.8

# Consumer Information Report for Nursing Homes 2022

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#### INTRODUCTION

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ARIA OF BROOKFIELD 18740 W BLUEMOUND RD BROOKFIELD, WI 53045 (262) 782-0230

License Number: 3050

**DQA Regional Office:** SOUTHEASTERN

**Ownership Type:** For Profit Corporation

Owner (Licensee): ARIA OF BROOKFIELD

Federal Certification Level: MEDICARE (TITLE 18) SKILLED NURSING FACILITY (SNF)

MEDICAID (TITLE 19) NURSING FACILITY (NF)

#### **SECTION 1 - FEDERAL REGULATION DEFICIENCIES**

Section 1 of this report describes the numbers and types of **Federal regulation deficiencies** found during surveys conducted in 2022. "Deficiencies" are cited for noncompliance with Federal regulations. This section also compares these numbers to averages for all nursing homes of similar size.

#### **SECTION 2 - NURSING STAFF TURNOVER AND RETENTION RATES**

Section 2 provides information about **nursing staff turnover and retention** rates at this nursing home in 2022. It compares these rates to the averages for all nursing homes of similar size.

**APPENDICES** (on the internet after 5/1/2023) include:

**Appendix A** - a list of **resource agencies** for consumers;

**Appendix B** - information about how nursing staff turnover & retention rates are calculated; and

**Appendix C** - statewide averages.

## **SECTION 1 - SURVEY RESULTS FOR THIS FACILITY**

Nursing homes in Wisconsin operate under rules enacted by the Federal government (for the Medicare and/or Medicaid programs) and by the State of Wisconsin. Surveyors from the Wisconsin Division of Quality Assurance conduct unannounced inspections at each nursing home at least once every 9 to 15 months to determine if the nursing home complies with all State and Federal rules. State surveyors also conduct follow-up visits to ensure that violations have been corrected, investigate complaints, and conduct other surveys as necessary.

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When state surveyors determine that a nursing home is not in compliance with a Federal regulation, the nursing home is cited with a violation or "deficiency". The number and type of violations for surveys conducted in 2022 are described in this report.

The number of federal regulation deficiencies cited in Wisconsin nursing homes during 2022 surveys ranged from **0** to **100**, with an average of **8.8** citations.

In 2022 survey(s), ARIA OF BROOKFIELD, BROOKFIELD, which has 170 licensed beds, was cited with:

## 34 Federal regulation deficiency(ies)

Statewide, the average number of deficiencies for a nursing home with 100-199 beds was 12.5.

In addition, this home was cited with 17 federal building safety violations and 2 federal emergency preparedness violations.

The number of federal building safety violations statewide in 2022 ranged from 0 to 22, with an average of 6.0 citations.

The number of federal emergency preparedness violations statewide in 2022 ranged from 0 to 4, with an average of 0.3 citations.

Finally, when there is no comparable requirement under federal regulations, nursing facilities may be cited for deficient practices under state regulations. The number of state regulation deficiencies cited in Wisconsin nursing homes during 2022 surveys ranged from **0 to 2, with an average of 0.07 citations**. This home was cited with **0** state regulation deficiency(ies).

### **Federal Regulation Deficiencies:**

To determine Federal regulation deficiencies, surveyors use a resident-centered, outcome-based process. Equal emphasis is placed on the quality of care the resident receives and on the quality of the resident's life in the nursing home, and on whether or nor the resident's rights, dignity and privacy are respected. These factors are evaluated by observing residents' care; interviewing residents, families and staff; and reviewing medical records.

If it is determined that a Federal regulation deficiency exists, the deficiency is placed on a grid. Grid placement is based on two measures:

- Severity/Harm, the degree of impact that a deficient practice has on residents at the facility; and
- *Scope/Frequency*, the prevalence of a deficient practice within a facility, or the proportion of residents who were or could have been affected.

