



Department of Health Services, State of Wisconsin

Hospital Citation Report for October 1, 2013 - December 31, 2013

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This report has been prepared in response to a request from the Rural Wisconsin Health Cooperative and the Wisconsin Hospital Association. This is not a regulatory document.

Tag	Regulation	# Fed Cites	# State Cites	Special Purpose Regulation Sets	Deficiency #1 Survey Category	Deficiency #2 Survey Category	Deficiency #3 Survey Category	Deficiency #4 Survey Category
Discharge Planning		0	0					
EMTALA		0	0					
Governing Body		0	0					
Swing Bed: Hospital and CAH		0	0					
Infection Control		4	10					
A 0749	The infection control officer or officers must develop a system for identifying, reporting, investigating, and controlling infections and communicable diseases of patients and personnel.	2			Recertification, Relicensure	Recertification, Validation		
C 0278	[The policies include the following:] a system for identifying, reporting, investigating and controlling infections and communicable diseases of patients and personnel.	2			Recertification, Relicensure, Revisit	Recertification, Revisit		
R 0294	The hospital shall provide a sanitary environment to avoid sources and transmission of infections and communicable diseases. There shall be an active program for the prevention, control and investigation of infections and communicable diseases.		3		Recertification, Relicensure	Recertification, Relicensure	Recertification, Relicensure	
R 0299	Responsibilities. The infection control committee shall: Establish a method of control used in relation to the sterilization and disinfection of instruments, medications, and other items requiring sterility and disinfection. There shall be a written policy requiring identification of sterile items and specified time periods in which sterile items shall be reprocessed;		2		Recertification, Relicensure	Recertification, Revisit		
R 0310	The hospital shall provide training to all appropriate hospital personnel on the epidemiology, etiology, transmission, prevention and elimination of infection, as follows: Aseptic technique. All appropriate personnel shall be educated in the practice of aseptic techniques such as handwashing and scrubbing practices, personal hygiene, masking, dressing, gloving and other personal protective equipment techniques, disinfecting and sterilizing techniques and the handling and storage of patient care equipment and supplies;		3		Recertification, Relicensure	Recertification, Validation	Recertification, Revisit	
R 0313	Sanitary environment. A sanitary environment shall be maintained to avoid sources and transmission of infection		2		Recertification, Relicensure	Recertification, Validation		



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Medical Record Services		4	9					
A 0441	The hospital must have a procedure for ensuring the confidentiality of patient records. Information from or copies of records may be released only to authorized individuals, and the hospital must ensure that unauthorized individuals cannot gain access to or alter patient records. Original medical records must be released by the hospital only in accordance with Federal or State laws, court orders, or subpoenas.	2			Recertification, Relicensure	Recertification, Validation		
C 0304	For each patient receiving health care services, the CAH maintains a record that includes, as applicable-- identification and social data, evidence of properly executed informed consent forms, pertinent medical history, assessment of the health status and health care needs of the patient, and a brief summary of the episode, disposition, and instructions to the patient;	2			Complaint	Recertification, Revisit		
R 0430	There shall be a written nursing care plan for each patient which shall include the elements of assessment, planning, intervention and evaluation.		3		Recertification, Revisit	Recertification, Relicensure	Recertification, Validation	
R 0431	Documentation of nursing care shall be pertinent and concise and shall describe patient needs, problems, capabilities and limitations. Nursing interventions and patient responses shall be noted.		4		Complaint	Recertification, Relicensure	Recertification, Validation	Recertification, Revisit
R 0471	Medical record contents. The medical record staff shall ensure that each patient's medical record contains: Physician notes and non-physician notes providing a chronological picture of the patient's progress which are sufficient to delineate the course and the results of treatment;		2		Complaint	Recertification, Relicensure		
Medical Staff		0	2					
R 0389	Chief of service. Each service shall have a chief appointed in accordance with the medical staff by-laws. The chief of service shall be a member of the service and be qualified by training and experience to serve as chief of service. The chief of service shall be responsible for: The quality of patient care;		2		Complaint	Recertification, Validation		
Nursing Services		5	2					
A 0396	The hospital must ensure that the nursing staff develops, and keeps current, a nursing care plan for each patient. The nursing care plan may be part of an interdisciplinary care plan	3			Complaint	Recertification, Relicensure	Recertification, Validation	
C 0298	A nursing care plan must be developed and kept current for each inpatient.	2			Recertification, Revisit	Recertification, Revisit		
R 0417	Care determinants. A registered nurse shall plan, supervise and evaluate the care of all patients, including the care assigned to other nursing personnel.		2		Complaint	Complaint		
Services: Anesthesia, Emergcy, Food & Dietetic, Nucl Medicine, Respiratory, Outpt, Radiologic, Rehab & Surgical		2	9					
A 0951	Surgical services must be consistent with needs and resources. Policies governing surgical care must be designed to assure the achievement and maintenance of high standards of medical practice and patient care.	2			Recertification, Relicensure	Recertification, Validation		
R 0558	All perishable foods shall be refrigerated at the appropriate temperature and in an orderly and sanitary manner.		2		Recertification, Relicensure	Recertification, Revisit		



