



Department of Health Services, State of Wisconsin

Hospital Citation Report for January 1, 2017 - March 31, 2017

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Tag	Regulation	# Fed Cites	# State Cites	Reg_Set_ID	Special Purpose Regulation	Deficiency #1 Survey Category	Deficiency #2 Survey Category	Deficiency #3 Survey Category	Deficiency #4 Survey Category	Deficiency #5 Survey Category	Deficiency #6 Survey Category	Deficiency #7 Survey Category	Deficiency #8 Survey Category	Deficiency #9 Survey Category	Deficiency #10 Survey Category	Deficiency #11 Survey Category	Deficiency #12 Survey Category
B 0103	The medical records maintained by a psychiatric hospital must permit determination of the degree and intensity of the treatment provided to individuals who are furnished services in the institution.	2				Recertification, Follow-up	Recertification, Follow-up										
B 0118	Each patient must have an individual comprehensive treatment plan.	2				Recertification, Follow-up	Recertification, Follow-up										
B 0122	At a minimum: The grievance process must specify time frames for review of the grievance and the provision of a response.	2				Recertification, Follow-up	Recertification, Follow-up										
B 0125	The treatment received by the patient must be documented in such a way to assure that all active therapeutic efforts are included.	2				Recertification, Follow-up	Recertification, Follow-up										
C 0306	[For each patient receiving health care services, the CAH maintains a record that includes, as applicable-] all orders of doctors of medicine or osteopathy or other practitioners, reports of treatments and medications, nursing notes and documentation of complications, and other pertinent information necessary to monitor the patient's progress, such as temperature graphics and progress notes describing the patient's response to treatments; [and]	2				Recertification	Recertification										
C 0308	The CAH maintains the confidentiality of record information and provides safeguards against loss, destruction, or unauthorized use.	5				Recertification	Recertification, Validation	Recertification	Recertification	Recertification, Validation							



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Physical Environment (all K tags are counted as federal cites)		199	8														
A 0700	The hospital must be constructed, arranged, and maintained to ensure the safety of the patient, and to provide facilities for diagnosis and treatment and for special hospital services appropriate to the needs of the community.	5				Recertification, Follow-up	Recertification, Follow-up	Recertification, Complaint, Follow-up, Validation	Recertification	Recertification							
A 0709	Life Safety from Fire	5				Recertification, Follow-up	Recertification, Follow-up	Recertification, Complaint, Follow-up, Validation	Recertification	Recertification							
C 0220	Condition of Participation	7				Recertification	Recertification	Recertification, Validation	Recertification	Recertification, Follow-up	Recertification	Recertification, Validation					
C 0221	The CAH is constructed, arranged, and maintained to ensure access to and safety of patients, and provides adequate space for the provision of direct services.	4				Recertification, Follow-up	Recertification	Recertification	Recertification, Follow-up								
C 0226	[The CAH has housekeeping and preventive programs to ensure that- there is proper ventilation, lighting, and temperature control in all pharmaceutical, patient care, and food preparation areas.	2				Recertification	Recertification										
C 0231	Except as otherwise provided in this section-- (i) the CAH must meet the applicable provisions of the 2000 edition of the Life Safety Code of the National Fire Protection Association. The Director of the Office of the Federal Register has approved the NFPA 101 2000 edition of the Life Safety Code, issued January 14, 2000, for incorporation by reference in accordance with 5 U.S.C. 552(a) and 1 CFR Part 51. A copy of the Code is available for inspection at the CMS Information Resource Center, 7500 Security Boulevard, Baltimore, MD, or at the National Archives and Records Administration (NARA). For information on the availability of this material at NARA, call 202-741-6030, or go to: http://www.archives.gov/federal_register/code_of_federal-regulations/ibr_locations.html .	7				Recertification	Recertification	Recertification, Validation	Recertification	Recertification, Follow-up	Recertification	Recertification, Validation					



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K 0131	Multiple Occupancies - Sections of Health Care Facilities Sections of health care facilities classified as other occupancies meet all of the following: * They are not intended to serve four or more inpatients. * They are separated from areas of health care occupancies by construction having a minimum 2-hour fire resistance rating in accordance with Chapter 8. * The entire building is protected throughout by an approved, supervised automatic sprinkler system in accordance with Section 9.7. Hospital outpatient surgical departments are required to be classified as an Ambulatory Health Care Occupancy regardless of the number of patients served. 18.1.3.3, 19.1.3.3, 42 CFR 482.41, 42 CFR 485.623	3		K307	Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Follow-up, Life Safety Code									
K 0145	The Type I EES is divided into the critical branch, life safety branch and the emergency system and Type II EES is divided into the emergency and critical systems in accordance with 3-4.2.2.2, 3-5.2.2 (NFPA 99)	2		K301	Regs for Existing Structures	Recertification, Follow-up, Life Safety Code	Recertification, Follow-up, Life Safety Code										
K 0147	Electrical wiring and equipment shall be in accordance with National Electrical Code. 9-1.2 (NFPA 99) 18.9.1, 19.9.1	2		K301	Regs for Existing Structures	Recertification, Follow-up, Life Safety Code	Recertification, Follow-up, Life Safety Code										
K 0161	Building Construction Type and Height 2012 EXISTING Building construction type and stories meets Table 19.1.6.1, unless otherwise permitted by 19.1.6.2 through 19.1.6.7 19.1.6.4, 19.1.6.5 Construction Type 1 I (442), I (332), II (222) Any number of stories non-sprinklered and sprinklered 2 II (111) One story non-sprinklered Maximum 3 stories sprinklered 3 II (000) Not allowed non-sprinklered 4 III (211) Maximum 2 stories sprinklered 5 IV (2HH) 6 V (111) 7 III (200) Not allowed non-sprinklered 8 V (000) Maximum 1 story sprinklered	7		K307	Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Relicensure, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Validation, Life Safety Code	Recertification, Life Safety Code					



