



Department of Health Services, State of Wisconsin

Hospital Citation Report for January 1, 2014 - March 31, 2014

(Tags must have been cited twice or more during the period to be entered in this roster.)

This report has been prepared in response to a request from the Rural Wisconsin Health Cooperative and the Wisconsin Hospital Association. This is not a regulatory document.

Tag	Regulation	# Fed Cites	# State Cites	Special Purpose Regulation Sets	Deficiency #1 Survey Category	Deficiency #2 Survey Category	Deficiency #3 Survey Category	Deficiency #4 Survey Category	Deficiency #5 Survey Category
Discharge Planning		0	0						
EMTALA		0	0						
Governing Body		0	0						
Swing Bed: Hospital and CAH		0	0						
Infection Control		5	5						
A 0749	The infection control officer or officers must develop a system for identifying, reporting, investigating, and controlling infections and communicable diseases of patients and personnel.	2			Recertification, Relicensure, Validation	Recertification			
C 0278	[The policies include the following:] a system for identifying, reporting, investigating and controlling infections and communicable diseases of patients and personnel.	3			Recertification, Relicensure, Revisit	Recertification, Relicensure	Recertification, Relicensure		
R 0294	The hospital shall provide a sanitary environment to avoid sources and transmission of infections and communicable diseases. There shall be an active program for the prevention, control and investigation of infections and communicable diseases.		2		Recertification, Relicensure, Revisit	Recertification, Relicensure			
R 0313	Sanitary environment. A sanitary environment shall be maintained to avoid sources and transmission of infection		3		Recertification, Relicensure, Validation	Recertification, Validation	Recertification		
Medical Record Services		11	15						
A 0449	The medical record must contain information to justify admission and continued hospitalization, support the diagnosis, and describe the patient's progress and response to medications and services.	2			Complaint	Complaint			
C 0304	maintains a record that includes, as applicable-- identification and social data, evidence of properly executed informed consent forms, pertinent medical history, assessment of the health status and health care needs of the patient, and a brief summary of the episode, disposition, and instructions to the patient;	3			Recertification, Relicensure	Recertification, Relicensure	Recertification, Relicensure, Revisit		
C 0306	[For each patient receiving health care services, the CAH maintains a record that includes, as applicable-] all orders of doctors of medicine or osteopathy or other practitioners, reports of treatments and medications, nursing notes and documentation of complications, and other pertinent information necessary to monitor the patient's progress, such as temperature graphics and progress notes describing the	4			Recertification, Relicensure	Recertification, Relicensure, Revisit	Recertification, Relicensure	Recertification, Relicensure, Revisit	
C 0308	The CAH maintains the confidentiality of record information and provides safeguards against loss, destruction, or unauthorized use.	2			Recertification, Relicensure	Recertification, Relicensure			
R 0430	There shall be a written nursing care plan for each patient which shall include the elements of assessment, planning, intervention and evaluation.		5		Complaint	Recertification, Licensure, Validation	Complaint	Recertification, Relicensure, Revisit	Recertification, Relicensure
R 0431	Documentation of nursing care shall be pertinent and concise and shall describe patient needs, problems, capabilities and limitations. Nursing interventions and patient responses shall be noted.		4		Complaint	Complaint	Recertification, Relicensure	Complaint	
R 0471	Medical record contents. The medical record staff shall ensure that each patient's medical record contains: Physician notes and non-physician notes providing a chronological picture of the patient's progress which are sufficient to delineate the course and the results of treatment.		2		Complaint	Recertification, Relicensure			
R 0496	MATERNITY PATIENT AND NEWBORN RECORDS. Newborn medical record. Each newborn infant shall have a complete hospital record which shall include: A record of pertinent maternal data, labor and delivery, and the condition of the infant at birth.		4		Recertification, Relicensure	Recertification, Relicensure	Recertification, Relicensure	Recertification, Relicensure, Revisit	



Department of Health Services, State of Wisconsin

Hospital Citation Report for January 1, 2014 - March 31, 2014

(Tags must have been cited twice or more during the period to be entered in this roster.)