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R 0576	Cooks and food handlers. Cooks and food handlers shall wear clean outer garments and hair nets or caps, and shall keep their hands clean at all times when engaged in handling food, drink, utensils or equipment.		2		Recertification, Validation	Recertification, Revisit		
R 0658	Hospitals which have surgery, anesthesia, dental or maternity services shall have effective policies and procedures, in addition to those set forth under s. HFS 124.12 (9), relating to the staffing and functions of each service in order to protect the health and safety of the patients.		3		Recertification, Relicensure	Recertification, Validation	Recertification, Revisit	
R 0673	Anesthesia use requirements. Every surgical patient shall have a preanesthetic evaluation by a person qualified to administer anesthesia, with findings recorded within 48 hours before surgery, a preanesthetic visit by the person administering the anesthesia, and an anesthetic record and post-anesthetic follow-up examination, with findings re-corded within 48 hours after surgery by the individual who administers the anesthesia.		2		Recertification, Validation	Recertification, Revisit		
Pharmaceutical Services		2	3					
A 0502	All drugs and biologicals must be kept in a secure area, and locked when appropriate.	2			Recertification, Relicensure	Recertification, Validation		
R 0517	Storage and equipment. Drugs shall be stored under proper conditions of sanitation, temperature, light, moisture, ventilation, segregation and security. In a pharmacy, current reference materials and equipment shall be provided for the compounding and dispensing of drugs. Hospitals utilizing automated dispensing systems must meet the requirements under Phar 7.09.		3		Recertification, Relicensure	Recertification, Validation	Recertification, Revisit	
Organ Tissue, Eye Procurement		0	0					



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Patient Rights		2	9					
A 0144	The patient has the right to receive care in a safe setting.	2			Complaint	Complaint		
R 0244	Patient rights and responsibilities. Every hospital shall have written policies established by the governing board on patient rights and responsibilities which shall provide that: Except in emergencies, the consent of the patient or the patient's legally authorized representative shall be obtained before treatment is administered;		3		Complaint	Recertification, Relicensure	Recertification, Revisit	
R 0250	Patient rights and responsibilities. Every hospital shall have written policies established by the governing board on patient rights and responsibilities which shall provide that: Every patient shall be informed in writing about the hospital's policies and procedures for initiation, review and resolution of patient complaints, including the address where complaints may be filed with the department; and		2		Complaint	Recertification, Validation		
Y 3121	(2) A hospital that provides emergency services to a victim shall do all of the following: (a) Provide to the victim medical and factually accurate and unbiased written and oral information about emergency contraception and its use and efficacy.		2			Recertification, Validation	Recertification, Revisit	
Y 3122	(2) A hospital that provides emergency services to a victim shall do all of the following: (b) Orally inform the victim of all of the following: 1. Her option to receive emergency contraception at the hospital. 2. Her option to report the sexual assault to a law enforcement agency. 3. Any available options for her to receive an examination to gather evidence regarding the sexual assault.		2			Recertification, Relicensure	Recertification, Validation	



