

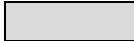


DQA EMERGENCY PREPAREDNESS SURVEY: E-TAGS AT A GLANCE

Department of Health Services / Division of Quality Assurance

P-02165 (06/2018)

KEY



Applies to all providers, *except* transplant center



Applies to all providers, *except* home health agency (HHA), outpatient hospice, organ procurement organization (OPO), transplant center



Applies to all providers, *except* hospice, OPO, transplant center

BOLDED TAGS

Core Element Tags – If one of these core elements is missing in its entirety, consider citing a Condition Level (Non-LTC) or Severity Level 2 (LTC) citation under the "Core Element Tag" heading:

- E-0001 – Entire emergency preparedness (EP) plan
- E-0004 – Emergency plan (with all-hazards risk assessment)
- E-0013 – Emergency preparedness policies and procedures
- E-0029 – Written communication plan
- E-0036 – Training and testing program

TAG	DESCRIPTION
E-0001	Establish and maintain EP program
E-0002	<i>Transplant center</i> – Representative included in hospital planning
E-0003	<i>End-stage renal dialysis (ESRD)</i> – Complies with all federal, state, and local EP requirements
E-0004	Emergency plan (with all-hazards risk assessment) developed and updated annually
E-0005	<i>Transplant center</i> – Emergency plan requirements
E-0006	Emergency plan is based on risk assessment using all-hazards approach
E-0007	Identify populations at-risk*/emergency services/continuity of operations and succession planning, <i>*Some provider types are not required to do the "at-risk" identification and strategies.</i>
E-0008	<i>OPO</i> – EP requirements
E-0009	Process for cooperation with local, tribal, regional, state, and federal EP officials
E-0010	<i>Clinics, rehab agencies, and public health agencies as providers of outpatient physical therapy/speech therapy (PT/ST)</i> – EP must address location and use of alarm systems and signals and methods of containing fire.
E-0011	<i>Comprehensive outpatient rehab facility (CORF), clinic, rehab agency, and public health agency as provider of outpatient PT/ST</i> – EP must be developed with assistance from fire, safety, and other appropriate experts.
E-0012	<i>Transplant center</i> – Has EP policies and procedures that are included in the hospital policies and procedures
E-0013	Facilities develop and implement EP policies and procedures.
E-0014	<i>Transplant center</i> – Develops and maintains mutually agreed upon protocols with hospital, OPO
E-0015	Provision of subsistence needs for staff and patients (food, water, meds, alternate power)
E-0016	<i>Hospice</i> – Procedure to follow-up with on-duty staff and patients in an emergency and to contact state and local officials of any staff or patients they are unable to contact
E-0017	<i>HHA</i> – Individual emergency plans for each patient
E-0018	System for tracking location of on-duty staff, sheltered patients, relocated patients
E-0019	<i>HHA, hospice, PACE*</i> – Procedure to inform state and local officials about patients in need of evacuation from their residences (<i>*Program of All-Inclusive Care for the Elderly</i>)

E-0020	Safe evacuation from the facility, including transportation and rural health clinic (RHC) and federally qualified health center (FQHC) exit signs
E-0021	<i>HHA</i> – Procedure to follow-up with on-duty staff and patients in an emergency and to notify state and local officials of any staff or patients they are unable to contact
E-0022	Means and criteria for sheltering in place
E-0023	System of medical documentation – patient records secure and available in emergency
E-0024	Use of volunteers or other emergency staffing strategies
E-0025	Arrangements with other providers to receive patients in the event of limitations or cessation of operations in order to maintain continuity of services to facility patients (transfer agreement, memorandum of understanding)
E-0026	The role of the facility under a CMS 1135 waiver
E-0027	<i>ESRD</i> – How emergency medical system assistance is obtained when needed
E-0028	<i>ESRD</i> – Process by which staff confirm that emergency equipment/drugs are available
E-0029	Develop and maintain emergency communication plan; review and update annually.
E-0030	Communication plan has required contact information (updated at least annually).
E-0031	Contact information for state, tribal, regional, and local emergency officials (updated annually)
E-0032	Identify primary and alternate means of communication and communication equipment.
E-0033	Method for sharing information/patient documentation with other health providers
E-0034	Means of providing occupancy information/needs/ability to provide assistance to authorities having jurisdiction
E-0035	<i>LTC facility and intermediate care facility for individuals with intellectual disabilities (ICF/IID)</i> – Method for sharing emergency plan with residents/clients and families
E-0036	Emergency preparedness training and testing program
E-0037	Elements of emergency training programs (specific to provider types)
E-0038	<i>ESRD</i> – Specific training requirements
E-0039	Testing – Full scale community-based exercise and additional exercise (full scale, tabletop)
E-0040	<i>ESRD</i> – Orientation and training for patients
E-0041	<i>Hospital, critical access hospital (CAH), LTC facility</i> – Emergency and standby power systems
E-0042	<i>Integrated health systems</i>
E-0043	<i>Transplant hospitals</i>
E-0044	<i>OPO</i> – Continuity of operations