All Federal deficiencies fit into one of the following four grid levels, from most to least serious: Immediate Jeopardy, Significant Correction, Correction and Substantial Compliance. If this home had deficiencies at any of the four grid levels in the last survey, those deficiencies are listed below. Each deficiency listed is followed by the abbreviation of its federal regulation category: Quality of Care (QC), Resident Services (RS), Quality of Life (QL), Resident Rights (RR), Freedom from Restraints/Abuse (FA), Staffing/Staff Training (ST), Pharmacy/Lab Services (PL), and Administration (AD). A deficiency may be listed more than once if it was cited more than once during the year. Also, some citations share the same title, so you may see separate citations listed with the same title on the same date.

Certain Federal regulation deficiencies at the Immediate Jeopardy, Significant Correction and Correction grid levels cause a nursing home to be designated as having "Substandard Quality of Care (SQC)". This home was designated with SQC during the year 2022. 50 Wisconsin nursing homes received the SQC designation in 2022. SQC deficiencies constitute: immediate jeopardy to resident health or safety; a pattern of or widespread actual harm that is not immediate jeopardy; or widespread potential for more

**Immediate Jeopardy.** This deficiency exists when a situation caused (or is likely to cause) serious injury, serious harm, impairment or death to a resident receiving care in the facility AND facility practice makes it probable that similar actions, situations, practices, or incidents will occur again. Immediate corrective action is needed. The nursing home received **2 Immediate Jeopardy deficiencies** in 2022.

<u>DEFICIENCY</u>	<b>CATEGORY</b>	SURVEY DATE
Dialysis	QC	12/06/2022
Residents Are Free Of Significant Med Errors	PL	12/06/2022

**Significant Correction.** This deficiency exists when a situation resulted in a negative outcome that compromised a resident's ability to maintain or reach his/her highest practicable physical, mental, or psychosocial well-being. This nursing home received **3 Significant Correction deficiencies** in 2022.

<u>DEFICIENCY</u>	<b>CATEGORY</b>	SURVEY DATE
Free Of Accident Hazards/Supervision/Devices	QC	01/27/2022
Treatment/Svcs To Prevent/Heal Pressure Ulcer	QC	06/23/2022
Treatment/Svcs To Prevent/Heal Pressure Ulcer	QC	12/06/2022

**Correction.** This deficiency exists when a situation resulted in minimal physical, mental, or psychosocial discomfort to a resident and/or has the potential (not yet realized) to compromise a resident's ability to maintain or reach his/her highest practicable physical, mental, or psychosocial well-being. This nursing home received **28 Correction deficiencies** in 2022.

DEFICIENCY	<b>CATEGORY</b>	<b>SURVEY DATE</b>
Activities Daily Living (Adls)/Mntn Abilities	QL	01/27/2022
Adl Care Provided For Dependent Residents	QL	01/27/2022
Bowel/Bladder Incontinence, Catheter, Uti	QC	01/27/2022
Dialysis	QC	01/27/2022
Free From Unnec Psychotropic Meds/Prn Use	PL	01/27/2022
Free Of Medication Error Rts 5 Prcnt Or More	PL	01/27/2022
Increase/Prevent Decrease In Rom/Mobility	QC	01/27/2022
Infection Prevention & Control	QC	01/27/2022

Nutrition/Hydration Status Maintenance	QC	01/27/2022
Quality Of Care	QC	01/27/2022
Safe/Clean/Comfortable/Homelike Environment	RR	01/27/2022
Treatment/Svcs To Prevent/Heal Pressure Ulcer	QC	01/27/2022
Adl Care Provided For Dependent Residents	QL	06/23/2022
Investigate/Prevent/Correct Alleged Violation	FA	06/23/2022
Pharmacy Srvcs/Procedures/Pharmacist/Records	PL	06/23/2022
Reporting Of Alleged Violations	FA	06/23/2022
Right To Access/Purchase Copies Of Records	RR	06/23/2022
Safe/Clean/Comfortable/Homelike Environment	RR	06/23/2022
Adl Care Provided For Dependent Residents	QL	10/28/2022
Food Procurement, Store/Prepare/Serve-Sanitary	RS	10/28/2022
Grievances	RR	10/28/2022
Investigate/Prevent/Correct Alleged Violation	FA	10/28/2022
Nutritive Value/Appear, Palatable/Prefer Temp	RS	10/28/2022
Personal Privacy/Confidentiality Of Records	RR	10/28/2022
Nutritive Value/Appear, Palatable/Prefer Temp	RS	12/06/2022
Pharmacy Srvcs/Procedures/Pharmacist/Records	PL	12/06/2022
Provision Of Medically Related Social Service	RS	12/06/2022
Quality Of Care	QC	12/06/2022