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Physical Environment (all K tags are counted as federal cites)		49	0					
A 0700	The hospital must be constructed, arranged, and maintained to ensure the safety of the patient, and to provide facilities for diagnosis and treatment and for special hospital services appropriate to the needs of the community.	3			Recertification, Relicensure	Recertification, Licensure, Revisit	Recertification, Validation	
A 0701	The condition of the physical plant and the overall hospital environment must be developed and maintained in such a manner that the safety and well-being of patients are assured.	2			Recertification, Relicensure	Recertification, Validation		
A 0709	Life Safety from Fire	3			Recertification, Relicensure	Recertification, Licensure, Revisit	Recertification, Validation	
A 0726	There must be proper ventilation, light, and temperature controls in pharmaceutical, food preparation, and other appropriate areas.	2			Recertification, Relicensure	Recertification, Licensure, Revisit		
C 0220	Physical Plant and Environment (condition of certification)	2			Recertification, Relicensure, Revisit	Recertification		
C 0231	Except as otherwise provided in this section-- (i) the CAH must meet the applicable provisions of the 2000 edition of the Life Safety Code of the National Fire Protection Association. The Director of the Office of the Federal Register has approved the NFPA 101 2000 edition of the Life Safety Code, issued January 14, 2000, for incorporation by reference in accordance with 5 U.S.C. 552(a) and 1 CFR Part 51. A copy of the Code is available for inspection at the CMS Information Resource Center, 7500 Security Boulevard, Baltimore, MD, or at the National Archives and Records Administration (NARA). For information on the availability of this material at NARA, call 202-741-6030, or go to: http://www.archives.gov/federal_register/code_of_federal-regulations/ibr_locations.html . Copies may be obtained from the National Fire Protection Association, 1 Batterymarch Park, Quincy, MA 02269. If any changes in this edition of the Code are incorporated by reference, CMS will publish notice in the Federal Register to announce the changes. (ii) Chapter 19.3.6.3.2, exception number 2 of the adopted edition of the Life Safety Code does not apply to a CAH. After consideration of State survey agency findings, CMS may	2			Recertification, Relicensure, Revisit	Recertification, Revisit		
C 0231 (cont.)	waive specific provisions of the Life Safety Code that, if rigidly applied, would result in unreasonable hardship on the CAH, but only if the waiver does not adversely affect the health and safety of patients.							



