



ForwardHealth interChange  
HIPAA Companion Document  
to HIPAA Implementation  
Guide: X12 997 Functional  
Acknowledgement

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X12 997 Functional Acknowledgement

**Companion Document Audience**

Companion documents are intended for information technology and/or systems staff who will be coding billing systems or software for compliance with the federal Health Insurance Portability and Accountability Act of 1996 (HIPAA).

**Purpose of Companion Documents**

The information contained in this companion document applies to ForwardHealth which includes the following programs: BadgerCare Plus, Wisconsin Medicaid, SeniorCare, Wisconsin Chronic Disease Program (WCDP) and Wisconsin Well Woman Program (WWWP). All of these programs use the ForwardHealth interChange.

This companion guide supplements the requirements in the X12N implementation guide, but does not contradict those requirements. Companion documents provide ForwardHealth interChange-specific information that details the way to create HIPAA transactions for ForwardHealth and explains how ForwardHealth interChange creates HIPAA transactions. The purpose of the companion documents is to provide trading partners with a guide to communicate the ForwardHealth interChange-specific information required to successfully exchange transactions electronically with ForwardHealth interChange.

Companion documents highlight the data elements significant for ForwardHealth. For transactions created by ForwardHealth interChange, companion documents explain how certain data elements are processed. Refer to the companion document first if there is a question about how ForwardHealth interChange processes a HIPAA transaction. For further information, contact the ForwardHealth Electronic Data Interchange (EDI) Department at (866) 416-4979.

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**VERSION 2 REVISION LOG**

**Companion Document:** 997 Functional Acknowledgment

**Approved:** MM/DD/YY

**Modified by:** DLR

<b>Loop/ Segment Revised</b>	<b>Page(s) Revised</b>	<b>Text Revised</b>
ISA06	3	This element contains the value "WISC_DHFS".
ISA08	3	This element contains the nine-digit numeric Trading Partner Identification number assigned by ForwardHealth interChange.
GS02	3	This field will contain the payer identification. This is the value that was in GS03 of the submitted transaction. For example: If the 837 professional transaction is submitted with the value "WISC_TXIX" in GS03, the value would be "WISC_TXIX".
GS03	3	The value is the same value as ISA08, the nine-digit numeric Trading Partner Identification number assigned by ForwardHealth interChange.

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<b>Element</b>	<b>Name</b>	<b>Instructions</b>
ISA	Interchange Control Header	The ISA is a fixed-length record with fixed-length elements.
ISA05	Interchange ID (Sender) Qualifier	This element contains a value of "ZZ", mutually defined.
ISA06	Interchange Sender ID	This element contains the value "WISC_DHFS".
ISA07	Interchange ID (Receiver) Qualifier	This element contains a value of "ZZ", mutually defined.
ISA08	Interchange Receiver ID	This element contains the nine-digit numeric Trading Partner Identification number assigned by <b>ForwardHealth interChange</b> .
ISA13	Interchange Control Number	This element contains a distinct tracking number for this file.
GS02	Application Sender's Code	This field will contain the payer identification. This is the value that was in GS03 of the submitted transaction. For example: If the 837 professional transaction is submitted with the value "WISC_TXIX" in GS03, the value would be "WISC_TXIX".
GS03	Application Receiver's Code	The value is the same value as ISA08, the nine-digit numeric Trading Partner Identification number assigned by <b>ForwardHealth interChange</b> .
GS08	Version / Release / Industry Identifier Code	This element contains the GS08 value from the functional group on which it is reporting. For example: If the 997 was reporting on an 837 professional group, the value would be "004010".
AK102	Group Control Number	This element contains the value from the GS06 being acknowledged (i.e., the Group Control Number).
AK202	Transaction Set Control Number	This element contains the value from the ST02 being acknowledged (i.e., the Transaction Set Control Number).
AK301	Segment ID Code	This element contains the identification of the data segment in error (e.g., "NM1" or "SV1").
AK302	Segment Position in Transaction Set	This element contains the location of the segment in error from the "ST" segment. The third segment after the "ST" would have a value of "4" (one for the "ST" plus three more).
AK303	Loop Identifier Code	This element contains the number of the segment in error (e.g., "2310").

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Element	Name	Instructions
AK304	Segment Syntax Error Code	<p>This element contains the error noted for the segment. The codes and descriptions are the following:</p> <ul style="list-style-type: none"> <li>• "1" — Unrecognized segment ID.</li> <li>• "2" — Unexpected segment.</li> <li>• "3" — Mandatory segment missing. This error message maybe caused because of the following:               <ul style="list-style-type: none"> <li>• Loop occurrence is less than minimum occurrence.</li> <li>• Segment occurrence is less than minimum occurrence.</li> </ul> </li> <li>• "4" — Loop occurs over maximum times.</li> <li>• "5" — Segment exceeds maximum use.</li> <li>• "6" — Segment not in defined transaction set.</li> <li>• "7" — Segment not in proper sequence.</li> <li>• "8" — Segment has data element errors.</li> </ul>
AK401-1	Element Position in Segment	<p>This composite element contains the position in the segment of the element in error. If CLM03 was in error, the value would be "3."</p>
AK401-2	Component Data Element Position in Composite	<p>This composite element contains the position of the composite in error within its component structure.</p>

