

	Department of Health Services, State of Wisconsin	
Federal Tags Cited	Regulation Language	Number of Cites
484.12(c) Compliance w/accepted professional standards	The HHA and its staff must comply with accepted professional standards and principles that apply to professionals furnishing services in an HHA.	3
484.18 Acceptance of Patients, PoC, Medical Supervisor	Care follows a written plan of care established and periodically reviewed by a doctor of medicine, osteopathy, or podiatric medicine.	3
484.18(a) Plan of Care	The plan of care developed in consultation with the agency staff covers all pertinent diagnoses, including mental status, types of services and equipment required, frequency of visits, prognosis, rehabilitation potential, functional limitations, activities permitted, nutritional requirements, medications and treatments, any safety measures to protect against injury, instructions for timely discharge or referral, and any other appropriate items.	3
484.30(a) Duties of the Registered Nurse (appropriate procedures)	The registered nurse initiates appropriate preventative and rehabilitative nursing procedures.	3
484.55(c) Drug Review Regimen	The comprehensive assessment must include a review of all medications the patient is currently using in order to identify any potential adverse effects and drug reactions, including ineffective drug therapy, significant side effects, significant drug interactions, duplicate drug therapy, and noncompliance with drug therapy.	3
484.14(c) Administrator	The administrator, who may also be the supervising physician or registered nurse required under paragraph (d) of this section, organizes and directs the agency's ongoing functions; maintains ongoing liaison among the governing body, the group of professional personnel, and the staff.	1
484.14(g) Coordination of Patient Services	The administrator, who may also be the supervising physician or registered nurse required under paragraph (d) of this section, organizes and directs the agency's ongoing functions; maintains ongoing liaison among the governing body, the group of professional personnel, and the staff.	1
484.18(c) Conformance with Physician Orders	Verbal orders are put in writing and signed and dated with the date of receipt by the registered nurse or qualified therapist (as defined in section 484.4 of this chapter) responsible for furnishing or supervising the ordered services.	1
484.30 Skilled Nursing Services	The HHA furnishes skilled nursing services in accordance with the plan of care.	1
484.30(a) Duties of the Registered Nurse (reevaluation)	The registered nurse regularly re-evaluates the patients nursing needs.	1
Total Federal Tags Cited for Quarter		20