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K 0345	Fire Alarm System - Testing and Maintenance A fire alarm system is tested and maintained in accordance with an approved program complying with the requirements of NFPA 70, National Electric Code, and NFPA 72, National Fire Alarm and Signaling Code. Records of system acceptance, maintenance and testing are readily available. 9.7.5, 9.7.7, 9.7.8, and NFPA 25	10		K307	Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Follow-up, Life Safety Code		
K 0346	Fire Alarm - Out of Service: Where required fire alarm system is out of services for more than 4 hours in a 24-hour period, the authority having jurisdiction shall be notified, and the building shall be evacuated or an approved fire watch shall be provided for all parties left unprotected by the shutdown until the fire alarm system has been returned to service. 9.6.1.6	6		K307	Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code						
K 0351	Spinkler System - Installation 2012 EXISTING: Nursing homes, and hospitals where required by construction type, are protected throughout by an approved automatic sprinkler system in accordance with NFPA 13, Standard for the Installation of Sprinkler Systems. In Type I and II construction, alternative protection measures are permitted to be substituted for sprinkler protection in specific areas where state or local regulations prohibit sprinklers. In hospitals, sprinklers are not required in clothes closets of patient sleeping rooms where the area of the closet does not exceed 6 square feet and sprinkler coverage covers the closet footprint as required by NFPA 13, Standard for Installation of Sprinkler Systems. 19.3.5.1, 19.3.5.2, 19.3.5.3, 19.3.5.4, 19.3.5.5, 19.4.2, 19.3.5.10, 9.7, 9.7.1.1(1)	11		K307	Regs for Existing Structures	Recertification, Follow-up, Validation, Life Safety Code	Recertification, Validation, Life Safety Code	Recertification, Validation, Life Safety Code	Recertification, Life Safety Code								



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K 0363	Corridor - Doors 2012 EXISTING: Doors protecting corridor openings in other than required enclosures of vertical openings, exits, or hazardous areas shall be substantial doors, such as those constructed of 1-3/4 inch solid-bonded core wood, or capable of resisting fire for at least 20 minutes. Doors in fully sprinklered smoke compartments are only required to resist the passage of smoke. Doors shall be provided with a means suitable for keeping the door closed. There is no impediment to the closing of the doors. Clearance between bottom of door and floor covering is not exceeding 1 inch. Roller latches are prohibited by CMS regulations on corridor doors and rooms containing flammable or combustible materials.	8		K307	Regs for Existing Structures	Recertification, Follow-up, Validation, Life Safety Code	Recertification, Re-licensure, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Follow-up, Life Safety Code	Recertification, Validation, Life Safety Code				
K 0363 (cont.)	Powered doors complying with 7.2.1.9 are permissible. Hold open devices that release when the door is pushed or pulled are permitted. Nonrated protective plates of unlimited height are permitted. Dutch doors meeting 19.3.6.3.6 are permitted. Door frames shall be labeled and made of steel or other materials in compliance with 8.3, unless the smoke compartment is sprinklered. Doors in fully sprinklered smoke compartments are only required to resist the passage of smoke. Doors shall be provided with a means suitable for keeping the door closed. In sprinklered compartments there are no restrictions in area or fire resistance of glass or frames in window assemblies. 19.3.6.3, 42 CFR Parts 403, 418, 460, 482, 483, and 485 Show in REMARKS details of doors such as fire protection ratings, automatics closing devices, etc.			K307	Regs for Existing Structures												
K 0372	Subdivision of Building Spaces - Smoke Barrier Construction: 2012 EXISTING Smoke barriers shall be constructed to a 1/2-hour fire resistance rating per 8.5. Smoke barriers shall be permitted to terminate at an atrium wall. Smoke dampers are not required in duct penetrations in fully ducted HVAC systems where an approved sprinkler system is installed for smoke compartments adjacent to the smoke barrier. 19.3.7.3, 8.6.7.1(1) Describe any mechanical smoke control system in REMARKS.	7		K307	Regs for Existing Structures	Recertification, Re-licensure, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Validation, Life Safety Code	Recertification, Life Safety Code	Recertification, Validation, Life Safety Code	Recertification, Life Safety Code					