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Element	Name	Instructions
AK403	Data Element Syntax Error Code	<p>This element contains the code indicating the error found. The possible values and descriptions are:</p> <ul style="list-style-type: none"> <li>• "1" — Mandatory data element missing. This error message may be caused because of the following:           <ul style="list-style-type: none"> <li>• Element is required but missing.</li> <li>• Component is required but missing.</li> </ul> </li> <li>• "2" — Conditionally required data element missing. This error message may be caused because of the following:           <ul style="list-style-type: none"> <li>• Condition requires one or more data elements.</li> <li>• Paired data element missing(If A is present then B must also be present).</li> </ul> </li> <li>• "3" — Too many data elements. This error message may be caused because of the following:           <ul style="list-style-type: none"> <li>• Root level HL segment should not be subordinate to any HL segment.</li> <li>• Cannot find the HL segment's parent HL segment.</li> <li>• The number of data elements inside the segment exceeds the standard.</li> <li>• The number of components inside the composite exceeds the standard.</li> <li>• The element is not used by the standard.</li> <li>• The component is not used by the standard.</li> </ul> </li> <li>• "4" — Data element too short. This error message may be caused because of the following:           <ul style="list-style-type: none"> <li>• The length is less than the minimum size.</li> <li>• The length is less than the required size.</li> <li>• A repeatable element's occurrence is less than the minimum occurrences.</li> <li>• A repeatable component occurrence is less than the minimum occurrences.</li> </ul> </li> </ul>

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Element	Name	Instructions
AK403 (cont.)	Data Element Syntax Error Code (cont.)	<ul style="list-style-type: none"> <li>• "5" — Data element too long. This error message may be caused because of the following:               <ul style="list-style-type: none"> <li>• The data element is too long.</li> <li>• A repeatable element's occurrences exceed the maximum occurrences.</li> <li>• A repeatable component's occurrences exceed the maximum occurrences.</li> </ul> </li> <li>• "6" — Invalid character in data element. This error message may be caused because of the following:               <ul style="list-style-type: none"> <li>• Element has the wrong format.</li> <li>• Element has the wrong value.</li> <li>• Trailing element separator(s) found.</li> <li>• Trailing component separator(s) found.</li> <li>• Found a character not in the HIPAA basic or extended character set.</li> <li>• Data has unnecessary trailing spaces.</li> <li>• Number field contains a plus(+) sign.</li> <li>• Number field contains a decimal point(.) without any significant digits to the right of the decimal point.</li> <li>• Invalid non-numeric data is found in a number field.</li> <li>• Found unnecessary leading zero(s) in a number field.</li> </ul> </li> <li>• "7" — Invalid code value. This error message may be caused because of the following:               <ul style="list-style-type: none"> <li>• The value cannot be found in the code source.</li> <li>• The value must begin with "1" and be incremented by one.</li> <li>• The value must be unique within the transaction set.</li> <li>• The data does not balance as required by the standard.</li> <li>• The HL01, Hierarchy ID Number, must be unique.</li> <li>• A loop's required subordinate loop is missing.</li> <li>• The value must be unique among elements.</li> </ul> </li> </ul>

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<b>Element</b>	<b>Name</b>	<b>Instructions</b>
AK403 (cont.)	Data Element Syntax Error Code (cont.)	<ul style="list-style-type: none"> <li>• "8" — Invalid date. This error message may be caused because of the following:               <ul style="list-style-type: none"> <li>• Date does not match specified format.</li> <li>• Data is not a valid date.</li> </ul> </li> <li>• "9" — Invalid time. This error message may be caused because of the following:               <ul style="list-style-type: none"> <li>• Time does not match specified format.</li> <li>• Data is not a valid time.</li> </ul> </li> <li>• "10" — Exclusion condition violated.</li> </ul>
AK404	Copy of Bad Data Element	This element contains a copy of the data in error. Not sent if bad data will affect usage/validity of this 997 (i.e., make the 997 noncompliant).
AK501	Transaction Set Acknowledgment Code	<p>This element contains the status of the transaction set.</p> <p>Possible values and descriptions are:</p> <ul style="list-style-type: none"> <li>• "A" — Accepted.</li> <li>• "R" — Rejected with explanation.</li> </ul>
AK901	Functional Group Acknowledge Code	<p>This element contains the status of the functional group.</p> <p>Possible values and descriptions are:</p> <ul style="list-style-type: none"> <li>• "A" — Accepted.</li> <li>• "R" — Rejected with explanation.</li> </ul>
AK902	Number of Transaction Sets Included	This element contains the value in GE01 from the original file being acknowledged.