This report has been prepared in response to a request from the Rural Wisconsin Health Cooperative and the Wisconsin Hospital Association. This is not a regulatory document.

Tag	Regulation	# Fed Cites	# State Cites	Special Purpose Regulation Sets	Deficiency #1 Survey Category	Deficiency #2 Survey Category	Deficiency #3 Survey Category	Deficiency #4 Survey Category	Deficiency #5 Survey Category
Medical Staff		0	0						
Nursing Services		13	2						
A 0395	A registered nurse must supervise and evaluate the nursing care for each patient	3			Complaint	Recertification, Validation, Relicensure	Complaint		
A 0396	The hospital must ensure that the nursing staff develops, and keeps current, a nursing care plan for each patient. The nursing care plan may be part of an interdisciplinary care plan.	4			Complaint	Recertification, Validation, Relicensure	Complaint	Complaint	
C 0297	All drugs, biologicals, and intravenous medications must be administered by or under the supervision of a registered nurse, a doctor of medicine or osteopathy, or where permitted by State law, a physician assistant, in accordance with written and signed orders, accepted standards of practice, and Federal and State laws	3			Recertification, Relicensure	Recertification, Relicensure	Recertification, Relicensure, Revisit		
C 0298	A nursing care plan must be developed and kept current for each inpatient.	3			Recertification, Relicensure, Revisit	Recertification, Relicensure	Recertification, Relicensure		
R 0417	Care determinants. A registered nurse shall plan, supervise and evaluate the care of all patients, including the care assigned to other nursing personnel.		2		Complaint	Complaint			
Services: Anesthesia, Emrgcy, Food & Dietetic, Nucl Medicine, Respiratory, Outpt, Radiologic, Rehab & Surgical		6	9						
A 1003	[The policies must ensure that the following are provided for each patient:] A pre-anesthesia evaluation completed and documented by an individual qualified to administer anesthesia, as specified in paragraph (a) of this section, performed within 48 hours prior to surgery or a procedure	2			Recertification, Validation, Relicensure	Recertification, Validation, Revisit			
C 0279	[The policies include the following:] If the CAH furnishes inpatient services, procedures that ensure that the nutritional needs of inpatients are met in accordance with recognized dietary practices and the orders of the practitioner responsible for the care of the patients, and that the requirement of §485.25(i) is met with respect to inpatients receiving posthospital SNF care.	2			Recertification, Relicensure	Recertification, Relicensure			
C 0322	(1) A qualified practitioner, as specified in paragraph (a) of this section, must examine the patient immediately before surgery to evaluate the risk of the procedure to be performed. (2) A qualified practitioner, as specified in paragraph (c) of this section, must examine each patient before surgery to evaluate the risk of anesthesia. (3) Before discharge from the CAH, each patient must be evaluated for proper anesthesia recovery by a qualified practitioner, as specified in paragraph (d) of this section.	2			Recertification, Relicensure, Revisit	Recertification, Relicensure			
R 0552	Sanitary conditions shall be maintained in the storage, preparation and distribution of food.		2		Recertification, Relicensure, Validation	Recertification, Relicensure			
R 0576	Cooks and food handlers. Cooks and food handlers shall wear clean outer garments and hair nets or caps, and shall keep their hands clean at all times when engaged in handling food, drink, utensils or equipment.		2		Recertification, Relicensure, Validation	Recertification, Validation, Revisit			
R 0673	Anesthesia use requirements. Every surgical patient shall have a preanesthetic evaluation by a person qualified to administer anesthesia, with findings recorded within 48 hours before surgery, a preanesthetic visit by the person administering the anesthesia, and an anesthetic record and post-anesthetic follow-up examination, with findings recorded within 48 hours after surgery by the individual who		3		Recertification, Relicensure, Validation	Recertification, Validation, Revisit	Recertification, Relicensure		



Department of Health Services, State of Wisconsin

Hospital Citation Report for January 1, 2014 - March 31, 2014

(Tags must have been cited twice or more during the period to be entered in this roster.)

