

Wisconsin Birth to 3 Program County Determinations Results and Compliance Matrix Instructions

Effective through: June 2023

Introduction

The Office of Special Education Programs (OSEP) requires states to monitor and enforce the Individuals with Disabilities Education Act (IDEA) by issuing local determinations under IDEA sections 616(b) and 642 annually specific to the performance of each early intervention program under Part C.

The Wisconsin Department of Health Services (DHS), Bureau of Children’s Services, has initiated a new process for making county determinations based on both results and compliance data for each county’s early intervention program. This new process aligns with the 2015 change to the OSEP determination process that assesses how children who receive IDEA Part C services improve functioning in key outcome areas critical to school readiness: positive social-emotional skills; acquisition and use of knowledge and skills; and use of appropriate behaviors to meet their needs. The intent of this change is to focus on children’s outcomes in the program in addition to compliance.

This document provides instruction on how the department has made county Birth to 3 Program determinations. These instructions assist with interpretation of the Results and Compliance Matrix:

Instructions

Table 1: Results and Compliance Percentage and Determination

Table 1 shows the total determination points available (column 1) and earned (column 2). The totals in Table 1 are compiled from Tables 2, 3, and 4.

Results and Compliance Overall Score

- Determination points earned for each **results** component is totaled.
 - There are three results components.
 - Each results component is two determination points, giving a maximum score of six points for results.
- Determination points earned for each **compliance** component is totaled.
 - There are nine compliance components.
 - Each compliance component is one determination point, giving a maximum score of nine points for compliance.
- The average of the **results** and the **compliance** overall percent score is used to assign the determination status.

County determination status is based on the county's average percentage of the combined Results and Compliance percentages.

- Meets Requirements = 80–100%
- Needs Assistance = 65–79%
- Needs Intervention = 50–64%
- Needs Substantial Intervention = 49% and below

Table 2: Results Component 1 and 2 — Data Quality and Completeness:

Table 2 shows the number and percentage of child files that were used to determine early intervention program performance. Data quality determination points are based on the unfixable error rate of submitted child outcomes data. Data completeness determination points are based on the percentage of files with useable child outcomes data. The table shows the determination points available and points received based on the quality and completeness of the data.

Calculating Usable Child Outcomes Data

- **Results Component: *Data quality*.** The third row of Table 2 shows the percentage and number of unfixable errors identified in Indicator 3 child outcomes data. DHS is unable to fix these data errors once submitted by the Birth to 3 Program.
 - Unfixable errors for children's files in the program for more than six months include:
 - Blanks (no data) in either entry or exit rating fields.
 - A rating of eight was entered with other combinations of values. An eight is only to be used when all three outcomes have the same value of eight.
 - If a child has all eights in either exit or entry but was in the program for more than six months.
 - A maximum of two determination points may be earned for *data quality*.
 - 2 determination points = an error rate of 0%.
 - 1 determination point = an error rate of 1–5%.
 - 0 points = an error rate greater than 5%.
- **Results Component: *Data completeness*.** Refers to the number of files that were complete or “usable” for a county's Indicator 3 child outcomes data.
 - Usable data is:
 - A file for a child that has been in the Birth to 3 Program for at least six months.
 - Entry and exit ratings are completed with an entered value of 1–7.
 - Unusable data is:
 - Files for children who were in the program less than six months (third row of table 2)
 - Files that have errors that cannot be fixed after submission to DHS. See above.
 - A maximum of 2 determination points may be earned for complete data.
 - 2 determination points = 65% or more of the files are usable.
 - 1 determination point = 40-64% of the files are usable.

- 0 points = 39% and below of files are usable

Table 3: Results Component 3 - Children’s Performance:

Table 3 shows child outcome summary statement reporting targets for the state. The table also shows a comparison of county percentages for two consecutive child outcome federal fiscal year reporting periods.

- Six summary statements (2 Summary Statements per Child Outcome x 3 Child Outcomes = 6 Summary Statements) are shown with each statement is 2 Summary Statement Points (SSPs).
 - 2 SSPs = the county met or exceeded state targets.
 - 1 SSP = the county improved on their prior year’s percentages.
 - 0 SSPs = the county maintained or dropped in percent when compared to their previous reporting year.
- A maximum of 2 determination points may be earned for program performance. Summary Statement Points (SSPs) are converted to determination points using the following parameters.
 - 2 determination points = 10–12 SSPs
 - 1 determination point = 4–9 SSPs
 - 0 determination points = 0–3 SSPs

Table 4: Compliance Component - Monitoring Priorities and Indicators:

Table 4 shows state percentage targets and results for the Birth to 3 Program federal indicators that are highlighted in the Annual Performance Report (APR). It also shows the county’s results and points received. Indicators 4, 5, and 6 are noted in Table 4 but are not counted towards the determination status.

The maximum number of determination points for the compliance component is 9. The county receives a maximum of 1 determination point per indicator.

- Indicator 1: Timely Services
 - 1 determination point = the county had a percentage of 95% or better per compliance indicator.
 - 0 determination points = the county had a compliance indicator percentage below 95%.
- Indicator 2: Natural Environments
 - 1 determination point = the county had a percentage of 95% or better per compliance indicator.
 - 0 determination points = the county had a compliance indicator percentage below 95%.
- Indicator 7: Timely individual and family service plans
 - 1 determination point = the county had a percentage of 95% or better per compliance indicator.
 - 0 determination points = the county had a compliance indicator percentage below 95%.
- Indicators 8A, 8B, and 8C: Transition Practices

- 1 determination point = the county had a percentage of 95% or better per compliance indicator.
- 0 determination points = the county had a compliance indicator percentage below 95%.
- Indicator 9: Resolution Sessions (Note: DHS does not utilize resolution sessions)
 - 1 determination point = all county Birth to 3 Programs are assumed to “Meet Requirements” for Indicator 9.
- Indicator 10: Mediation Agreements
 - 1 determination point = all county Birth to 3 Programs are assumed to “Meet Requirements” for Indicator 10.
- Timely Correction of Findings of Non-compliance
 - 1 determination point = the county had no Findings of Noncompliance or they verified all corrections within one year.
 - 0 determination points = the county had a Finding of Noncompliance and did not verify correction of the finding within one year.

Summary: Results and Compliance Calculations

Three Results Components with 6 total determination points available.

- 2 points for Data Quality
- 2 points for Data Completeness
- 2 points for Program’s Performance (through conversion of Summary Statement Points SSPs)

Nine Compliance Components with 9 total points available.

- APR Indicators 1, 2, 7, 8A, 8B, and 8C are 1 point each.
- Indicators 9 and 10 are assumed to “Meet Requirements” and automatically receive 1 point each.
- The absence or timely completion of Findings of Noncompliance is 1 point.

County determination status is based on the county’s average percentage of the combined Results and Compliance percentages.

- Meets Requirements = 80–100%
- Needs Assistance = 65–79%
- Needs Intervention = 50–64%
- Needs Substantial Intervention = 49% and below