**Substantial Compliance.** This deficiency exists when a situation has the potential for causing only minor negative impact on residents. This nursing home received **1 Substantial Compliance deficiencies** in 2022.

<u>DEFICIENCY</u>	<u>CATEGORY</u>	SURVEY DATE
Posted Nurse Staffing Information	ST	10/28/2022

For questions about this report, call (608) 264-9898. For further information about specific violations or more recent surveys, contact the administrator of this facility or the Division of Quality Assurance at (608) 266-8368.

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# SECTION 2 - NURSING STAFF TURNOVER AND RETENTION

This section provides two measures describing the rate of change among nursing employees from January 1, 2022, through December 31, 2022: a "turnover rate" and a "retention rate." The turnover rate is based on new hires during the year as a proportion of total staffing in a category. The retention rate is based on the proportion of staff in a category at the beginning of the year who are still employed by the end of the year. The formulas used to calculate nurse staffing turnover and one-year retention rates are explained in **Appendix B**.

Rates are calculated separately for full-time employees, persons working 37.5 hours or more per week, and part-time employees, persons working less than 37.5 hours per week. An "NS" indicates the nursing home reported having *no staff* in that particular category. Registered nurses (RNs) are nurses who are licensed and hold a certificate of registration by the State of Wisconsin. In 2022, this nursing home had:

- A turnover rate for full-time RNs of 100%, vs. 53% statewide and 47% across all nursing homes with 100-199 beds.
- A turnover rate for part-time RNs of 100%, vs. 61% statewide and 68% across all nursing homes with 100-199 beds.

In some cases, the turnover rate might be artificially high because one position changes frequently throughout the year. For example, if a nursing home with ten nurses had one position that was filled by five people throughout the year, the turnover rate is 50% (5 divided by 10) even though nine of the ten nurses did not change. The "retention rate" captures a sense of the stability of staff outside of the positions that changed frequently. In the example just used, the one-year retention rate is 90% (i.e., nine of the ten nurses had worked at least one year).

In 2022, this nursing home had:

- A retention rate for full-time RNs of 5%, vs. 73% statewide and 73% across all nursing homes with 100-199 beds.
- A retention rate for part-time RNs of 25%, vs. 66% statewide and 67% across all nursing homes with 100-199 beds.

Licensed practical nurses (LPNs) are nurses who are licensed by the State of Wisconsin as practical nurses. At this nursing home in 2022, there was:

- A turnover rate for full-time LPNs of 100%, vs. 53% statewide and 53% across all nursing homes with 100-199 beds.
- A turnover rate for part-time LPNs of 100%, vs. 88% statewide and 63% across all nursing homes with 100-199 beds.
- A retention rate for full-time LPNs of 5%, vs. 71% statewide and 73% across all nursing homes with 100-199 beds.
- A retention rate for part-time LPNs of 17%, vs. 67% statewide and 65% across all nursing homes with 100-199 beds.

Nursing assistants (NAs) provide direct personal care to residents, but are not registered nurses or licensed practical nurses. At this nursing home in 2022, there was:

- A turnover rate for full-time NAs of 100%, vs. 89% statewide and 85% across all nursing homes with 100-199 beds.
- A turnover rate for part-time NAs of 100%, vs. 106% statewide and 98% across all nursing homes with 100-199 beds.
- A retention rate for full-time NAs of 7%, vs. 64% statewide and 65% across all nursing homes with 100-199 beds.
- A retention rate for part-time NAs of 0%, vs. 56% statewide and 58% across all nursing homes with 100-199 beds.