This report has been prepared in response to a request from the Rural Wisconsin Health Cooperative and the Wisconsin Hospital Association. This is not a regulatory document.

Tag	Regulation	# Fed Cites	# State Cites	Special Purpose Regulation Sets	Deficiency #1 Survey Category	Deficiency #2 Survey Category	Deficiency #3 Survey Category	Deficiency #4 Survey Category	Deficiency #5 Survey Category
R 0810	Medical records. Adequate medical records to permit continuity of care after provision of emergency services shall be maintained on all patients. The emergency room patient record shall contain: a. Patient identification; b. History of disease or injury; c. Physical findings; d. Laboratory and x-ray reports, if any; e. Diagnosis; f. Record of treatment; g. Disposition of the case; h. Authentication as required by s. HFS 124.14(3)(b); and i. Appropriate time notations, including time of the patient's arrival, time of physician notification, time of treatments, including administration of medications, and time of patient discharge or transfer from		2		Recertification, Relicensure, Revisit	Recertification, Relicensure			
Pharmaceutical Services		4	6						
C 0276	The policies include the following: rules for the storage, handling, dispensation, and administration of drugs and biologicals. These rules must provide that there is a drug storage area that is administered in accordance with accepted professional principles, that current and accurate records are kept of the receipt and disposition of all scheduled drugs, and that outdated, mislabeled, or otherwise unusable drugs are not available for patient use.	4			Recertification, Relicensure	Recertification, Relicensure, Revisit	Recertification, Relicensure	Recertification, Relicensure	
R 0517	Storage and equipment. Drugs shall be stored under proper conditions of sanitation, temperature, light, moisture, ventilation, segregation and security. In a pharmacy, current reference materials and equipment shall be provided for the compounding and dispensing of drugs. Hospitals utilizing automated dispensing systems must meet the requirements		4		Recertification, Relicensure	Recertification, Relicensure, Validation	Recertification, Relicensure	Recertification, Relicensure	
R 0530	Responsibility. All hospitals shall have written policies relating to the selection, intrahospital distribution and handling and safe administration of drugs. The medical staff shall develop and monitor the administration of these policies and procedures in cooperation with the pharmacist and with representatives of other disciplines in the hospital.		2		Recertification, Relicensure, Validation	Recertification, Relicensure, Revisit			
Organ Tissue, Eye Procurement		0	0						
Patient Rights		6	10						
A 0117	A hospital must inform each patient, or when appropriate, the patient's representative (as allowed under State law), of the patient's rights, in advance of furnishing or discontinuing patient care whenever possible.	3			Complaint	Recertification, Relicensure, Validation	Complaint		
A 0123	At a minimum: In its resolution of the grievance, the hospital must provide the patient with written notice of its decision that contains the name of the hospital contact person, the steps taken on behalf of the patient to investigate the grievance, the results of the grievance process, and the date of resolution.	3			Complaint	Complaint	Recertification, Validation, Revisit		
R 0238	Patient rights and responsibilities. Every hospital shall have written policies established by the governing board on patient rights and responsibilities which shall provide that: The patient's medical record, including all computerized medical information, shall be kept confidential.		2		Recertification, Relicensure, Validation	Recertification, Relicensure			
R 0244	Patient rights and responsibilities. Every hospital shall have written policies established by the governing board on patient rights and responsibilities which shall provide that: Except in emergencies, the consent of the patient or the patient's legally authorized representative shall be obtained before treatment is administered.		4		Recertification, Relicensure	Recertification, Relicensure, Validation	Recertification, Relicensure	Recertification, Relicensure, Revisit	
R 0250	Patient rights and responsibilities. Every hospital shall have written policies established by the governing board on patient rights and responsibilities which shall provide that: Every patient shall be informed in writing about the hospital's policies and procedures for initiation, review and resolution of patient complaints, including the address where complaints may be filed with the department and		2		Complaint	Recertification, Validation, Revisit			



Department of Health Services, State of Wisconsin

Hospital Citation Report for January 1, 2014 - March 31, 2014

(Tags must have been cited twice or more during the period to be entered in this roster.)

