

	Department of Health Services, State of Wisconsin		
Federal Tags Cited	Regulation Language	Number of Cites	
484.12(c) Compliance w/accepted professional standards	The HHA and its staff must comply with accepted professional standards and principles that apply to professionals furnishing services in an HHA.	6	
484.18(a) Plan of Care	The plan of care developed in consultation with the agency staff covers all pertinent diagnoses, including mental status, types of services and equipment required, frequency of visits, prognosis, rehabilitation potential, functional limitations, activities permitted, nutritional requirements, medications and treatments, any safety measures to protect against injury, instructions for timely discharge or referral, and any other appropriate items.	4	
484.55(c) Drug Review Regimen	The comprehensive assessment must include a review of all medications the patient is currently using in order to identify any potential adverse effects and drug reactions, including ineffective drug therapy, significant side effects, significant drug interactions, duplicate drug therapy, and noncompliance with drug therapy.	2	
484.30(a) Duties of the Registered Nurse (reevaluation)	The registered nurse regularly re-evaluates the patients nursing needs.	1	
484.30(a) Duties of the Registered Nurse (appropriate procedures)	The registered nurse initiates appropriate preventative and rehabilitative nursing procedures.	1	
484.30(a) Duties of the Registered Nurse (care coordination)	The registered nurse prepares clinical and progress notes, coordinates services, informs the physician and other personnel of changes in the patient's condition and needs.	1	
484.36(d)(2) Supervision (fortnightly onsite visit)	The registered nurse (or another professional described in paragraph (d)(1) of this section) must make an on-site visit to the patient's home no less frequently than every 2 weeks.	1	
Total Federal Tags Cited for Quarter		16	

State Tags Cited	Code Language	Number of Cites
133.20(2)(a) Plan of Care	CONTENTS OF PLAN. Each plan developed under sub. (1) shall include: (a) Measureable time-specific goals, with benchmark dates for review; and	7
133.14(2)(e) Skilled Nursing Services	DUTIES OF THE REGISTERED NURSE. The registered nurse shall: (e) Initiate appropriate preventive and rehabilitative procedures;	3
133.06(4)(c) Administration - Employees	The orientation program to include: 4. Information about other community agencies, including emergency medical services; and	2
133.09(3)(b) Discharge Summary	The home health agency shall complete a written discharge summary within 30 calendar days following discharge of a patient. The discharge summary shall include a description of the care provided and the reason for discharge. The home health agency shall place a copy of the discharge summary in the former patient's medical record. Upon request, the home health agency shall provide a copy of the discharge summary to the former patient, the patient's legal representative the attending physician or advanced practice nurse prescriber.	2
133.14(2)(b) Skilled Nursing Services	DUTIES OF THE REGISTERED NURSE. The registered nurse shall: (b) Regularly reevaluate the patient's needs;	2
133.14(5) Skilled Nursing Services	COORDINATION OF SERVICES. A registered nurse shall maintain overall responsibility for coordinating services provided to the patient by the agency.	2
133.20(4) Plan of Care	ORDERS. Drugs and treatment shall be administered by the agency staff only as ordered by the attending physician or the advanced practice nurse prescriber. The nurse or therapist shall immediately record and sign and date oral orders and obtain the physician's or advanced practice nurse prescriber's countersignature and date within 20 working days.	2
133.06(5)(c) Infection control monitor and retraining	Monitor adherence to evidence-based standards of practice related to protective measures. When monitoring reveals a failure to follow evidence-based standards of practice, the home health agency shall provide counseling, education or retraining to ensure staff is adequately trained to complete their job responsibilities.	2
133.05(1)(a) GOVERNANCE	The governing body shall: (a) Adopt governing policies in the form of by-laws, charter, written policies or other official means;	1
133.05(1)(b) GOVERNANCE	The governing body shall: (b) Adopt a statement detailing the services to be provided;	1
133.05(1)(c) GOVERNANCE	The governing body shall: (c) Oversee the management of the agency;	1
133.05(1)(d) GOVERNANCE	The governing body shall: (d) Appoint an administrator; and	1
133.05(1)(e) GOVERNANCE	The governing body shall: (e) Provide for a qualified substitute administrator to act in absence of the administrator.	1
133.06(2)(a) ADMINISTRATION	DUTIES OF THE ADMINISTRATOR. The administrator shall: (a) Be knowledgeable about this chapter, and shall take all reasonable steps to ensure compliance of the agency with the requirements of this chapter;	1
133.06(4)(a)2. ADMINISTRATION - EMPLOYEES	The orientation program to include: 2. Information concerning specific job duties;	1
133.06(4)(d)1. ADMINISTRATION - EMPLOYEES - HEALTH	1. Physical health of new employees. Each new employee having direct patient contact shall be certified in writing by a physician, physician assistant or registered nurse as having been screened for tuberculosis, and clinically apparent communicable disease that may be transmitted to a patient during the normal performance of the employee's duties. The screening shall occur within 90 days prior to the employee having direct patient contact.	1
133.06(4)(f) ADMINISTRATION - EMPLOYEES	PERSONNEL RECORDS. A separate up-to-date personnel record shall be maintained on each employe. The record shall include evidence of suitability for employment in the position to which the employe is assigned.	1
133.06(4)(g) Background checks/misconduct report/investigation	Each home health agency shall comply with the caregiver background check and misconduct reporting requirements in s. 50.065, Stat., and ch. HFS 12, and the caregiver misconduct reporting and investigation requirements in ch. HFS 13.	1
133.08(2)(b) Patient Rights - Policies	Each patient receiving care from the agency shall have the following rights: (b) To be fully informed, prior to or at the time of admission, of services available from the agency and of related charges, including any charges for services for which the patient or a private insurer may be responsible;	1
133.08(2)(d) Patient Rights - Policies	Each patient receiving care from the agency shall have the following rights: (d) To be fully informed of one's own health condition, unless medically contraindicated, and to be afforded the opportunity to participate in the planning of the home health services, including referral to health care institutions or other agencies, and to refuse to participate in experimental research;	1
133.08(2)(f) Patient Rights - Policies	Each patient receiving care from the agency shall have the following rights: (f) To confidential treatment of personal and medical records and to approve or refuse their release to any individual outside the agency, except in the case of transfer to another health facility, or as required by law or third-party payment contract;	1
133.08(2)(g) Patient Rights - Policies	Each patient receiving care from the agency shall have the following rights: (g) To be treated with consideration, respect and full recognition of dignity and individuality, including privacy in treatment and in care for personal needs; and	1
133.08(2)(h) Patient Rights - Policies	Each patient receiving care from the agency shall have the following rights: (h) To be taught, and have the family taught, the treatment required, so that the patient can, to the extent possible, help himself or herself, and the family or other party designated by the patient can understand and help the patient.	1
133.08(3) Patient Rights - Policies	COMPLAINTS. At the same time that the statement of patient rights is distributed under sub. (2), the home health agency shall provide the patient or guardian with a statement, provided by the department, setting forth the right to and procedure for registering complaints with the department.	1