State Tags Cited	Code Language	Number of Cites
133.20(4) Plan of Care	ORDERS. Drugs and treatment shall be administered by the agency staff only as ordered by the attending physician or the advanced practice nurse prescriber. The nurse or therapist shall immediately record and sign and date oral orders and obtain the physician's or advanced practice nurse prescriber's countersignature and date within 20 working days.	7
DHS 12.05(1)(a) ENTITY SANCTION	An entity that commits any of the following acts may be subject to any of the sanctions specified in sub. (2): (a) Hires, employs, or contracts with a caregiver, or permits to reside as a nonclient resident at the entity a person who has direct, regular contact with clients and who the entity knew or should have known is barred under ss. 50.065 (4m) (b), Stats. (b) Violates any provision of initial background information gathering or periodic background information gathering required by ...s. 50.065, Stats	7
133.14(2)(e) Skilled Nursing Services	DUTIES OF THE REGISTERED NURSE. The registered nurse shall: (e) Initiate appropriate preventive and rehabilitative procedures;	5
133.20(2)(a) Plan of Care	CONTENTS OF PLAN. Each plan developed under sub. (1) shall include: (a) Measureable time-specific goals, with benchmark dates for review; and	4
133.17(1) Home Health Aide Services	PROVISION OF SERVICES. When a home health agency provides or arranges for home health aide services, the services shall be given in accordance with the plan of care provided for under s. HSS 133.20, and shall be supervised by a registered nurse or, when appropriate, by a therapist.	2
133.20(1) Plan of Care	REQUIREMENT. A plan of care, including physician's or advanced practice nurse prescriber's orders, shall be established for every patient accepted for care and shall be incorporated in the patient's medical record. An initial plan shall be developed within 72 hours of acceptance. The total plan of care shall be developed in consultation with the patient, home health agency staff, contractual providers, and the patient's physician or advanced practice nurse prescriber and shall be signed by the physician or advanced practice nurse	2
133.05(2)(a) Governance - Professional Advisory Body	PROFESSIONAL ADVISORY BODY. (a) The home health agency shall establish an advisory group of at least one practicing physician and one registered nurse and appropriate representation from other professional disciplines. A majority of the members shall be persons who are neither owners nor employees of the agency.	1
133.06(2)(a) ADMINISTRATION	DUTIES OF THE ADMINISTRATOR. The administrator shall: (a) Be knowledgeable about this chapter, and shall take all reasonable steps to ensure compliance of the agency with the requirements of this chapter;	1
133.06(4)(d)1. ADMINISTRATION - EMPLOYEES - HEALTH	1. Physical health of new employees. Each new employee having direct patient contact shall be certified in writing by a physician, physician assistant or registered nurse as having been screened for tuberculosis, and clinically apparent communicable disease that may be transmitted to a patient during the normal performance of the employee's duties. The screening shall occur within 90 days prior to the employee having direct patient contact.	1
133.06(4)(f) ADMINISTRATION - EMPLOYEES	PERSONNEL RECORDS. A separate up-to-date personnel record shall be maintained on each employe. The record shall include evidence of suitability for employment in the position to which the employe is assigned.	1
133.09(3)(a)1. Discharge of Patients	Notice of discharge. 1. A home health agency may not discharge a patient for any reason until the agency has discussed the discharge with the patient or the patient's legal representative and the patient's attending physician or advanced practice nurse prescriber, and has provided written notice to the patient or the patient's legal representative in the timelines specified in this paragraph.	1
133.10(1) Required Services	REQUIRED SERVICES. The home health agency shall directly provide at least part-time or intermittent nursing services and provide or arrange for home health aide services.	1
133.14(2)(b) Skilled Nursing Services	DUTIES OF THE REGISTERED NURSE. The registered nurse shall: (b) Regularly reevaluate the patient's needs;	1
133.17(3) Home Health Aide Services - Assignments	Home health aides shall be assigned to specific patients by a registered nurse. Written instructions for patient care shall be prepared and updated for the aides at least each 60 days by a registered nurse or appropriate therapist, consistent with the plan of care under s. HSS 133.20. These instructions shall be reviewed by the immediate supervisors with their aides.	1
133.19(1)(e) Services Under Contract	TERMS. The contract shall contain: (e) A statement concerning the manner in which services will be controlled, coordinated and evaluated by the primary agency; and	1
133.21(5)(b) Medical Records	CONTENT. (b) Appropriate hospital information (discharge summary, diagnosis, current patient status, post-discharge plan of care);	1
133.21(5)(f) Medical Records	CONTENT. (f) Medication list and documentation of patient instructions;	1
133.21(5)(h) Medical Records	CONTENT. (h) Summaries of reviews of the plan of care; and	1
133.06(5)(c) Infection control monitor and retraining	Monitor adherence to evidence-based standards of practice related to protective measures. When monitoring reveals a failure to follow evidence-based standards of practice, the home health agency shall provide counseling, education or retraining to ensure staff is adequately trained to complete their job responsibilities.	1

State Tags Cited	Code Language	Number of Cites
50.065(2)(bm) OUT OF STATE BACKGROUND CHECKS	If the person who is the subject of the search under par. (am) or (b) is not a resident of this state or if at any time within the 3 years preceding the date of the search that person has not been a resident of this state, or if the department or entity determines that the person's employment, licensing, or state court records provide a reasonable basis for further investigation, the department or the entity shall make a good faith effort to obtain from any state or other United States jurisdiction in which the person is a resident or was a resident within the 3 years preceding the date of the search information that is equivalent to the information specified in par. (am) 1. or (b) 1. The department or entity may require the person to be fingerprinted on 2 fingerprint cards, each bearing a complete set of the person's fingerprints. The department of justice may provide for the submission of the fingerprint cards to the federal bureau of investigation for the purposes of verifying the identity of the person fingerprinted and obtaining the records of his or her criminal arrests and convictions.	1
TOTAL STATE TAGS CITED PER QUARTER		41
TOTAL ALL TAGS CITED PER QUARTER		61

	Complaint surveys	8
	Partial Extended	0
	Extended	1
	State licensure only	6
	Federal Initial & Recertification Surveys	11
	Verification Visits	1
	Other	0
TOTAL SURVEYS PERFORMED:		27