This report has been prepared in response to a request from the Rural Wisconsin Health Cooperative and the Wisconsin Hospital Association. This is not a regulatory document.

Tag	Regulation	# Fed Cites	# State Cites	Special Purpose Regulation Sets	Deficiency #1 Survey Category	Deficiency #2 Survey Category	Deficiency #3 Survey Category	Deficiency #4 Survey Category	Deficiency #5 Survey Category
K 0025	hour fire resistance rating in accordance with 8.3. Smoke barriers may terminate at an atrium wall. Windows are protected by fire-rated glazing or by wired glass panels and steel frames. A minimum of two separate compartments are provided on each floor. Dampers are not required in duct penetrations of smoke barriers in fully ducted heating, ventilating, and air conditioning systems. 19.3.7.3, 19.3.7.5, 19.1.6.3, 19.1.6.4	5		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Licensure, Life Safety Code, Revisit	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit	Recertification, Life Safety Code
K 0029	One hour fire rated construction (with ¾ hour fire-rated doors) or an approved automatic fire extinguishing system in accordance with 8.4.1 and/or 19.3.5.4 protects hazardous areas. When the approved automatic fire extinguishing system option is used, the areas are separated from other spaces by smoke resisting partitions and doors. Doors are self-closing and non-rated or field-applied protective plates that do not exceed 48 inches from the bottom of the door are permitted. 19.3.2.1	4		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit	Recertification, Life Safety Code	
K 0033	Exit components (such as stairways) are enclosed with construction having a fire resistance rating of at least one hour, are arranged to provide a continuous path of escape, and provide protection against fire or smoke from other parts of the building. 19.3.1.1	3		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit	Recertification, Life Safety Code		
K 0034	Stairways and smokeproof towers used as exits are in accordance with 7.2. 19.2.2.3, 19.2.2.4	3		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit	Recertification, Life Safety Code		
K 0038	Exit access is arranged so that exits are readily accessible at all times in accordance with section 7.1. 19.2.1	3		Regs for Existing Structures	Recertification, Life Safety Code, Revisit	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit		
K 0051	A fire alarm system with approved components, devices or equipment is installed according to NFPA 72, National Fire Alarm Code, to provide effective warning of fire in any part of the building. Activation of the complete fire alarm system is by manual fire alarm initiation, automatic detection or extinguishing system operation. Pull stations in patient sleeping areas may be omitted provided that manual pull stations are within 200 feet of nurse's stations. Pull stations are located in the path of egress. Electronic or written records of tests are available. A reliable second source of power is provided. Fire alarm systems are maintained in accordance with NFPA 72 and records of maintenance are kept readily available. There is remote annunciation of the fire alarm system to an approved central station. 19.3.4, 9.6	2		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit			
K 0056	accordance with NFPA 13, Standard for the Installation of Sprinkler Systems, to provide complete coverage for all portions of the building. The system is properly maintained in accordance with NFPA 25, Standard for the Inspection, Testing, and Maintenance of Water-Based Fire Protection Systems. It is fully supervised. There is a reliable, adequate water supply for the system. Required sprinkler systems are equipped with water flow and tamper switches, which are electrically connected to the building fire alarm system.	5		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Licensure, Life Safety Code, Revisit	Recertification, Life Safety Code	Recertification, Life Safety Code
K 0067	Heating, ventilating, and air conditioning comply with the provisions of section 9.2 and are installed in accordance with the manufacturer's specifications. 19.5.2.1, 9.2, NFPA 90A, 19.5.2.2	4		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit	
K 0073	No furnishings or decorations of highly flammable character are used. 19.7.5.2, 19.7.5.3, 19.7.5.4	2		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit			
K 0075	Soiled linen or trash collection receptacles do not exceed 32 gal (121 L) in capacity. The average density of container capacity in a room or space does not exceed .5 gal/sq ft (20.4 L/sq m). A capacity of 32 gal (121 L) is not exceeded within any 64 sq ft (5.9-sq m) area. Mobile soiled linen or trash collection receptacles with capacities greater than 32 gal (121 L) are located in a room protected as a hazardous area when	2		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code			