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K 0011	If the building has a common wall with a nonconforming building, the common wall is a fire barrier having at least a two-hour fire resistance rating constructed of materials as required for the addition. Communicating openings occur only in corridors and are protected by approved self-closing fire doors. 19.1.1.4.1, 19.1.1.4.2	2		Regs for Existing Structures	Recertification, Validation, Life Safety Code	Recertification, Life Safety Code		
K 0017	Corridors are separated from use areas by walls constructed with at least ½ hour fire resistance rating. In sprinklered buildings, partitions are only required to resist the passage of smoke. In non-sprinklered buildings, walls properly extend above the ceiling. (Corridor walls may terminate at the underside of ceilings where specifically permitted by Code. Charting and clerical stations, waiting areas, dining rooms, and activity spaces may be open to the corridor under certain conditions specified in the Code. Gift shops may be separated from corridors by non-fire rated walls if the gift shop is fully sprinklered.) 19.3.6.1, 19.3.6.2.1, 19.3.6.5	3		Regs for Existing Structures	Recertification, Licensure, Life Safety Code, Revisit	Recertification, Validation, Life Safety Code	Recertification, Life Safety Code	
K 0018	Doors protecting corridor openings in other than required enclosures of vertical openings, exits, or hazardous areas are substantial doors, such as those constructed of 1¾ inch solid-bonded core wood, or capable of resisting fire for at least 20 minutes. Doors in sprinklered buildings are only required to resist the passage of smoke. There is no impediment to the closing of the doors. Doors are provided with a means suitable for keeping the door closed. Dutch doors meeting 19.3.6.3.6 are permitted. 19.3.6.3 Roller latches are prohibited by CMS regulations in all health care facilities.	3		Regs for Existing Structures	Recertification, Licensure, Life Safety Code, Revisit	Recertification, Life Safety Code	Recertification, Life Safety Code	
K 0022	Access to exits is marked by approved, readily visible signs in all cases where the exit or way to reach exit is not readily apparent to the occupants. 7.10.1.4	2		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Licensure, Validation, Life Safety Code		
K 0025	Smoke barriers are constructed to provide at least a one half hour fire resistance rating in accordance with 8.3. Smoke barriers may terminate at an atrium wall. Windows are protected by fire-rated glazing or by wired glass panels and steel frames. A minimum of two separate compartments are provided on each floor. Dampers are not required in duct penetrations of smoke barriers in fully ducted heating, ventilating, and air conditioning systems. 19.3.7.3, 19.3.7.5, 19.1.6.3, 19.1.6.4	3		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Licensure, Life Safety Code, Revisit	Recertification, Life Safety Code	
K 0028	Door openings in smoke barriers provide a minimum clear width of 32 inches (81cm) for swinging or horizontal doors. Vision panels are of fire-rated glazing or wired glass panels and steel frames. 19.3.7.5, 19.3.7.7	2		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Licensure, Life Safety Code, Revisit		
K 0029	One hour fire rated construction (with ¾ hour fire-rated doors) or an approved automatic fire extinguishing system in accordance with 8.4.1 and/or 19.3.5.4 protects hazardous areas. When the approved automatic fire extinguishing system option is used, the areas are separated from other spaces by smoke resisting partitions and doors. Doors are self-closing and non-rated or field-applied protective plates that do not exceed 48 inches from the bottom of the door are permitted. 19.3.2.1	3		Regs for Existing Structures	Recertification, Licensure, Life Safety Code, Revisit	Recertification, Validation, Life Safety Code	Recertification, Life Safety Code	
K 0040	Exit access doors and exit doors used by health care occupants are of the swinging type and are at least 32 inches in clear width. 19.2.3.5	2		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit		



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K 0056	If there is an automatic sprinkler system, it is installed in accordance with NFPA 13, Standard for the Installation of Sprinkler Systems, to provide complete coverage for all portions of the building. The system is properly maintained in accordance with NFPA 25, Standard for the Inspection, Testing, and Maintenance of Water-Based Fire Protection Systems. It is fully supervised. There is a reliable, adequate water supply for the system. Required sprinkler systems are equipped with water flow and tamper switches, which are electrically connected to the building fire alarm system. 19.2.5	4		Regs for Existing Structures	Recertification, Life Safety Code, Revisit	Recertification, Licensure, Life Safety Code, Revisit	Recertification, Validation, Life Safety Code	Recertification, Life Safety Code
K 0062	Required automatic sprinkler systems are continuously maintained in reliable operating condition and are inspected and tested periodically. 19.7.6, 4.6.12, NFPA 13, NFPA 25, 9.7.5	2		Regs for Existing Structures	Recertification, Validation, Life Safety Code	Recertification, Licensure, Life Safety Code, Revisit		
K 0067	Heating, ventilating, and air conditioning comply with the provisions of section 9.2 and are installed in accordance with the manufacturer's specifications. 19.5.2.1, 9.2, NFPA 90A, 19.5.2.2	3		Regs for Existing Structures	Recertification, Life Safety Code, Revisit	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit	
K 0077	Piped in medical gas systems comply with NFPA 99, Chapter 4.	2		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit		
K 0130	OTHER LSC DEFICIENCY NOT ON 2786	2		Regs for New Structures	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit		
K 0147	Electrical wiring and equipment is in accordance with NFPA 70, National Electrical Code. 9.1.2	2		Regs for Existing Structures	Recertification, Licensure, Life Safety Code,	Recertification, Licensure, Life Safety Code,		
QAPI		0	0					
Chief of Service		0	0					
Psychiatric Services		0	0					
Other		0	0					
Total Federal/State Cites		68	44					
Total Cites		112						