State Tags Cited	Code Language	Number of Cites
133.09(2) Service Agreement	SERVICE AGREEMENT. Before care is initiated, the home health agency shall inform the patient, orally and in writing, of the extent to which payment may be expected from other sources, the charges for services that will not be covered by other sources and charges that the individual may have to pay.	1
133.14(2)(c) Skilled Nursing Services	DUTIES OF THE REGISTERED NURSE. The registered nurse shall: (c) Initiate the plan of care and necessary revisions;	1
133.14(2)(f) Skilled Nursing Services	DUTIES OF THE REGISTERED NURSE. The registered nurse shall: (f) Prepare clinical and progress notes;	1
133.14(2)(g) Skilled Nursing Services	DUTIES OF THE REGISTERED NURSE. The registered nurse shall: (g) Promptly inform the physician or advanced practice nurse prescriber and other personnel participating in the patient's care of changes in the patient's condition and needs;	1
133.14(6) Skilled Nursing Services	A home health agency may purchase registered nurse services on an hourly or per visit basis, in accordance with the requirements in s. HFS 133.19. Persons providing registered nurse services under contract shall meet the requirements in s. HFS 133.06 (4) (a) to (d), be assigned to duties for which they are licensed and trained and be utilized only in non-supervisory nursing assignments.	1
133.18(1) Supervisory Visits	If a patient receives skilled nursing care, a registered nurse shall make a supervisory visit to each patient's residence at least every 2 weeks. The visit may be made when the home health aide is present or when the home health aide is absent. If the patient is not receiving skilled nursing care, but is receiving another skilled service, the supervisory visit may be provided by the appropriate therapist providing a skilled service.	1
133.19(1)(a) Services Under Contract	TERMS. The contract shall contain: (a) A statement that patients are accepted for care only by the primary home health agency;	1
133.19(1)(b) Services Under Contract	TERMS. The contract shall contain: (b) A list of services to be provided;	1
133.19(1)(c) Services Under Contract	TERMS. The contract shall contain: (c) Agreement to conform to all applicable agency policies including personnel qualifications;	1
133.19(1)(d) Services Under Contract	TERMS. The contract shall contain: (d) A statement about the contractor's responsibility for participating in developing plans of treatment;	1
133.19(1)(e) Services Under Contract	TERMS. The contract shall contain: (e) A statement concerning the manner in which services will be controlled, coordinated and evaluated by the primary agency; and	1
133.19(1)(f) Services Under Contract	TERMS. The contract shall contain: (f) Procedures for submitting clinical and progress notes, scheduling visits, and undertaking periodic patient evaluation.	1
133.19(2) Services Under Contract	QUALIFICATIONS OF CONTRACTORS. All providers of services under contract shall meet the same qualifications required of practioners of the same service under the terms of this chapter.	1
133.20(1) Plan of Care	REQUIREMENT. A plan of care, including physician's or advanced practice nurse prescriber's orders, shall be established for every patient accepted for care and shall be incorporated in the patient's medical record. An initial plan shall be developed within 72 hours of acceptance. The total plan of care shall be developed in consultation with the patient, home health agency staff, contractual providers, and the patient's physician or advanced practice nurse prescriber and shall be signed by the physician or advanced practice nurse prescriber within 20 working days following the patient's admission for care.	1
133.21(1) Medical Records	REQUIREMENT. A medical record shall be maintained on each patient and shall be completely and accurately documented, systematically organized and readily accessible to authorized personnel.	1
133.21(5)(f) Medical Records	CONTENT. (f) Medication list and documentation of patient instructions;	1
TOTAL STATE TAGS CITED PER QUARTER		54
TOTAL ALL TAGS CITED PER QUARTER		70

	Complaint surveys	2
	Partial Extended	0
	Extended	0
	State licensure only	1
	Federal Initial & Recertification Surveys	11
	Verification Visits	1
	Other	3
TOTAL SURVEYS PERFORMED:		18