Department of Health Services, State of Wisconsin

Hospital Citation Report for January 1, 2014 - March 31, 2014

(Tags must have been cited twice or more during the period to be entered in this roster.)

This report has been prepared in response to a request from the Rural Wisconsin Health Cooperative and the Wisconsin Hospital Association. This is not a regulatory document.

Tag	Regulation	# Fed Cites	# State Cites	Special Purpose Regulation Sets	Deficiency #1 Survey Category	Deficiency #2 Survey Category	Deficiency #3 Survey Category	Deficiency #4 Survey Category	Deficiency #5 Survey Category
K 0130	OTHER LSC DEFICIENCY NOT ON 2786	4		Regs for Existing Structures	Recertification, Life Safety Code, Revisit	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit	Recertification, Life Safety Code	
K 0144	Generators are inspected weekly and exercised under load for 30 minutes per month in accordance with NFPA 99. 3.4.4.1.	2		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code			
K 0147	Electrical wiring and equipment is in accordance with NFPA 70, National Electrical Code. 9.1.2	5		Regs for Existing Structures	Recertification, Life Safety Code	Recertification, Life Safety Code	Recertification, Life Safety Code, Revisit	Recertification, Life Safety Code	Recertification, Life Safety Code
R 0865	GENERAL. The buildings of the hospital shall be constructed and maintained so that they are functional for diagnosis and treatment and for the delivery of hospital services appropriate to the needs of the community and with due regard for protecting the health and safety of the patients. The provisions of this section apply to all new, remodeled and existing construction unless otherwise noted		4		Recertification, Relicensure, Revisit	Recertification, Relicensure	Recertification, Relicensure, Revisit	Recertification	
R 0941	Walls and Ceilings. Patient room and patient care areas shall have walls and ceilings with smooth, washable surfaces. The walls and ceilings shall be kept in good repair. Loose, cracked or peeling wallpaper and paint on walls and ceilings shall be replaced or repaired. Washable ceilings shall be provided in surgery rooms, delivery rooms, the nursery, intensive care units, recovery rooms, kitchens, dishwashing		3		Recertification, Relicensure	Recertification, Life Safety Code	Recertification, Relicensure		
QAPI		2	0						
A 0286	(a) Standard: Program Scope (1) The program must include, but not be limited to, an ongoing program that shows measurable improvement in indicators for which there is evidence that it will ... identify and reduce medical errors. (2) The hospital must measure, analyze, and track ...adverse patient events ... (c) Program Activities (2) Performance improvement activities must track medical errors and adverse patient events, analyze their causes, and implement preventive actions and mechanisms that include feedback and learning throughout the hospital. (e) Executive Responsibilities, The hospital's governing body (or organized group or individual who assumes full legal authority and responsibility for operations of the hospital), medical staff, and administrative officials are responsible and accountable for ensuring the following: ...	2			Complaint	Complaint			
Chief of Service		0	0						
Psychiatric Services		0	0						
Other		0	4						
Z 0026	An entity that commits any of the following acts may be subject to any of the sanctions specified in sub. (2): (a) Hires, employs, or contracts with a caregiver, or permits to reside as a nonclient resident at the entity a person who has direct, regular contact with clients and who the entity knew or should have known is barred under ss. 50.065 (4m) (b), Stats. (b) Violates any provision of initial background information gathering or periodic background information gathering required by s. 50.065, Stats.		4		Routine Desk Review	Routine Desk Review	Routine Desk Review	Routine Desk Review	
Total Federal/State Cites		122	58						
Total Cites		180							